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## Effects of oxygen addition to argon glow discharges: A hybrid Monte Carlo-fluid modeling investigation

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## ABSTRACT

A hybrid model is developed for describing the effects of oxygen addition to argon glow discharges. The species taken into account in the model include Ar atoms in the ground state and the metastable level, O<sub>2</sub> gas molecules in the ground state and two metastable levels, O atoms in the ground state and one metastable level, O<sub>3</sub> molecules, Ar<sup>+</sup>, O<sup>+</sup>, O<sub>2</sub><sup>+</sup> and O<sup>-</sup> ions, as well as the electrons. The hybrid model consists of a Monte Carlo model for electrons and fluid models for the other plasma species. In total, 87 different reactions between the various plasma species are taken into account. Calculation results include the species densities and the importance of their production and loss processes, as well as the dissociation degree of oxygen. The effect of different O<sub>2</sub> additions on these calculation results, as well as on the sputtering rates, is discussed.

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## 1. Introduction

It is well known that glow discharges (GDs) applied for analytical spectrometry often contain small impurities of molecular gases, such as O<sub>2</sub> but also H<sub>2</sub> and N<sub>2</sub>, besides the operating gas Ar [1]. Indeed, these molecular impurities arise due to contamination of the source by residual moisture or atmospheric gases, or by vapors from the pump oils. Furthermore, organic impurities can be adsorbed on the surface of the sample, or the molecular gases can simply be present as constituents in the sample. Oxide-based materials, especially as compacted powders, contribute oxygen from their natural composition, and they also add air and water to the discharge, trapped in the samples during the compacting process [2]. Bengtson [3,4] has demonstrated that organic or organometallic coatings, which find interest in the automotive industry, give rise to molecular emission, originating from OH, CH, NH and CO. These molecular bands overlap several atomic emission lines, causing line interferences. For good analytical practice, it is therefore highly important to obtain a better understanding of the effect of O<sub>2</sub> (and other) impurities in Ar glow discharges. This can be done by experiments (e.g., [1,5–9]) or by modeling.

Fischer et al. [5] investigated the influence of controlled additions of O<sub>2</sub> (and N<sub>2</sub>) (in the range of 0–3 mass%) on sputtering rates, analyte emission intensities and electrical characteristics in an Ar dc GD. They observed a decrease in the sputtering rate with increasing concentration of O<sub>2</sub>, resulting also in a drop for the emission intensities, reflecting the reduced atomic population in the plasma. The electrical

current first increases with O<sub>2</sub> addition (until about 1.5%), and then it decreases or stays constant (depending on the metal investigated).

Wagatsuma [6] presented a review paper on the effect of Ar–He, Ar–O<sub>2</sub> and Ar–N<sub>2</sub> gas mixtures on GD-OES. In [7] the effects of O<sub>2</sub> addition, in the range of 0–100%, to an Ar GD were investigated. A significant drop in the emission intensity, discharge current and sputtering rate was observed for O<sub>2</sub> additions of a few %, followed by a minor rise at higher O<sub>2</sub> additions and a maximum around 50–60% O<sub>2</sub> concentration.

Fernández et al. investigated the effects of adding either H<sub>2</sub>, N<sub>2</sub> or O<sub>2</sub> (in the range of 0.5–10%) to an Ar rf glow discharge used for OES [8,9]. Changes in the sputtering rate [8] and in the dc-bias voltage, the crater shapes and the depth resolution of thin films [9] were reported. The addition of the three molecular gases resulted in a decrease of the sputtering rate, compared to pure Ar. Concerning the emission yields, selective enhancements were observed for H<sub>2</sub> or N<sub>2</sub>, but for O<sub>2</sub> addition in the range of 0.5–2%, a systematic increase of emission yields was found [8]. In [9] it was suggested that these plasma gas mixtures could offer a great potential to improve depth resolution in rf GDs.

Finally, Steers et al. [1] have reviewed the effects of traces of H<sub>2</sub>, N<sub>2</sub> and O<sub>2</sub> on the electrical characteristics, the sputtering rate and the emission spectra in Ar glow discharges. The main emphasis was on the effects of H<sub>2</sub>, but brief comments were also made to other gas mixtures. With respect to oxygen, it was demonstrated that 0.25% of O<sub>2</sub> addition yielded a slight change in the current–voltage characteristics, suggesting a small increase in plasma conductivity. Furthermore, Steers et al. reported recently also that O<sub>2</sub> addition resulted in a drastic decrease in sputtering rates, and hence in optical emission intensities of analyte species [10].

As mentioned above, in addition to experiments, it is also of interest to describe the effects of O<sub>2</sub> addition to Ar GDs by computer modeling. In

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the literature there exist already several models for Ar/O<sub>2</sub>, or pure O<sub>2</sub>, discharges used for technological applications. Indeed, O<sub>2</sub> or Ar/O<sub>2</sub> plasmas are frequently used, for instance in the microelectronics industry for applications of oxidation, etching, cleaning, surface modification and thin film deposition [11]. Moreover, in magnetron discharges, Ar/O<sub>2</sub> gas mixtures are applied for the sputter-deposition of metal oxide thin films (e.g., [12,13]).

Trennepohl et al. [14] developed a collisional-radiative model for an Ar–O<sub>2</sub> magnetron discharge operating around 1 mTorr and relative O<sub>2</sub> concentrations varying from 0 to 100%. Balance equations were solved for Ar and for the molecular and atomic oxygen states, as well as for the positive and negative ions. The equations were coupled to the Boltzmann equation for the electron transport. The calculation results were compared with optical emission spectrometry. In [15] this model was further extended with a model for the interaction of the plasma species with various surfaces and for the consumption of the reactive gas. The calculations were performed for a relative O<sub>2</sub> concentration of 57%.

Nanbu et al. [16] presented a particle-in-cell Monte Carlo (PIC-MC) model for an Ar/O<sub>2</sub> dc magnetron discharge, operating at 5 mTorr and with an O<sub>2</sub> partial pressure varying from 30% to 70%. The model takes into account electrons, Ar<sup>+</sup> ions, O<sup>+</sup>, O<sub>2</sub><sup>+</sup> and O<sup>−</sup> ions. A similar PIC-MC model, but with extended chemistry, describing also the sputtering and deposition process and the behavior of sputtered atoms and corresponding ions, as well as the O<sub>2</sub> molecules and O atoms, was developed by our group [17], for 1 Pa Ar pressure and O<sub>2</sub> partial pressures ranging from 0.02 to 0.24 Pa.

In [18] a very simple spatially-averaged model was presented for an Ar/O<sub>2</sub> rf magnetron source, at low O<sub>2</sub> flows (~0.5–2 sccm, for an Ar flow of 80 sccm) to study the deposition process of SiO<sub>x</sub> films. Only Ar ground state atoms, Ar<sup>+</sup> ions, O<sub>2</sub> and O species in the ground state and electrons were considered. Simple collisional-radiative models were also presented in [19] for a plasma free jet in an Ar/O<sub>2</sub> mixture, and in [20] for a low pressure Ar/O<sub>2</sub> discharge. A two-temperature chemically non-equilibrium model was developed in [21,22] for Ar/O<sub>2</sub> induction thermal plasmas at atmospheric pressure.

In [23] a radio-frequency non-equilibrium atmospheric pressure plasma in an Ar/O<sub>2</sub> mixture, at 6% O<sub>2</sub> concentration, was studied by experiments as well as by rate equations for the heavy species. The electron density and temperature obtained from literature were used as input in the model.

Lee and Lieberman presented a global (volume averaged) model for high-density plasmas in Ar, O<sub>2</sub>, Cl<sub>2</sub> and in Ar/O<sub>2</sub> gas mixtures [24]. The pressure was varied from 1 to 50 mTorr. The O<sub>2</sub> fraction in the Ar/O<sub>2</sub> gas mixture was varied from 0 to 100%. The species taken into account are the Ar atoms in the ground state and one excited level, O<sub>2</sub> molecules, O atoms in the ground state and in one excited level, Ar<sup>+</sup> ions, O<sub>2</sub><sup>+</sup>, O<sup>+</sup> and O<sup>−</sup> ions. Hsu et al. [25] presented a fluid model for an inductively coupled plasma in pure Ar and mixtures of Ar and O<sub>2</sub> (or Ar/O<sub>2</sub>/Cl<sub>2</sub>) for pressures in the range of 10–80 mTorr, and O<sub>2</sub> concentrations chosen as 0, 37, 75 and 100%. The calculations were found in reasonable agreement with experiments, suggesting that the chemical reaction database assumed in the model is quite realistic.

Sommerer and Kushner [26] presented a hybrid Monte Carlo–fluid model for rf GDs in a wide range of gases, including O<sub>2</sub> as an example of an electronegative gas. Rauf and Kushner studied the behavior of Ar metastable atoms in Ar/O<sub>2</sub> cc rf discharges, also by means of a hybrid model [27]. The gas pressure was typically 250 mTorr and the O<sub>2</sub> partial pressure was in the order of 1%. In our group we used the same hybrid model to study the etch process in Ar/O<sub>2</sub>/Cl<sub>2</sub> inductively coupled plasmas (at typical flow rates of 100 sccm Cl<sub>2</sub>, 12 sccm Ar, and 0–14 sccm O<sub>2</sub>) [28], as well as to investigate a pure O<sub>2</sub> plasma used for atomic layer deposition [29].

For pure O<sub>2</sub> plasmas, Eliasson and Kogelschatz presented a very detailed overview of all possible reactions [30], and they published a model based on this reaction set, for the O<sub>3</sub> generation in dielectric

barrier discharges [31]. Another model to calculate O<sub>3</sub> generation in an O<sub>2</sub>-fed wire-to-cylinder ozonizer at atmospheric pressure was presented in [32]. In [33] a zero-dimensional (i.e., spatially-averaged) model was used to simulate a pulsed high-density O<sub>2</sub> discharge at 5 mTorr. It was found necessary to include the chemistry involving the high energy O<sub>2</sub> metastable molecules, in order to capture the experimentally observed increase in the O<sup>−</sup> density in the afterglow.

Gousset et al. [34] presented a model for the positive column of a low pressure dc discharge in O<sub>2</sub>, in which the electron kinetics was coupled to the rate balance equations of the dominant heavy species, i.e., O<sub>2</sub> molecules in ground state and one excited level, ground state O atoms, O<sub>2</sub><sup>+</sup> and O<sup>−</sup> ions. The vibrational kinetics of the O<sub>2</sub> molecules was also taken into account. A similar basic approach was applied by Pinheiro et al. for a low pressure (0.1–5 Torr) surface wave discharge in flowing O<sub>2</sub>, [35], but one more excited O<sub>2</sub> and O species, as well as the O<sub>3</sub> molecules were taken into account. Furthermore, the effect of the microwave nature of the sustaining field and the axial transport of the neutral species due to the gas flow were also accounted for. The measured electron density was used as an input in the model.

Guerra and Loureiro [36–38] developed a similar approach to study low pressure stationary N<sub>2</sub>–O<sub>2</sub> discharges and microwave discharges, with special emphasis on the vibrationally excited N<sub>2</sub> and O<sub>2</sub> molecules [36]. In [37] the model was extended with electrons and positive ions, and in [38] the O<sup>−</sup> ions were also included. In a recent paper [39] it was, however, suggested that this model was not yet complete, at least for a post-discharge, illustrating the complexity of mixed N<sub>2</sub>/O<sub>2</sub> plasmas. Also in [40] a very extensive kinetic scheme for non-equilibrium discharges in N<sub>2</sub>/O<sub>2</sub> mixtures was developed, but for a vibrationally unexcited gas.

In the present paper, we have developed a hybrid Monte Carlo–fluid model for an Ar/O<sub>2</sub> GD, with typical O<sub>2</sub> concentrations in the range of 0.05–5%. The model is in first instance developed for analytical spectrometry applications, but it is of course generally valid for other plasma applications as well, when operating under similar conditions.

## 2. Description of the model

### 2.1. Species included in the model and short description of the Monte Carlo (MC) model and fluid model

The different species included in the model are listed in Table 1. For Ar, the atoms in the metastable level (3p<sup>5</sup> 4 s <sup>3</sup>P<sub>2</sub>, at 11.55 eV above the ground state; denoted as Ar<sub>m</sub><sup>\*</sup>) and the Ar<sup>+</sup> ions are considered, beside the Ar ground state atoms. For oxygen, the O<sub>2</sub> molecules in the ground state and in two excited (metastable) levels (at 0.977 and 1.627 eV) are included, as well as the O atoms in the ground state and in the lowest metastable level (at 1.97 eV above the ground state), and the O<sub>3</sub> molecules. Besides the O<sub>2</sub><sup>+</sup> and O<sup>+</sup> ions, also the negative ions O<sup>−</sup> are considered. Finally, of course also the electrons are taken into account.

The model developed in this work is a hybrid model, similar to the hybrid models we developed earlier for Ar/H<sub>2</sub> [41–43] and Ar/N<sub>2</sub> [44] GDs. It is based on a Monte Carlo (MC) model for the fast electrons,

**Table 1**  
Different plasma species considered in the model.

Ground state neutrals	Neutrals in excited state	Ions	Electrons
Ar <sup>0</sup>	Ar <sub>m</sub> <sup>*</sup> (in metastable level)	Ar <sup>+</sup>	e <sup>−</sup>
O <sub>2</sub> (X) (X <sup>3</sup> Σ <sup>−</sup> )	O <sub>2</sub> (a) (a <sup>1</sup> Δ <sub>g</sub> )	O <sub>2</sub> <sup>+</sup>	
O ( <sup>3</sup> P), O <sub>3</sub>	O <sub>2</sub> (b) (b <sup>1</sup> Σ <sub>g</sub> <sup>+</sup> )	O <sup>+</sup> , O <sup>−</sup>	
	O ( <sup>1</sup> D)		

For the O<sub>2</sub> molecules in ground and excited levels, the full notation is given between brackets, but further in this paper, only the short notations are used, i.e., O<sub>2</sub>(X) (for the ground state) and O<sub>2</sub>(a) and O<sub>2</sub>(b) for the excited (metastable) levels, lying at 0.977 eV and 1.627 eV above the ground state, respectively. For the O atoms, only the ground state and one excited (metastable) level, at 1.97 eV above the ground state, are included.

and a fluid model for all other plasma species, including the slow electrons. The distinction between fast and slow electrons is made based on their energy. Indeed, if the energy is higher than the threshold for inelastic collisions (i.e., for the Ar/O<sub>2</sub> gas mixture taken as 1 eV) the electrons are considered “fast”, and their behavior (including the collisions) is described in detail with a MC model. On the other hand, the electrons with lower energy do not take part in inelastic collisions; their role in the plasma is mainly to carry the electric current and to provide negative space charge, and therefore, they can as well be treated with a fluid model.

The fast electron MC model is based on solving Newton's equations for a large number of individual electrons, during successive time-steps. The probability of collision during these time-steps is calculated as:

$$Prob_{\text{coll}} = 1 - \exp(-\Delta s \sum (n \sigma_{\text{coll}}(E)))$$

where  $\Delta s$  is the distance traveled during the time-step;  $n$  and  $\sigma_{\text{coll}}(E)$  are the densities of the target particles and the cross sections of the different collision types of the electrons with energy  $E$ . This formula yields a value between 0 and 1, which is compared with a random number in the interval [0,1]. If the probability is lower than this random number, no collision occurs, and the procedure is repeated during the next time-step. If the probability is higher, a collision occurs and the kind of collision is determined based on the partial collision probabilities and another random number. The collisions included in this MC model are presented in the next section. Subsequently, the new energy and direction after collision are calculated, again based on random numbers and scattering theory. More details about this MC procedure can be found e.g. in [45]. By following a large number of electrons in this way, their behavior can be statistically simulated.

When the (sum of kinetic and potential) energy of the electrons drops below the threshold for inelastic collisions, they are transferred to the fluid model. This model does not only treat the slow electrons, but also all other plasma species, as listed in Table 1. It includes a continuity equation for each plasma species:

$$\frac{\partial n_i}{\partial t} + \nabla \cdot J_i = S_i.$$

In this equation,  $n_i$  and  $J_i$  stand for the densities and fluxes of species  $i$ , and  $S_i$  represents the net production rate, determined by different production and loss terms, as defined by the reactions in Tables 2–4 (see a more detailed explanation below).

The flux term is calculated by a transport equation, based on diffusion and migration in the electric field, for the charged species:

$$J_i = \pm \mu_i n_i E - D_i \nabla n_i.$$

$D_i$  and  $\mu_i$  are the species diffusion coefficients and mobilities, respectively, and  $E$  represents the electric field. The (+)-sign in the first term applies to the positive ions, whereas the (-)-sign corresponds to negative ions and electrons. The mobilities of the various ions are calculated using the low electric field Langevin mobility expression [46]. The diffusion coefficients of the ions and electrons are calculated from their mobilities, using the Einstein relation. For the neutral species, diffusion coefficients are calculated with the Chapman–Enskog equation for binary gas systems, using characteristic Lennard–Jones parameters, adopted from [47,48].

These equations for all plasma species are solved together with Poisson equation, in order to obtain a self-consistent electric field distribution:

$$\nabla^2 V = \frac{e}{\epsilon_0} [n_+ - n_- - n_e]$$

where  $n_+$  and  $n_-$  are the (sum of the) positive and negative ion densities, respectively, and  $n_e$  is the electron density. The equations

are solved on a computational grid consisting of 60 grid points in the axial direction and 25 grid points in the radial direction. More details about the principle of this fluid model can be found e.g., in [49].

## 2.2. Electron impact reactions included in the model

Table 2 gives an overview of the electron reactions taken into account. Most of these reactions are treated in the electron MC model, and described by energy-dependent cross sections (denoted as  $\sigma(E)$  in column 4). The collisions with Ar atoms (in ground state or metastable level) (i.e., Nos. 1–6), as well as the electron–electron Coulomb collision (No. 24), are the same as in our previous work (see plot of cross sections in Fig. 2(a) of Ref. [44]). The cross sections with oxygen species (i.e., Nos. 7–20 and 22) are plotted as a function of electron energy in Fig. 1. The labels to the curves of this figure correspond to the numbers in column 1 of Table 2.

Fig. 1(a) includes all collisions with O<sub>2</sub> molecules, except the various excitation collisions, which are depicted in Fig. 1(b). Note that electron impact excitation is considered to several excited levels, including O<sub>2</sub>(a) and O<sub>2</sub>(b), as well as the higher energy levels. The latter are not included explicitly in the model, because they are assumed to decay to the lower levels, but the corresponding excitation collisions needed to be included, as they cause significant energy loss for the electrons. In Table 2, these processes are summarized as excitation to higher O<sub>2</sub><sup>\*</sup> levels (No. 16), but this includes five different excitation processes, with energy losses taken equal to 4.5 eV, 6 eV, 8.4 eV, 9.97 eV and 14.7 eV, respectively. The cross sections of these individual processes are represented with dashed lines in Fig. 2(b). Vibrational and rotational excitation of the O<sub>2</sub> molecules are not taken into account, as these processes affect mainly the low energy part of the electron energy distribution function, and the MC model is only applied to the high energy electrons (so-called “fast electrons”, able to produce ionization of the gas; cf. above). Moreover, the vibrationally excited levels of the O<sub>2</sub> molecules are not included in the model, because vibrational kinetics is not so important for O<sub>2</sub>.

In Fig. 1(c) the cross sections of electron impact collisions with O atoms and O<sub>3</sub> molecules are plotted. For an accurate calculation of the electron energy distribution, not only electron impact excitation from the O(<sup>3</sup>P) ground state to the O(<sup>1</sup>D) level (No. 18) is included in the MC model, but also to the O(<sup>1</sup>S) level (reaction No. 19), but the latter species is not explicitly included in the model. Following ref. [40] the dissociation cross section of O<sub>3</sub> is taken as ten times the dissociation cross section of O<sub>2</sub> (i.e., reactions No. 10 and 11).

Apart from the electron impact collisions with O<sub>2</sub> ground state molecules, listed in Table 2, the same processes are also included for collisions with the O<sub>2</sub> molecules in the excited levels (O<sub>2</sub>(a) and O<sub>2</sub>(b)), but the threshold of the collisions is then reduced, according to the energy of these levels (i.e., 0.98 eV and 1.63 eV, respectively). Moreover, also electron impact deexcitation from these levels to the ground state (or from O<sub>2</sub>(b) to O<sub>2</sub>(a)) is taken into account, and the corresponding cross sections were obtained by the principle of detailed balancing. The same applies to deexcitation from the O(<sup>1</sup>D) level to the ground state.

Some of the electron reactions listed in Table 2, such as the various electron-ion recombinations, as well as attachment of O, dissociative attachment of O<sub>3</sub> and neutralization of O<sup>-</sup>, occur at thermal energy. Therefore, they are not included in the MC model, but treated in the fluid model, with an overall rate coefficient, of which the value is given in column 4 of Table 2.  $T_e$  is given in K in reactions (25, 27 and 28) and in eV in reaction (30). In our model we assume  $T_e = 1$  eV. The exact value of  $T_e$  is however not known. In principle, this can influence the calculations, because reactions (25, 27, 28 and 30 are dependent on  $T_e$ ). However, the dependence of reactions (27, 28) is only minor, and reactions (25, 30) are found to exert a negligible effect on our

**Table 2**

Overview of the electron reactions included in the model, as well as the corresponding rate coefficients or cross sections, and the references where these data are adopted from.

No.	Reaction	Name	Rate coefficient or cross section	Ref.
1	$e^- + \text{Ar} \rightarrow e^- + \text{Ar}$	Momentum transfer with Ar	$\sigma(E)$	[50]
2	$e^- + \text{Ar} \rightarrow 2 e^- + \text{Ar}^+$	Ionization of Ar	$\sigma(E)$	[50]
3	$e^- + \text{Ar} \rightarrow e^- + \text{Ar}^*$ (total)	Total excitation of Ar	$\sigma(E)$	[50]
4	$e^- + \text{Ar} \rightarrow e^- + \text{Ar}_m^*$	Excitation to $\text{Ar}_m^*$	$\sigma(E)$	[51]
5	$e^- + \text{Ar}_m^* \rightarrow 2 e^- + \text{Ar}^+$	Ionization of $\text{Ar}_m^*$	$\sigma(E)$	[52]
6	$e^- + \text{Ar}_m^* \rightarrow e^- + \text{Ar}^*$ (total)	Total excitation from $\text{Ar}_m^*$	$\sigma(E)$	[53]
7	$e^- + \text{O}_2 \rightarrow e^- + \text{O}_2$	Momentum transfer with $\text{O}_2$	$\sigma(E)$	[54]
8	$e^- + \text{O}_2 \rightarrow 2e^- + \text{O}_2^+$	Ionization of $\text{O}_2$	$\sigma(E)$	[54]
9	$e^- + \text{O}_2 \rightarrow 2e^- + \text{O}^+ + \text{O}$	Dissociative ionization of $\text{O}_2$	$\sigma(E)$	[30]
10	$e^- + \text{O}_2 \rightarrow e^- + \text{O} (^3\text{P}) + \text{O} (^3\text{P})$	Dissociation of $\text{O}_2$	$\sigma(E)$	[30]
11	$e^- + \text{O}_2 \rightarrow e^- + \text{O} (^3\text{P}) + \text{O} (^1\text{D})$	Dissociative excitation of $\text{O}_2$	$\sigma(E)$	[30]
12	$e^- + \text{O}_2 \rightarrow \text{O}^- + \text{O}$	Dissociative attachment of $\text{O}_2$	$\sigma(E)$	[54]
13	$e^- + \text{O}_2 \rightarrow e^- + \text{O}^+ + \text{O}^-$	Dissociation of $\text{O}_2$ (ion pair formation)	$\sigma(E)$	[16]
14	$e^- + \text{O}_2 \rightarrow e^- + \text{O}_2$ (a)	Electronic excitation of $\text{O}_2$ to $\text{O}_2$ ( $a \ ^1\Delta_g$ )	$\sigma(E)$	[54]
15	$e^- + \text{O}_2 \rightarrow e^- + \text{O}_2$ (b)	Electronic excitation of $\text{O}_2$ to $\text{O}_2$ ( $b \ ^1\Sigma_g^+$ )	$\sigma(E)$	[54]
16	$e^- + \text{O}_2 \rightarrow e^- + \text{O}_2^*$	Electronic excitation of $\text{O}_2$ to higher $\text{O}_2^*$	$\sigma(E)$	[54]
17	$e^- + \text{O} \rightarrow e^- + \text{O}$	Momentum transfer with O	$\sigma(E)$	[55]
18	$e^- + \text{O} \rightarrow e^- + \text{O} (^1\text{D})$	Electron impact excitation of O ( $^3\text{P}$ ) to O ( $^1\text{D}$ )	$\sigma(E)$	[56]
19	$e^- + \text{O} \rightarrow e^- + \text{O} (^1\text{S})$	Electron impact excitation of O ( $^3\text{P}$ ) to O ( $^1\text{S}$ )	$\sigma(E)$	[56]
20	$e^- + \text{O} \rightarrow 2 e^- + \text{O}^+$	Electron impact ionization of O	$\sigma(E)$	[56]
21	$e^- + \text{O} + \text{M} \rightarrow \text{O}^- + \text{M}$	Electron attachment to O	$k = 10^{-31} \text{ cm}^6 \text{ s}^{-1}$	[30,40]
22	$e^- + \text{O}_3 \rightarrow e^- + \text{O}_2 (\text{X}) + \text{O} (^3\text{P})$	Dissociation of $\text{O}_3$	$\sigma(E)$	[30,40]
23	$e^- + \text{O}_3 \rightarrow \text{O}^- + \text{O}_2 (\text{X})$	Dissociative attachment of $\text{O}_3$	$k = 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[40]
24	$e^- + e^- \rightarrow e^- + e^-$	Electron–electron Coulomb collisions	$\sigma(E)$	[57]
25	$e^- + \text{Ar}^+ + e^- \rightarrow \text{Ar} + e^-$	Three-body recombination with $\text{Ar}^+$	$k = 10^{-19} (T_e(\text{K})/300)^{-4.5} \text{ cm}^6 \text{ s}^{-1}$	[58]
26	$e^- + \text{O}^+ + \text{M} \rightarrow \text{O} + \text{M}$	Three-body recombination with $\text{O}^+$	$k = 10^{-26} \text{ cm}^6 \text{ s}^{-1}$	[30]
27	$e^- + \text{O}_2^+ \rightarrow \text{O} (^3\text{P}) + \text{O} (^3\text{P})$	Dissociative recombination with $\text{O}_2^+$	$k = 2 \times 10^{-7} (300/T_e(\text{K}))^{0.7} \text{ cm}^3 \text{ s}^{-1}$	[30]
28	$e^- + \text{O}_2^+ \rightarrow \text{O} (^3\text{P}) + \text{O} (^1\text{D})$	Dissociative recombination with $\text{O}_2^+$	$k = 2 \times 10^{-7} (300/T_e(\text{K}))^{0.7} \text{ cm}^3 \text{ s}^{-1}$	[30]
29	$e^- + \text{O}_2^+ + \text{M} \rightarrow \text{O}_2 + \text{M}$	Three-body recombination with $\text{O}_2^+$	$k = 10^{-26} \text{ cm}^6 \text{ s}^{-1}$	[30]
30	$e^- + \text{O}^- \rightarrow 2e^- + \text{O}$	Neutralization	$k = 2 \times 10^{-7} \exp(-5.5/T_e) \text{ cm}^3 \text{ s}^{-1}$	[23,33]

Note that M stands for a third body (either Ar or  $\text{O}_2$ ).

**Table 3**

Overview of the chemical reactions taken into account in the model for the various ions, as well as the corresponding rate coefficients, and the references where these data were adopted from.

No.	Reaction	Name	Rate coefficient	Ref
<i>Ar<sup>+</sup> reactions</i>				
31	$\text{Ar}^+ + \text{O}_2 \rightarrow \text{Ar} + \text{O}_2^+$	Charge transfer	$k = 5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[27,59–62]
32	$\text{Ar}^+ + \text{O}_2$ (a) $\rightarrow \text{Ar} + \text{O}_2^+$	Charge transfer	$k = 5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	(a)
33	$\text{Ar}^+ + \text{O}_2$ (b) $\rightarrow \text{Ar} + \text{O}_2^+$	Charge transfer	$k = 5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	(a)
34	$\text{Ar}^+ + \text{O} \rightarrow \text{Ar} + \text{O}^+$	Charge transfer	$k = 6.4 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	[27]
35	$\text{Ar}^+ + \text{O}^- \rightarrow \text{Ar} + \text{O}$	Neutralization	$k = 2.7 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[24]
<i>O<sup>+</sup> reactions</i>				
36	$\text{O}^+ + \text{O}_2 \rightarrow \text{O} + \text{O}_2^+$	Charge transfer	$k = 2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[25]
37	$\text{O}^+ + \text{O}_2$ (a) $\rightarrow \text{O} + \text{O}_2^+$	Charge transfer	$k = 2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[25]
38	$\text{O}^+ + \text{O}_2$ (b) $\rightarrow \text{O} + \text{O}_2^+$	Charge transfer	$k = 2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[25]
39	$\text{O}^+ + \text{Ar} \rightarrow \text{O} + \text{Ar}^+$	Charge transfer	$k = 2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	(a)
40	$\text{O}^+ + \text{O}_3 \rightarrow \text{O}_2^+ + \text{O}_2$	Ion conversion	$k = 1 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[40]
41	$\text{O}^+ + \text{O} + \text{M} \rightarrow \text{O}_2^+ + \text{M}$	Ion conversion	$k = 1 \times 10^{-29} \text{ cm}^6 \text{ s}^{-1}$	[40]
42	$\text{O}^+ + \text{O}^- \rightarrow \text{O} + \text{O}$	Neutralization	$k = 2.7 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[25]
<i>O<sub>2</sub><sup>+</sup> reactions</i>				
43	$\text{O}_2^+ + \text{Ar} \rightarrow \text{O}_2 + \text{Ar}^+$	Charge transfer	$k = 2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	(a)
44	$\text{O}_2^+ + \text{O}^- \rightarrow \text{O}_2 + \text{O}$	Neutralization	$k = 1.5 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[25]
45	$\text{O}_2^+ + \text{O}^- \rightarrow \text{O} + \text{O} + \text{O}$	Neutralization	$k = 2 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
46	$\text{O}_2^+ + \text{O}^- + \text{M} \rightarrow \text{O}_3 + \text{M}$	Neutralization/Ozone formation	$k = 2 \times 10^{-25} (300/T_g)^{2.5} \text{ cm}^6 \text{ s}^{-1}$	[23]
<i>O<sup>-</sup> reactions</i>				
47	$\text{O}^- + \text{O}_2 \rightarrow e^- + \text{O}_3$	Electron detachment/Ozone formation	$k = 5 \times 10^{-15} \text{ cm}^3 \text{ s}^{-1}$	[40]
48	$\text{O}^- + \text{O}_2$ (a) $\rightarrow e^- + \text{O}_3$	Electron detachment/Ozone formation	$k = 3 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30, 38,40]
49	$\text{O}^- + \text{O}_2$ (b) $\rightarrow e^- + \text{O} + \text{O}_2$	Electron detachment	$k = 6.9 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,38,40]
50	$\text{O}^- + \text{O} \rightarrow e^- + \text{O}_2$	Electron detachment	$k = 3 \times 10^{-10} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[25]
35	$\text{O}^- + \text{Ar}^+ \rightarrow \text{O} + \text{Ar}$	Neutralization	$k = 2.7 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[24]
42	$\text{O}^- + \text{O}^+ \rightarrow \text{O} + \text{O}$	Neutralization	$k = 2.7 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[25]
44	$\text{O}^- + \text{O}_2^+ \rightarrow \text{O} + \text{O}_2$	Neutralization	$k = 1.5 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[25]
45	$\text{O}^- + \text{O}_2^+ \rightarrow \text{O} + \text{O} + \text{O}$	Neutralization	$k = 2 \times 10^{-7} (300/T_g)^{0.5} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
46	$\text{O}^- + \text{O}_2^+ + \text{M} \rightarrow \text{O}_3 + \text{M}$	Neutralization/Ozone formation	$k = 2 \times 10^{-25} (300/T_g)^{2.5} \text{ cm}^6 \text{ s}^{-1}$	[23]

Note that the recombination reactions with electrons were already tabulated in Table 2, and are therefore not repeated here.  $T_g$  is the gas temperature, in K.

(a) Assumed in this work (see text).

calculation results, as will be demonstrated below. Hence, in our case, the choice of  $T_e$  appears not so critical. The references to the papers where the cross sections and rate coefficients were adopted from, can be found in column 5.

### 2.3. Ion reactions included in the model

The chemical reactions taken into account for the various ions are listed in Table 3. These reactions include asymmetric charge transfer

and ion conversion for the positive ions, as well as neutralization with  $O^-$  ions. For the latter species also electron detachment and ozone formation can take place.

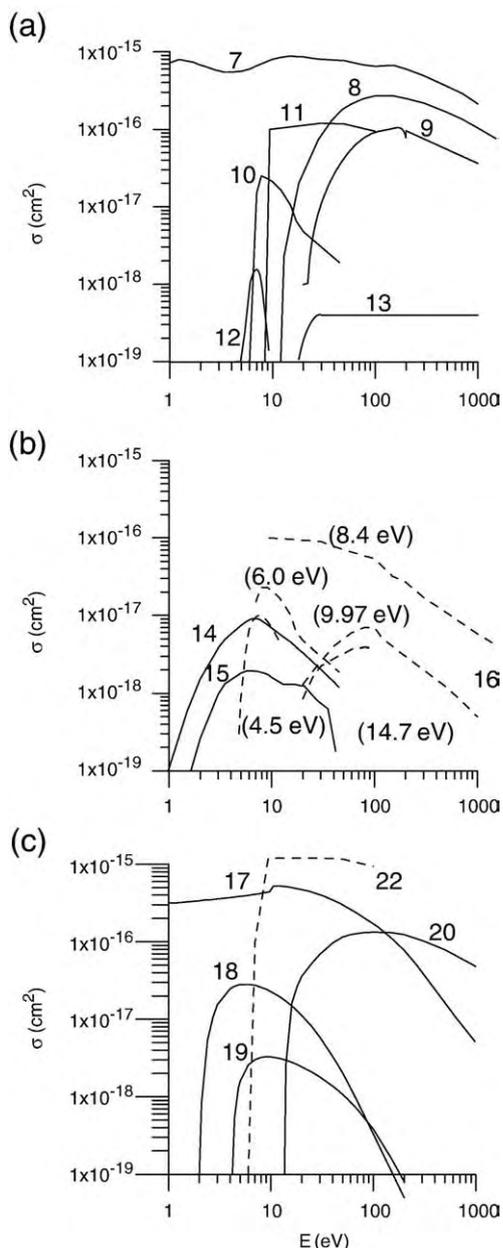
The rate coefficient for asymmetric charge transfer between  $Ar^+$  ions and  $O_2$  molecules has been reported in the order of  $4.4 \times 10^{-11}$ – $5.8 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  [27,59–62]. In our study, we assume an intermediate value of  $5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , but we have also performed calculations varying the rate coefficient in this reported range, and the calculated results were found to be no different. The rate coefficient for

**Table 4**  
Overview of the chemical reactions considered in the model for the various neutral species, as well as the corresponding rate coefficients ( $k$ ) or Einstein transition probabilities ( $A$ ), and the references where these data were adopted from.

No.	Reaction	Name	Rate coefficient	Ref.
<i>O<sub>2</sub> (a) reactions</i>				
51	$O_2(a) + O_2(X) \rightarrow 2 O_2(X)$	Collis.deexcitation	$k = 6 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
52	$O_2(a) + Ar \rightarrow O_2(X) + Ar$	Collis.deexcitation	$k = 6 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$	(a)
53	$O_2(a) + O(^3P) \rightarrow O_2(X) + O(^3P)$	Collis.deexcitation	$k = 7 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$	[40]
54	$O_2(a) + O_3 \rightarrow 2 O_2(X) + O(^3P)$	Ozone destruction	$k = 5.2 \times 10^{-11} \exp(-2840/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35,63]
55	$O_2(a) + O_3 \rightarrow O_2(X) + O_3$	Collis.deexcitation	$k = 4.6 \times 10^{-11} \exp(-2810/T_g) \text{ cm}^3 \text{ s}^{-1}$	[32]
56	$2 O_2(a) \rightarrow O_2(b) + O_2(X)$	Level conversion	$k = 1.8 \times 10^{-19} (T_g/300)^{3.8} \exp(700/T_g) \text{ cm}^3 \text{ s}^{-1}$	[63]
57	$O_2(a) \rightarrow O_2(X) + h\nu$	Radiative decay	$A = 3.7 \times 10^{-4} \text{ s}^{-1}$	[63]
<i>O<sub>2</sub> (b) reactions</i>				
58	$O_2(b) + O_2(X) \rightarrow O_2(a) + O_2(X)$	Collis.deexcitation	$k = 4.3 \times 10^{-22} \exp(-241/T_g) \text{ cm}^3 \text{ s}^{-1}$	[40]
59	$O_2(b) + O_2(X) \rightarrow 2 O_2(X)$	Collis.deexcitation	$k = 1 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$	[63]
60	$O_2(b) + Ar \rightarrow O_2(X) + Ar$	Collis.deexcitation	$k = 1 \times 10^{-16} \text{ cm}^3 \text{ s}^{-1}$	(a)
61	$O_2(b) + O(^3P) \rightarrow O_2(X) + O(^1D)$	Collis.deexcitation	$k = 3.39 \times 10^{-11} \exp(-4201/T_g) \text{ cm}^3 \text{ s}^{-1}$	[40]
62	$O_2(b) + O(^3P) \rightarrow O_2(a) + O(^3P)$	Collis.deexcitation	$k = 8 \times 10^{-14} \text{ cm}^3 \text{ s}^{-1}$	[40,63]
63	$O_2(b) + O_3 \rightarrow 2 O_2(X) + O(^3P)$	Ozone destruction	$k = 1.5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[30,35,63]
64	$O_2(b) \rightarrow O_2(X) + h\nu$	Radiative decay	$A = 0.14 \text{ s}^{-1}$	[63]
<i>O(^3P) reactions</i>				
65	$O(^3P) + 2 O_2(X) \rightarrow O_3 + O_2(X)$	Ozone formation	$k = 6.4 \times 10^{-35} \exp(663/T_g) \text{ cm}^6 \text{ s}^{-1}$	[30,35]
66	$O(^3P) + O_2(X) + Ar \rightarrow O_3 + Ar$	Ozone formation	$k = 1.9 \times 10^{-35} \exp(1057/T_g) \text{ cm}^6 \text{ s}^{-1}$	[23,63]
67	$2 O(^3P) + O_2(X) \rightarrow O_3 + O(^3P)$	Ozone formation	$k = 2.15 \times 10^{-34} \exp(345/T_g) \text{ cm}^6 \text{ s}^{-1}$	[30,35]
68	$2 O(^3P) + O_2(X) \rightarrow 2 O_2(X)$	Recombination	$k = 2.45 \times 10^{-31} T_g^{-0.63} \text{ cm}^6 \text{ s}^{-1}$	[40]
69	$2 O(^3P) + Ar \rightarrow O_2(X) + Ar$	Recombination	$k = 2.45 \times 10^{-31} T_g^{-0.63} \text{ cm}^6 \text{ s}^{-1}$	(a)
70	$O(^3P) + O_3 \rightarrow O_2(a) + O_2(X)$	Ozone destruction	$k = 1 \times 10^{-11} \exp(-2300/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30, 35]
71	$O(^3P) + O_3 \rightarrow 2 O_2(X)$	Ozone destruction	$k = 1.8 \times 10^{-11} \exp(-2300/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35]
72	$O(^3P) + O(^1D) \rightarrow 2 O(^3P)$	Level conversion	$k = 8 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
<i>O(^1D) reactions</i>				
72	$O(^1D) + O(^3P) \rightarrow 2 O(^3P)$	Collis.deexcitation	$k = 8 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	[23,30,35]
73	$O(^1D) + O_2(X) \rightarrow O(^3P) + O_2(X)$	Collis.deexcitation	$k = 7 \times 10^{-12} \exp(67/T_g) \text{ cm}^3 \text{ s}^{-1}$	[23,30,35,40]
74	$O(^1D) + O_2(X) \rightarrow O(^3P) + O_2(a)$	Collis.(de)excitation	$k = 1 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	[23,30,35]
75	$O(^1D) + O_2(X) \rightarrow O(^3P) + O_2(b)$	Collis.(de)excitation	$k = 2.56 \times 10^{-11} \exp(67/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35,40]
76	$O(^1D) + Ar \rightarrow O(^3P) + Ar$	Collis.(de)excitation	$k = 5 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	[64]
77	$O(^1D) + O_3 \rightarrow O(^3P) + O_3$	Collis.deexcitation	$k = 2.4 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
78	$O(^1D) + O_3 \rightarrow 2 O_2(X)$	Ozone destruction	$k = 2.4 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
79	$O(^1D) + O_3 \rightarrow 2 O(^3P) + O_2(X)$	Ozone destruction	$k = 1.2 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
80	$O(^1D) + O_3 \rightarrow O_2(X) + O_2(a)$	Ozone destruction	$k = 1.2 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
<i>O<sub>3</sub> reactions</i>				
81	$O_3 + M \rightarrow O(^3P) + O_2(X) + M$	Ozone destruction	$k = 7.3 \times 10^{-10} \exp(-11400/T_g) \text{ cm}^3 \text{ s}^{-1}$	[23,63]
54	$O_2(a) + O_3 \rightarrow 2 O_2(X) + O(^3P)$	Ozone destruction	$k = 5.2 \times 10^{-11} \exp(-2840/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35,63]
55	$O_2(a) + O_3 \rightarrow O_2(X) + O_3$	Collis.deexcitation	$k = 4.6 \times 10^{-11} \exp(-2810/T_g) \text{ cm}^3 \text{ s}^{-1}$	[32]
63	$O_2(b) + O_3 \rightarrow 2 O_2(X) + O(^3P)$	Ozone destruction	$k = 1.5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[30,35,63]
70	$O(^3P) + O_3 \rightarrow O_2(a) + O_2(X)$	Ozone destruction	$k = 1 \times 10^{-11} \exp(-2300/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35]
71	$O(^3P) + O_3 \rightarrow 2 O_2(X)$	Ozone destruction	$k = 1.8 \times 10^{-11} \exp(-2300/T_g) \text{ cm}^3 \text{ s}^{-1}$	[30,35]
78	$O(^1D) + O_3 \rightarrow 2 O_2(X)$	Ozone destruction	$k = 2.4 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
79	$O(^1D) + O_3 \rightarrow 2 O(^3P) + O_2(X)$	Ozone destruction	$k = 1.2 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
80	$O(^1D) + O_3 \rightarrow O_2(X) + O_2(a)$	Ozone destruction	$k = 1.2 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[30,35]
<i>Ar<sub>m</sub><sup>*</sup> reactions</i>				
5	$Ar_m^* + e^- \rightarrow Ar^+ + 2 e^-$	Electron impact ionization	$\sigma(E)$	[52]
6	$Ar_m^* + e^- \rightarrow Ar^* + e^-$	Electron impact excitation	$\sigma(E)$	[53]
81	$Ar_m^* + e^- \rightarrow Ar_r^* + e^-$	Quenching by electrons	$k = 2 \times 10^{-7} \text{ cm}^3 \text{ s}^{-1}$	[65]
82	$Ar_m^* + Ar_m^* \rightarrow Ar^0 + Ar^+ + e^-$	Metastable–metastable collisions	$k = 6.4 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[65,66]
83	$Ar_m^* + Cu^0 \rightarrow Ar^0 + Cu^+ + e^-$	Penning ionization of Cu	$k = 2.6 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[67,68]
84	$Ar_m^* + Ar^0 \rightarrow Ar^0 + Ar^0$	Two-body collisions	$k = 2.3 \times 10^{-15} \text{ cm}^3 \text{ s}^{-1}$	[69]
85	$Ar_m^* + 2 Ar^0 \rightarrow Ar_m^* + Ar^0$	Three-body collisions	$k = 1.4 \times 10^{-32} \text{ cm}^6 \text{ s}^{-1}$	[69]
86	$Ar_m^* + O_2 \rightarrow Ar^0 + O + O$	Quenching by dissociation of O <sub>2</sub>	$k = 2.1 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$	[70–72]
87	$Ar_m^* + O \rightarrow Ar^0 + O$	Quenching by collision with O	$k = 4.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$	[27]

$T_g$  is the gas temperature, in K.

(a) Assumed in this work (see text).



**Fig. 1.** Cross sections of the electron impact reactions with oxygen species, as a function of the electron energy. Part (a) shows all collisions with  $O_2$  molecules, except for electron impact excitation, which is presented in part (b). Part (c) presents the collisions with O atoms (solid lines) and  $O_3$  molecules (dashed lines). The labels of the curves correspond to the numbers of the reactions in the first column of Table 2.

asymmetric charge transfer between  $Ar^+$  ions and  $O_2$  molecules in the excited levels (a) and (b) is assumed to be the same as for the reaction with the ground state molecules, in accordance with [25].

Because no data could be found in literature for the rate coefficient of asymmetric charge transfer between  $O^+$  ions and Ar atoms, the same value is assumed as for the reaction with  $O_2$  molecules. The same applies for the charge transfer reaction between  $O_2^+$  ions and Ar atoms. However, because both reactions can be important loss mechanisms for the  $O^+$  and  $O_2^+$  ions, the value of the rate coefficients was also varied in the range between  $6 \times 10^{-12}$  and  $10^{-10} \text{ cm}^3 \text{ s}^{-1}$ , to check the effect of this assumption. It was found to affect only the  $O^+$  and  $O_2^+$  ion densities, respectively, but not the other calculated results (see Section 3 below).

Finally, reactions 35, 42 and 44–46 occur twice in the table, as they take place between two different ions (i.e., a positive ion and the  $O^-$

ions). They are repeated explicitly for the  $O^-$  ions, for the sake of clarity and completeness.

#### 2.4. Neutral reactions included in the model

Table 4 gives an overview of the chemical reactions included in the model for the various neutral species, i.e., the  $O_2$  molecules in the metastable levels ( $O_2(a)$  and  $O_2(b)$ ), as well as the O atoms in the ground state and the metastable level ( $O(^3P)$  and  $O(^1D)$ ), the  $O_3$  molecules and the  $Ar_m^*$  metastable atoms. The reactions of ground state  $O_2$  molecules (i.e.,  $O_2(X)$ ) are not separately listed in the table, as they occur with all other reactive species, and are therefore listed already in this table and in previous Tables 2 and 3.

The reactions for the oxygen species include collisional excitation and deexcitation, level conversion, recombination, radiative decay, as well as ozone formation and destruction. Most rate coefficients could be found in literature, but for a few reactions, some assumptions had to be made (indicated with (a) in the table). For instance, the rate coefficients for deexcitation of the  $O_2(a)$  and  $O_2(b)$  levels upon collision with Ar atoms (i.e., reactions 52 and 60, respectively) are assumed the same as for the collisions with  $O_2$  molecules (reactions 51 and 59, respectively). The same applies for the three-body reactions of  $O(^3P)$  levels (reaction 69). The rate coefficient for deexcitation of  $O(^1D)$  upon collision with Ar atoms (i.e., reaction 76) was reported to be in the range of  $1 \times 10^{-12}$ – $2 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  [64]. We have taken an intermediate value of  $5 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ , which is close to the value found for deexcitation upon collision with  $O_2(X)$  molecules (i.e., reaction 73).

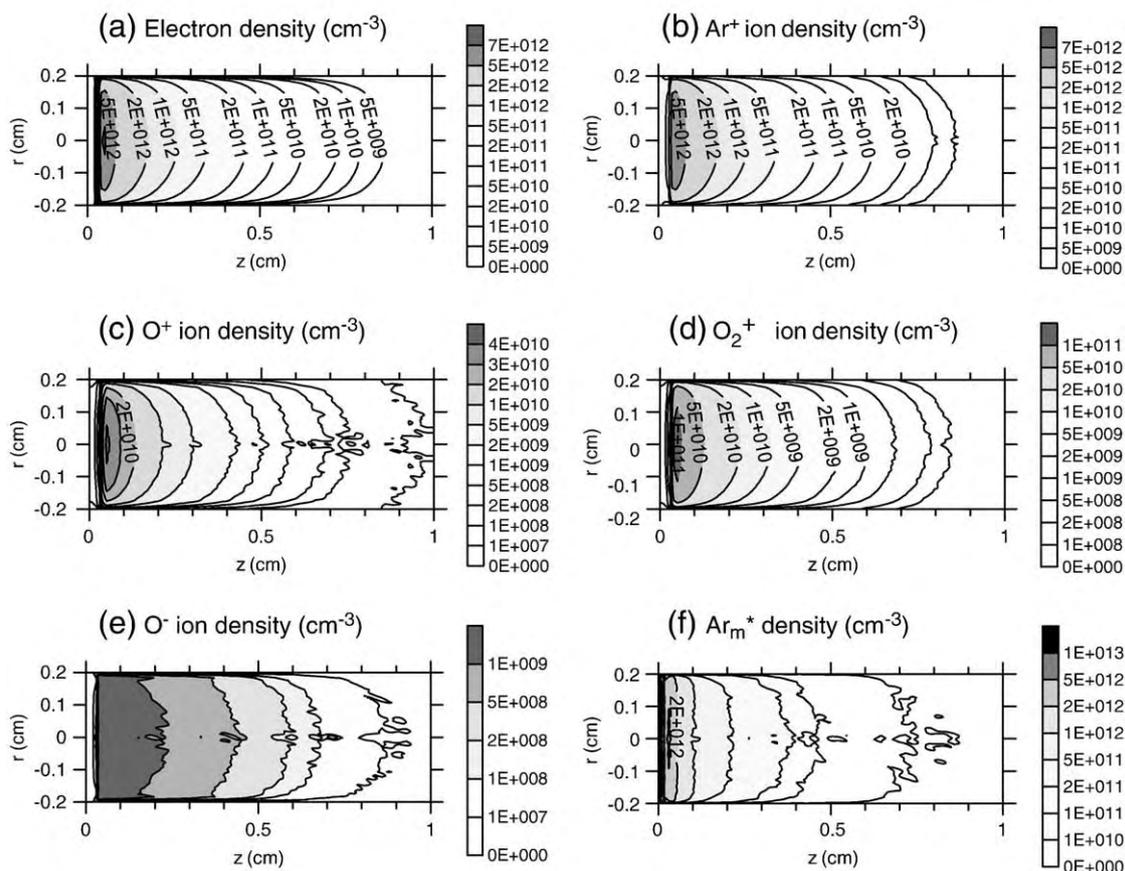
Reactions 57 and 64 are radiative decay from the  $O_2(a)$  and  $O_2(b)$  levels, respectively. They are characterized by the Einstein transition probabilities ( $A$ ). The latter are, however, very low; hence radiative decay is not really important; it is only included here for the sake of completeness.

Note that reaction 72 is presented twice in the table, as it occurs between  $O(^3P)$  and  $O(^1D)$  atoms. Also the reactions involving  $O_3$  (except for reaction 81) occur twice, because they happen between two different kinds of reactive species.

For the  $Ar_m^*$  metastable atoms, a number of reactions are included, which also take place in pure Ar discharges. Reactions 5 and 6 were also listed in Table 2. Note that quenching by electrons means the transfer of the metastable level to a nearby radiative level, which will decay to the ground state. The new reactions, occurring with oxygen species, and hence typical for the Ar/ $O_2$  GD, are reactions 86 and 87.

#### 2.5. Boundary conditions of the models

When the fast electrons in the MC model collide at the walls of the cell, they can be absorbed, reflected, or cause secondary electron emission, as defined by the reflection and secondary electron emission coefficient (see details in [45]). For the ion induced secondary electron emission, an effective emission coefficient of 0.15 is used, which includes the contributions of all the different ions. Indeed, this value was adopted, because it resulted in a realistic electrical current, as calculated by the model (see below). Such a procedure has been applied in other papers as well, because the exact values of the secondary electron emission yields for the various ions are often unknown. In principle, it is possible that the secondary electron emission yields vary due to the formation of an oxide layer on the metal cathode (i.e., so-called “target poisoning”; see also below), which results from the addition of  $O_2$  to the Ar discharge. Consequently, this can influence the discharge characteristics, such as current–voltage profiles. From the experiments it is, however, not clear how the  $O_2$  addition affects the electrical current. Indeed, some experiments reveal an initial increase in the current (up to about 1.5%  $O_2$  concentration), followed by a drop or a constant behavior depending on the metal [5], whereas other experiments show the opposite trend, i.e., a drop in current for  $O_2$  additions up to a few %.



**Fig. 2.** Calculated 2D density profiles of the electrons (a), Ar<sup>+</sup> ions (b), O<sup>+</sup> ions (c), O<sub>2</sub><sup>+</sup> ions (d), O<sup>-</sup> ions (e) and Ar<sub>m</sub><sup>\*</sup> metastable atoms (f), in a Grimm-type GD, at 850 Pa total gas pressure, 800 V dc discharge voltage, 13 mA electrical current, and 1% O<sub>2</sub> added to the discharge. The left axis represents the cathode, whereas the other figure borders are the cell walls, at anode potential. Only the first cm adjacent to the cathode is shown, as this is the most intense plasma region.

followed by a minor rise [7]. Finally, Steers et al. reported only a minor change in current–voltage characteristics [1]. Therefore, in the present study, we have treated the effective secondary electron emission yield as a constant value. This approach could be improved in future work, to investigate in more detail the effect of target poisoning on the discharge characteristics, if more experimental data become available.

In the fluid models, the electrons and negative ions are assumed to be lost at the walls (i.e., zero density at the walls). For the positive ions, the gradient of the density is taken as zero [49]. The excited species are assumed to be deexcited upon collision at the walls (i.e., zero density). Finally, the O atoms will undergo heterogeneous recombination at the walls, i.e., O + O + wall → O<sub>2</sub>. The recombination coefficient was measured in [73] to be 0.07 for stainless steel and 0.27 for nickel. Guerra et al. [37] reported a value of  $2 \times 10^{-3}$  for a Pyrex surface. On the other hand, sticking coefficients of O atoms on pure metal surfaces in the order of 1 are also reported; however, this value typically drops when a metal oxide film is formed on the surface [17]. Kutasi and Loureiro [74] presented an extensive study about the effect of different N(<sup>4</sup>S) and O(<sup>3</sup>P) surface loss probabilities on the density distributions of various plasma species in an N<sub>2</sub>–O<sub>2</sub> post-discharge, for three different wall materials, i.e., Pyrex, aluminium and stainless steel, based on data available in the literature. For O atoms, the values were varied in the range of  $4 \times 10^{-4}$ – $2 \times 10^{-3}$  for Pyrex; for aluminium the reported values were in the range of  $2 \times 10^{-3}$ –0.3, and for stainless steel, the values were in the range of  $2 \times 10^{-2}$ –0.17. The references where all these data were adopted from, are listed in Table 2 of [74]. In our model, we have assumed a recombination

coefficient of 0.07, but we have also performed simulations varying this parameter in the range between 0.002 and 1, to investigate the effect of this assumption (see below).

### 3. Results and discussion

The calculations are performed for a Grimm-type glow discharge source, operating at 800 V discharge voltage, 850 Pa total gas pressure, and about 13 mA electrical current. First, calculation results will be presented for an O<sub>2</sub> concentration of 1%. Both the 2D density profiles of the various plasma species, as well as the relative importance of their production and loss mechanisms, will be presented and discussed in the next section. In Section 3.2, the effect of different O<sub>2</sub> concentrations on the densities of the various plasma species, as well as on sputtering rates, will be investigated.

#### 3.1. 1% O<sub>2</sub> concentration

##### 3.1.1. Electrons

Fig. 2 presents the calculated 2D density profiles of the electrons, the various (positive and negative) ions, and the Ar<sub>m</sub><sup>\*</sup> metastable atoms, at 1% O<sub>2</sub> addition in a Grimm-type source. Note that only the first cm adjacent to the cathode is represented in this figure, as this is the most intense plasma region [75].

The electron density (Fig. 2a) reaches a maximum of  $7 \times 10^{12}$  cm<sup>-3</sup> at about 0.5 mm from the cathode (i.e., the beginning of the negative glow (NG) region), and decreases drastically further away from the

cathode, both in the axial and radial direction. This is due to the zero-density boundary conditions for the electrons at the cell walls, and also because the production mainly takes place in the beginning of the NG. Indeed, the production is mainly due to electron impact ionization of Ar (nearly 98%; see Table 5), which is most efficient in the beginning of the NG (e.g., [49]). Electron impact ionization of O<sub>2</sub> accounts for about 1% of the electron production, which is logical in case of 1% O<sub>2</sub> addition, because the cross sections of Ar and O<sub>2</sub> ionization are very similar. Electron impact dissociative ionization of O<sub>2</sub> contributes for about 0.3%, and the other process are even of lower importance.

With respect to the loss of electrons, on the other hand, Table 5 illustrates that the O<sub>2</sub> species play a predominant role. Indeed, recombination with Ar<sup>+</sup> ions is negligible (~0.008%) in spite of the higher Ar<sup>+</sup> ion density (see below), but instead, recombination with O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ions, especially dissociative recombination, are very important. Indeed, dissociative recombination is generally characterized by higher rate coefficients (see e.g. also in [76]) and no third body is needed to satisfy both the energy and momentum conservation laws. In our case, dissociative recombination with O<sub>2</sub><sup>+</sup>, leading either to two ground state (O<sup>3P</sup>) atoms (i.e., reaction 27 of Table 2) or to one ground state and one excited (O<sup>1D</sup>) atom (i.e., reaction 28 of Table 2), were found to be equally important (both ~19% contribution), which is logical because both processes are characterized by the same rate coefficients (see Table 2). Besides recombination with O<sub>2</sub><sup>+</sup> and O<sup>+</sup> ions, also electron impact dissociative attachment of O<sub>2</sub> (i.e., reaction 12 of Table 2) appears very important for the loss of electrons, with a contribution calculated to be 58%.

### 3.1.2. Ar<sup>+</sup> ions

The 2D Ar<sup>+</sup> ion density profile, presented in Fig. 2(b), looks very similar to the electron density profile of Fig. 2(a), except near the cathode, in the cathode dark space (CDS), where the electron density is virtually zero, and the Ar<sup>+</sup> ion density is non-zero, albeit small, leading to a strong positive space charge, and hence a large electric field in this region. Further, the Ar<sup>+</sup> ion density is a little bit lower than the electron density, because of the presence of O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ions (see below) and the condition of quasi-neutrality in the NG region (i.e., total negative charge equals the total positive charge).

The Ar<sup>+</sup> ions are also mainly created by electron impact ionization, like in the case of the electrons, but charge transfer of O<sub>2</sub><sup>+</sup> or O<sup>+</sup> ions with Ar atoms also contribute for 1–2% (see Table 5). Vice versa, the Ar<sup>+</sup> ions are mainly lost by charge transfer with O<sub>2</sub> molecules (in ground state or in excited levels).

### 3.1.3. O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ions

The O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ions (Fig. 2(c, d)) exhibit a similar density profile as the Ar<sup>+</sup> ions, but they are about two orders of magnitude lower in density, which is logical because of the 1% O<sub>2</sub> concentration in the plasma, and the fact that the cross sections of (dissociative) ionization of Ar and O<sub>2</sub> are comparable. The calculated O<sub>2</sub><sup>+</sup> ion density is about twice the O<sup>+</sup> ion density, which corresponds to the ratio of the cross sections for ionization vs. dissociative ionization (cf. curves 8 and 9 of Fig. 1). Moreover, the O<sub>2</sub><sup>+</sup> ions are also created by other processes (see below).

The O<sup>+</sup> ions are mainly produced by electron impact dissociative ionization of O<sub>2</sub> (~90%). Electron impact ionization of O atoms contributes for about 6% and asymmetric charge transfer of Ar<sup>+</sup> ions with O atoms accounts for 3%. Electron impact dissociation of O<sub>2</sub>, yielding the formation of O<sup>+</sup> and O<sup>-</sup> ions, is found to be of minor importance. Concerning the loss of O<sup>+</sup> ions, asymmetric charge transfer with Ar atoms is found to be the most significant, with a contribution of almost 99%, whereas charge transfer with O<sub>2</sub> molecules contributes for 1%.

In contrast to the O<sup>+</sup> ions, the O<sub>2</sub><sup>+</sup> ions are mainly produced by asymmetric charge transfer of Ar<sup>+</sup> with O<sub>2</sub> (~nearly 73%) whereas electron impact ionization of O<sub>2</sub> accounts for 27%. This is of course due

to the higher O<sub>2</sub> density compared to the O density (see below), increasing the probability of Ar<sup>+</sup> charge transfer. Vice versa, charge transfer of O<sub>2</sub><sup>+</sup> ions with Ar constitutes the dominant loss mechanism for the O<sub>2</sub><sup>+</sup> ions, although recombination with electrons also contributes for a few %.

As mentioned in Section 2.3 above, the rate coefficients for asymmetric charge transfer of O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ions with Ar atoms were assumed to be  $2.1 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , i.e., the same as for the reaction of O<sup>+</sup> with O<sub>2</sub>. However, to investigate the effect of this assumption, we have also varied these rate coefficients in the range between  $6 \times 10^{-12}$  and  $5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , which are reasonable limits for asymmetric charge transfer. The results indicated that this variation affects the O<sup>+</sup> and O<sub>2</sub><sup>+</sup> ion densities, respectively, because asymmetric charge transfer with Ar constitutes the major loss mechanisms for these ions (see Table 5). Therefore, an increase/decrease in the rate coefficients by a factor of 2 more or less resulted in a decrease/increase of the same order, for the ion densities. However, this effect turns out to be negligible for the other plasma species. Indeed, charge transfer was found to play only a minor role in the production of Ar<sup>+</sup> ions, as was illustrated in Table 5.

### 3.1.4. O<sup>-</sup> ions

The calculated 2D density profile of the O<sup>-</sup> ions is plotted in Fig. 2(e). It reaches a broad maximum in the NG, and drops also as a function of distance from the cathode, but not so drastically as for the other ions. The density is clearly lower than the positive ion and electron densities. This is in correlation with [14,16,17] where it was also reported for an Ar/O<sub>2</sub> magnetron discharge, in different Ar/O<sub>2</sub> gas ratios, that the O<sup>-</sup> density was considerable lower than the electron and other ion densities, even for a pure O<sub>2</sub> discharge [14].

Production of the O<sup>-</sup> ions is mainly due to electron impact dissociative attachment of O<sub>2</sub>, and to a lesser extent by electron impact dissociation of O<sub>2</sub> (ion pair formation). The O<sup>-</sup> ions are mostly lost by neutralization with Ar<sup>+</sup> ions (i.e., reaction 35 of Table 2).

### 3.1.5. Ar<sub>m</sub><sup>\*</sup> metastable atoms

Fig. 2(f) illustrates the 2D density profile of the Ar<sub>m</sub><sup>\*</sup> metastable atoms. It reaches a pronounced maximum adjacent to the cathode, due to fast Ar<sup>+</sup> ion and fast Ar atom impact excitation (i.e., Ar<sub>f</sub><sup>+</sup>/Ar<sub>f</sub><sup>0</sup> + Ar → Ar<sub>s</sub><sup>+</sup>/Ar<sub>s</sub><sup>0</sup> + Ar<sub>m</sub><sup>\*</sup>; where the subscripts f and s denote fast and slow ions/neutrals, respectively). Indeed, these processes contribute together for almost 50%, and are hence almost equally important to electron impact excitation. This was also observed in our previous studies [76,77], and it was confirmed by experiments [78].

As far as the loss of Ar<sub>m</sub><sup>\*</sup> metastable atoms is concerned, several processes contribute, as is clear from Table 5. Quenching by O<sub>2</sub> molecules, resulting in dissociation of the latter, plays the most important role, with a contribution of almost 50%, followed by quenching due to collision with electrons, and by diffusion (and subsequent quenching at the walls). Compared with our previous modeling study on the Ar/N<sub>2</sub> GD [44] it appears that Ar<sub>m</sub><sup>\*</sup> quenching due to O<sub>2</sub> molecules is much more significant than quenching due to N<sub>2</sub> molecules. Indeed, at 1% N<sub>2</sub> added to the Ar GD, quenching due to the N<sub>2</sub> molecules contributed only for about 20% to the loss of the Ar<sub>m</sub><sup>\*</sup> atoms [44]. This can be explained by the rate coefficient, which is a factor of six larger for quenching by O<sub>2</sub> than for quenching by N<sub>2</sub> [70–72].

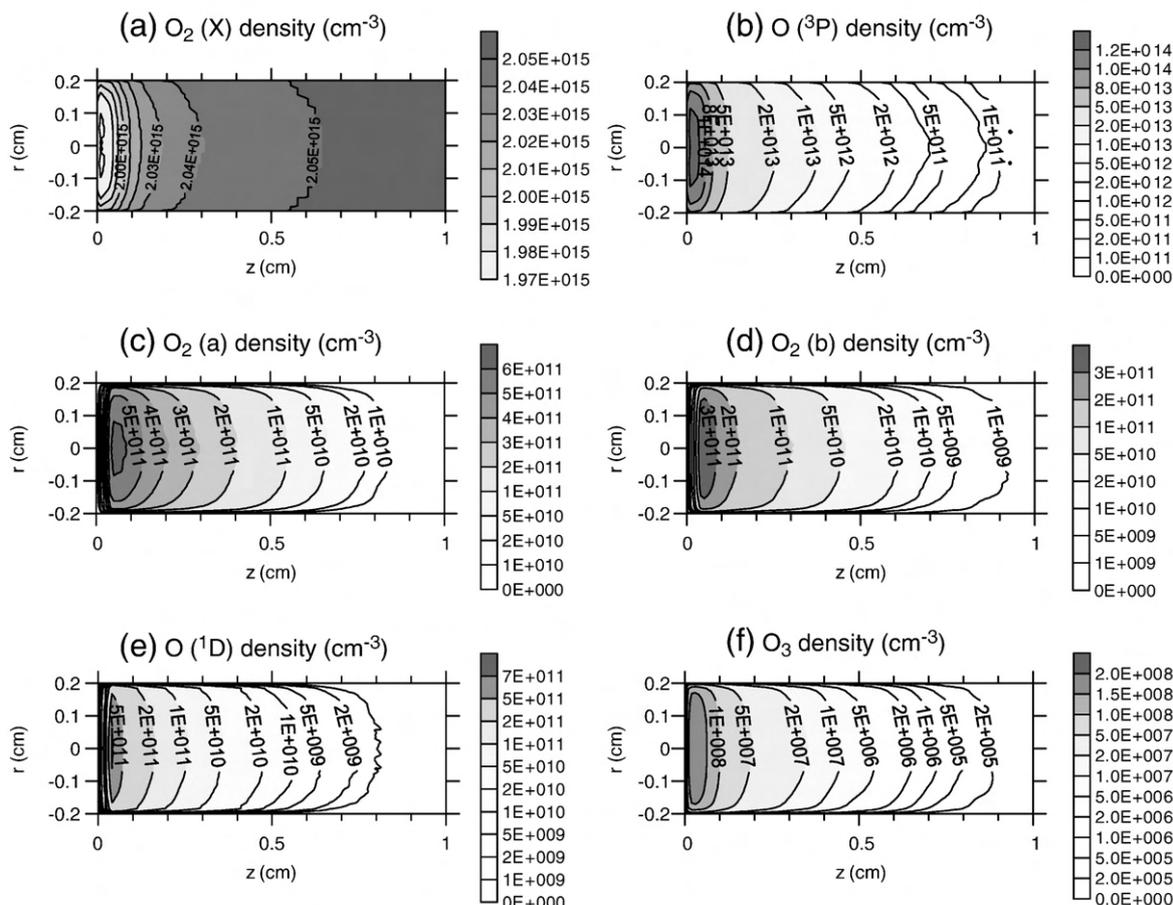
### 3.1.6. O<sub>2</sub> molecules and O atoms in the ground state

The 2D density profiles of the O<sub>2</sub> molecules and O atoms in the ground state are illustrated in Fig. 3(a, b). The O<sub>2</sub> molecules have a density in the order of  $2 \times 10^{15} \text{ cm}^{-3}$ , which corresponds to 1% of the total gas density. They are characterized by a nearly uniform density profile, with a local minimum adjacent to the cathode. This is because of dissociation into O atoms. Indeed, the O atom density reaches a maximum at this position, as is clear from Fig. 3(b). The maximum O

**Table 5**  
Calculated relative contributions of the most important production and loss processes for the various plasma species.

Production processes	%	Loss processes	%
<i>Electrons</i>			
Electron impact ionization of Ar (2)	97.6	Dissociative recombination with $O_2^+$ (27, 28)	38.5
Electron impact ionization of $O_2$ (8)	1.1	Dissociative attachment of $O_2$ (12)	58.1
Electron impact dissociative ionization of $O_2$ (9)	0.3	Three-body recombination with $O_2^+$ (29)	2.5
		Three-body recombination with $O^+$ (26)	0.9
<i>Ar<sup>+</sup> ions</i>			
Electron impact ionization (2)	97.2	Charge transfer with $O_2$ (31–33)	99.7
Charge transfer of $O_2^+$ with Ar (43)	0.9	Charge transfer with O (34)	0.3
Charge transfer of $O^+$ with Ar (39)	1.9		
<i>O<sup>+</sup> ions</i>			
Electron impact dissociative ionization of $O_2$ (9)	90.4	Charge transfer with Ar (39)	98.9
Electron impact ionization of O (20)	5.9	Charge transfer with $O_2$ (36–38)	1.0
Electron impact dissociation of $O_2$ (ion pair formation) (13)	0.7	Recombination with electrons (26)	0.1
Charge transfer of $Ar^+$ with O (34)	3.0		
<i>O<sub>2</sub><sup>+</sup> ions</i>			
Charge transfer of $Ar^+$ with $O_2$ (31–33)	72.6	Charge transfer with Ar (43)	97.5
Electron impact ionization of $O_2$ (8)	27.1	Recombination with electrons (27–29)	
Charge transfer of $O^+$ with $O_2$ (36–38)	0.2		2.5
<i>O<sup>-</sup> ions</i>			
Electron impact dissociative attachment of $O_2$ (12)	95.1	Neutralization with $Ar^+$ (35)	95.3
Electron impact dissociation of $O_2$ (ion pair formation) (13)	4.8	Neutralization with $O_2^+$ (44, 45, 46)	1.6
		Neutralization with $O^+$ (42)	0.6
		Electron detachment of O (50)	2.1
<i>Ar<sub>m</sub><sup>*</sup> metastable atoms</i>			
Electron impact excitation (4)	51.7	Quenching by $O_2$ (dissociation) (86)	46.6
Fast $Ar^+$ ion impact excitation	11.9	Quenching by electrons (81)	22.1
Fast $Ar^0$ atom impact excitation	36.4	Quenching by O (87)	0.5
		Diffusion	21.2
		Penning ionization of Cu (83)	5.3
		Metastable–metastable collisions (82)	1.1
		Electron impact excitation to higher levels (6)	3.0
<i>O<sub>2</sub>(X) ground state molecules</i>			
Charge transfer of $O_2^+$ with Ar	~100	Dissociation by quenching of $Ar_m^*$ (86)	49.3
		Charge transfer of $Ar^+$ with $O_2$ (31)	17.8
		Electron impact dissociation (10)	5.7
		Electron impact dissociative excitation (11)	16.1
		Electron impact ionization (8)	6.3
		Electron impact dissociative ionization (9)	2.3
		Electron impact excitation (14, 15)	1.8
<i>O(<sup>3</sup>P) ground state atoms</i>			
$O_2(X)$ dissoc. by quenching of $Ar_m^*$ (86)	65.9	Electron impact excitation (18, 19)	69.6
Collisional deexcitation of $O(^1D)$ (72–77, 79)	10.7	Electron impact ionization (20)	29.9
Electron impact dissoc. excitation of $O_2$ (11)	10.5		
Electron impact dissoc. of $O_2$ (10)	7.3		
Electron impact dissoc. ionization of $O_2$ (9)	1.2		
Electron– $O_2^+$ dissoc. recombination (27, 28)	0.3		
Charge transfer of $O^+$ with Ar	3.6		
<i>O<sub>2</sub>(a) excited molecules</i>			
Electron impact excitation from $O_2(X)$ (14)	98.9	Electron impact excitation to $O_2(b)$ (15)	~100
Excitation of $O_2$ by collision with $O(^1D)$ (74)	1.1		
<i>O<sub>2</sub>(b) excited molecules</i>			
Electron impact excitation from $O_2(X)$ or $O_2(a)$ (15)	58.7	Electron impact dissociative excitation (11)	46.2
Excitation of $O_2$ by collision with $O(^1D)$ (75)	41.3	Electron impact dissociation (10)	13.3
		Electron impact ionization (8)	19.5
		Electron impact dissociative ionization (9)	5.2
		Electron impact deexcitation to $O_2(a)$	1.4
		Deexcitation upon collision with Ar (60)	9.7
		Deexcitation upon collision with $O(^3P)$ (62)	3.9
<i>O(^1D) excited atoms</i>			
Electron impact dissociative excitation from $O_2(X)$ (11)	96.9	Deexcitation upon collision with Ar (76)	86.8
Electron impact excitation from $O(^3P)$ (18)	2.2	Deexcitation upon collision with $O_2(X)$ (73–75)	12.8
Electron– $O_2^+$ dissociative recombination (28)	0.9		
<i>O<sub>3</sub> molecules</i>			
Three-body collision of $O(^3P)$ with 2 $O_2(X)$ (65)	48.7	Electron impact dissociation (22)	90.0
Three-body collision of $O(^3P)$ with $O_2(X)$ and Ar (66)	48.7	Electron impact dissociative attachment (23)	1.6
Three-body collision of 2 $O(^3P)$ with $O_2(X)$ (67)	2.2	Ozone destruction upon collision with $O(^1D)$ (78–80)	8.0

The numbers between brackets after each production or loss process refer to the reactions listed in Tables 2–4.



**Fig. 3.** Calculated 2D density profiles of the  $O_2(X)$  ground state molecules (a),  $O(^3P)$  ground state atoms (b),  $O_2(a)$  excited molecules (c),  $O_2(b)$  excited molecules (d),  $O(^1D)$  excited atoms (e), and  $O_3$  molecules (f), in a Grimm-type GD, at the same conditions as in Fig. 2.

atom density is only about one order of magnitude lower than the  $O_2$  density, but it drops quickly as a function of distance from the cathode.

Integrated over the entire discharge region, the dissociation degree was therefore calculated to be in the order of 1%. This is considerably lower than the dissociation degree of 10–30% reported by Kutasi et al. [79] for Ar/ $O_2$  flowing microwave discharges and post-discharges. However, it is difficult to compare, because the plasma conditions are completely different. Other authors have reported dissociation degrees in the order of 10% for Ar/ $O_2$  magnetron discharges [14], and even for pure  $O_2$  surface wave discharges [35]. Compared with our previous studies on Ar/ $H_2$  [41–43] and Ar/ $N_2$  [44] GDs, the dissociation degree of  $O_2$  is found to be comparable to the  $H_2$  and  $N_2$  dissociation degrees, for similar conditions.

Table 5 illustrates that the production of  $O_2$  ground state molecules is almost exclusively due to asymmetric charge transfer of  $O_2^+$  ions with the Ar gas. Nevertheless, this production process does not really affect the  $O_2$  gas density, as it is negligible compared to the overall  $O_2$  gas present in the discharge. The main loss mechanism appears to be dissociation by quenching of  $Ar_m^*$  metastable atoms. This explains the dip in the  $O_2$  gas density profile shown in Fig. 3(a), because it coincides with the pronounced maximum in the  $Ar_m^*$  metastable atom density profile (Fig. 2(f)). Other processes, such as charge transfer of  $Ar^+$  with  $O_2$ , electron impact dissociative excitation, dissociation, ionization, dissociative ionization and excitation, also contribute for several % to the loss of the  $O_2$  gas molecules.

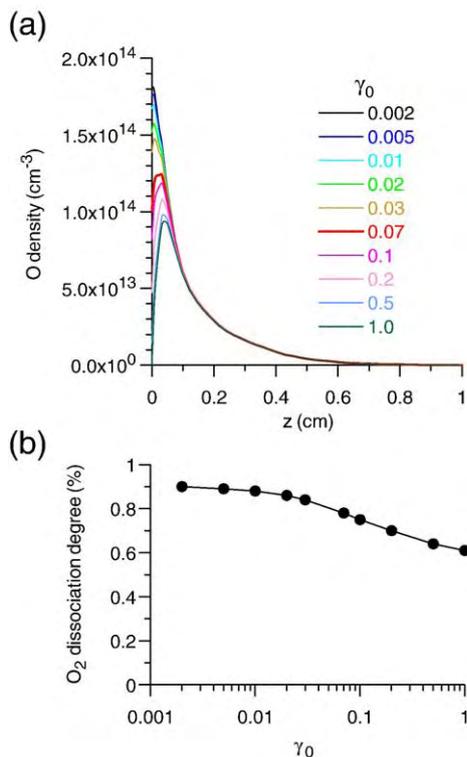
Most of the loss processes of the  $O_2$  gas molecules result in the formation of the  $O(^3P)$  ground state atoms, such as dissociation of  $O_2$  by  $Ar_m^*$  quenching (which represents the dominant production process of the  $O(^3P)$  atoms), as well as electron impact dissociation,

dissociative excitation and ionization of  $O_2$ . Other important production processes for the  $O(^3P)$  atoms are collisional deexcitation of the  $O(^1D)$  excited atoms, as well as charge transfer of  $O^+$  ions with Ar atoms. The loss of the  $O(^3P)$  atoms is almost entirely due to electron impact excitation and ionization.

As mentioned in Section 2.5 above, the O atoms will recombine at the walls, with the formation of  $O_2$  molecules (i.e.,  $O + O + \text{wall} \rightarrow O_2$ ), with a certain recombination probability. In our simulations, we have assumed a value of 0.07, which was reported in [73] for a stainless steel surface. However, because this value is subject to uncertainties and is moreover dependent on the material and the surface conditions, as discussed in Section 2.5 above, we have also performed calculations, varying this value from 0.002 to 1. The resulting 1D density profiles of the  $O(^3P)$  atoms are plotted in Fig. 4(a). The  $O_2(X)$  densities are not plotted, as they are virtually not affected by the assumed surface recombination probability. It is found that a higher recombination probability yields lower O densities, but the variation is less than a factor of two, for a variation in surface recombination probability of 500. Furthermore, from the  $O(^3P)$  and  $O_2(X)$  densities, integrated over the entire discharge, the dissociation degree can be calculated and this value is plotted in Fig. 4(b) against the value of the recombination probability. Changing the latter parameter between 0.002 and 1 results in a calculated dissociation degree varying between 0.9% and 0.6%, hence also a small variation.

### 3.1.7. $O_2(a)$ and $O_2(b)$ excited molecules

Fig. 3(c, d) depict the 2D density profiles of the  $O_2$  molecules in the excited levels ( $O_2(a)$  and  $O_2(b)$ ). They exhibit very similar density profiles, with a maximum in the beginning of the NG, due to electron



**Fig. 4.** Calculated 1D density profiles of the O(<sup>3</sup>P) ground state atoms, at the same conditions as in Fig. 2, for different values of the surface recombination probability ( $O + O + \text{wall} \rightarrow O_2$ ) (a), and calculated O<sub>2</sub> dissociation degree as a function of the surface recombination probability (b).

impact excitation from the O<sub>2</sub>(X) ground state molecules. Indeed, this process is a very important production mechanism for these levels. It is by far the dominant production mechanism for the O<sub>2</sub>(a) levels, with a contribution of nearly 99%. Excitation of O<sub>2</sub>(X) upon collision with O(<sup>1</sup>D) atoms accounts for the remaining 1%. The situation is a bit different for the O<sub>2</sub>(b) levels, where excitation of O<sub>2</sub> upon collision with O(<sup>1</sup>D) atoms contributes for as much as 41%, whereas electron impact excitation, from both the O<sub>2</sub>(X) ground state and the O<sub>2</sub>(a) excited state, is responsible for about 59% of the O<sub>2</sub>(b) production.

The latter process, i.e., electron impact excitation from the O<sub>2</sub>(a) to the O<sub>2</sub>(b) excited level appears by far the dominant loss mechanism for the O<sub>2</sub>(a) level. This is because of the small energy difference between both energy levels, making this process already possible at relatively low energies. Other loss mechanisms, such as electron impact dissociation, (dissociative) ionization and dissociative excitation, as well as collisional deexcitation from the O<sub>2</sub>(a) level, were found to be negligible compared to excitation to the O<sub>2</sub>(b) level, because of much higher energies required.

On the other hand, these processes are found to be quite important for the loss of the O<sub>2</sub>(b) level, as appears from Table 5, i.e., electron impact dissociative excitation, ionization, dissociation and dissociative ionization are found to contribute by 46%, ~20%, 13% and 5%, respectively. Indeed, there is no higher O<sub>2</sub> metastable level included in the model, and excitation to higher radiative levels is not considered as a loss process, because it is assumed that these levels can decay back to the lower level. Electron impact deexcitation from the O<sub>2</sub>(b) level to the O<sub>2</sub>(a) level accounts only for 1% to the loss of the O<sub>2</sub>(b) levels. On the other hand, deexcitation of O<sub>2</sub>(b) to the ground state, upon collision with either Ar or O(<sup>3</sup>P) atoms, play a non-negligible role as loss mechanism for the O<sub>2</sub>(b) level.

### 3.1.8. O(<sup>1</sup>D) excited atoms

The O(<sup>1</sup>D) atomic excited level exhibits a similar spatial profile as the O<sub>2</sub>(a) and O<sub>2</sub>(b) excited levels, with a pronounced maximum in the beginning of the NG. The O(<sup>1</sup>D) maximum density is slightly higher than the O<sub>2</sub>(a) and O<sub>2</sub>(b) maximum densities, but it drops a bit more rapidly as a function of distance from the cathode. This similar profile is like expected, as the dominant production mechanism for the O(<sup>1</sup>D) level is also given by electron impact excitation (more specifically: dissociative excitation) from the O<sub>2</sub>(X) gas molecules. Also electron impact excitation from the O(<sup>3</sup>P) ground state atoms and electron-O<sub>2</sub><sup>+</sup> dissociative recombination contribute for 1–2% to the production of the O(<sup>1</sup>D) level. The most significant loss mechanism is deexcitation to the O(<sup>3</sup>P) ground state, upon collision with Ar atoms, which accounts for 87%. Deexcitation upon collision with O<sub>2</sub>(X) ground state molecules accounts for nearly 13%, mainly with the formation of O<sub>2</sub>(b) excited levels (i.e., reaction 75 of Table 4); the latter process was indeed found to be quite important in the production of the O<sub>2</sub>(b) levels (see above).

### 3.1.9. O<sub>3</sub> molecules

Finally, Fig. 3(f) presents the O<sub>3</sub> gas density profile. It is characterized by a similar profile as the O(<sup>3</sup>P) ground state atoms (Fig. 3(b)). Indeed, it is mainly produced from the O(<sup>3</sup>P) atoms, in a three-body collision with either two O<sub>2</sub>(X) molecules, or one O<sub>2</sub>(X) molecule and one Ar atom. Also a three-body collision with two O(<sup>3</sup>P) atoms and one O<sub>2</sub>(X) molecule has a minor contribution.

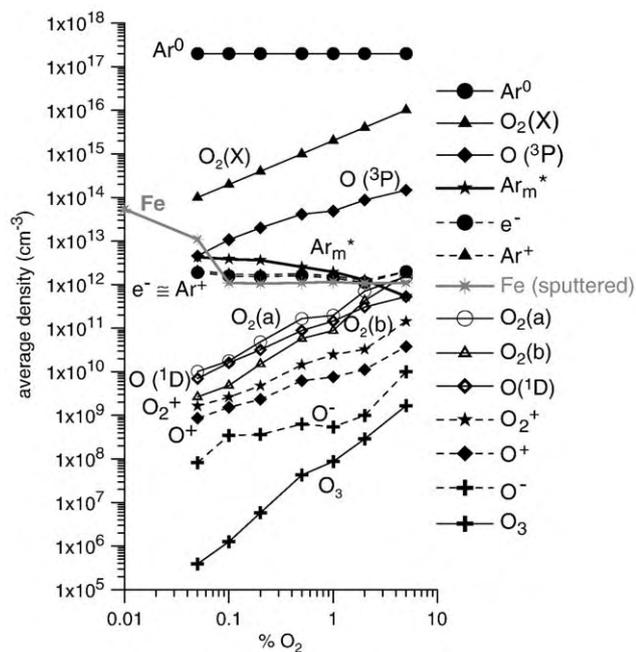
The density of the O<sub>3</sub> molecules is several orders of magnitude lower than for the other species, because three-body collisions are not so efficient at the rather low pressure under study (850 Pa). Moreover, O<sub>3</sub> molecules easily get lost by several mechanisms. The most important loss mechanism is electron impact dissociation (90%), followed by ozone destruction upon collision with O(<sup>1</sup>D) molecules (reactions 78–80 each contribute for a few %).

## 3.2. Effect of O<sub>2</sub> concentration

We have investigated the effect of different O<sub>2</sub> additions on the calculation results, in the range of 0.05–5%. The densities of the various species are plotted against O<sub>2</sub> concentration in Fig. 5. Note that the density values are taken at the discharge axis, and averaged over the axial direction. Averaging over the radial direction is not done, as the radial variation is not so pronounced, except close to the cell walls, and moreover, the values near the cell axis are the most interesting ones. A logarithmic scale is applied for both the x-axis and the y-axis, to clearly visualize the effect of different O<sub>2</sub> concentrations, and to obtain an overall picture on the relative importance of the various species in the plasma.

It is clear that the Ar ground state gas atoms are characterized by the highest density in the plasma, followed by the O<sub>2</sub>(X) gas molecules. Besides these two background gases, the O(<sup>3</sup>P) ground state atoms are present at a large concentration, which is about two orders of magnitude lower than the O<sub>2</sub>(X) ground state molecules. This gives rise to a dissociation degree in the order of a few %, decreasing upon higher O<sub>2</sub> concentrations, as is illustrated in Fig. 6. Note that the calculated dissociation degree is clearly higher when averaging the O and O<sub>2</sub> densities only over the axial direction, compared to averaging over the entire discharge. Indeed, in the first case, the density values are taken at the cell axis. As the O density drops in the radial direction to lower values at the cell walls, whereas the O<sub>2</sub> density is fairly uniform in the radial direction and is even slightly higher at the cell walls, it is logical that averaging over the entire discharge yields lower values for the dissociation degree.

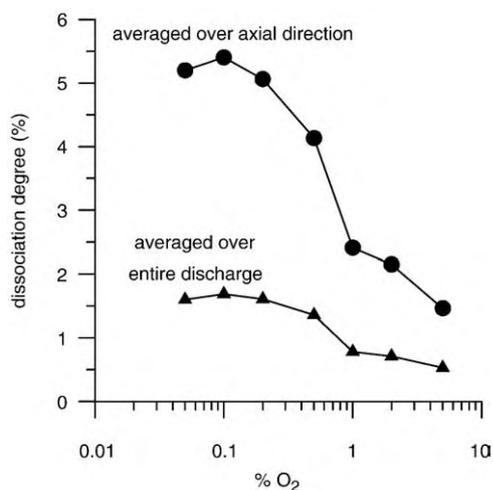
The fact that the dissociation degree drops for higher O<sub>2</sub> concentrations is attributed to the decreasing role of Ar<sub>m</sub><sup>\*</sup> quenching, which was found the dominant dissociation mechanism (see above and Table 5). Indeed, the calculated Ar<sub>m</sub><sup>\*</sup> metastable atom density



**Fig. 5.** Calculated densities of all plasma species, taken at the cell axis and averaged in the axial direction, as a function of  $O_2$  concentration. The other conditions are the same as in Fig. 2.

clearly drops upon  $O_2$  addition, as is illustrated by the thick solid line in Fig. 5. This is due to the important loss mechanism of  $Ar_m^*$  quenching upon collision with  $O_2$  molecules (reaction 86 of Table 4; see also discussion above and Table 5). Indeed, quenching of  $Ar_m^*$  atoms upon dissociation of  $O_2$  molecules was calculated to contribute for nearly 47% to the total loss of the  $Ar_m^*$  atoms at 1%  $O_2$  addition, and this relative contribution increases to 72% at 5%  $O_2$  addition.

In a recent paper by Hayashi et al. [80], Ar metastable densities were measured by laser absorption spectroscopy in an Ar/ $O_2$  inductively coupled plasma (ICP) operating at 100 mTorr. It was found that even small abundances of  $O_2$  (~1%) lead to significant increases in the metastable density, mostly due to the reduction of the electron density, since electron-induced quenching was found the dominant loss mechanism of the Ar metastables. Only at  $O_2$



**Fig. 6.** Calculated  $O_2$  dissociation degree, both taken at the cell axis and averaged in the axial direction, as well as averaged over the entire discharge, as a function of  $O_2$  concentration. The other conditions are the same as in Fig. 2.

abundances above 7–15% (depending on the power), quenching by  $O_2$  molecules started to dominate, and the Ar metastable density dropped again. This observation is opposite to our model predictions, but under such conditions (ICP at 100 mTorr) the electron density is typically quite high, so that electron quenching can indeed be the dominant loss process. It is probably not wise to compare both results, because of totally different plasma conditions. In other papers, e.g., [27] it was also found, for an Ar/ $O_2$  cc rf discharge at 250 mTorr, that the Ar metastable density dropped upon addition of 1%  $O_2$  concentration, due to quenching by  $O_2$  molecules and O atoms. The same effect, i.e., decreasing  $Ar_m^*$  density upon addition of gas impurities, was also observed in our earlier model predictions for Ar/ $H_2$  [41–43] and Ar/ $N_2$  [44] GDs.

The average  $Ar_m^*$  metastable density is quite comparable to the average electron and  $Ar^+$  ion densities, as is clear from Fig. 5, but the latter do not change a lot upon  $O_2$  addition. Only for  $O_2$  concentrations above 1%, the  $Ar^+$  ion density starts to drop, due to the upcoming of  $O^+$  and  $O_2^+$  ions, and the quasi-neutrality condition in the NG region. Note that the average electron density is slightly lower than the average  $Ar^+$  ion density, because the latter is small but non-zero in the CDS, whereas the electron density is virtually zero in this region (see Fig. 2(a, b) above).

The average densities of the  $O_2$  molecules and O atoms in the excited levels (i.e.,  $O_2(a)$ ,  $O_2(b)$  and  $O(^1D)$ ) appear to be in the same order, and they rise nearly linearly with  $O_2$  addition, which is like expected, as they are directly formed out of the  $O_2$  gas. In ref. [15] it was also reported that the  $O(^1D)$ ,  $O_2(a)$  and  $O_2(b)$  densities were comparable to each other in an Ar/ $O_2$  magnetron discharge.

Also the  $O_2^+$ ,  $O^+$  and  $O^-$  ion densities clearly rise for higher  $O_2$  concentrations, but their densities are slightly lower than the excited level populations. The  $O_2^+$  density is a bit higher than the  $O^+$  density, because of the somewhat higher collision rate of electron impact ionization of  $O_2$  compared to electron impact dissociative ionization (cf. the cross sections in Fig. 1 above), and because of the additional important production by asymmetric charge transfer with  $Ar^+$  ions (see above). A factor of two difference in the  $O^+$  and  $O_2^+$  densities was also reported in [14] for an Ar/ $O_2$  magnetron discharge. The  $O^-$  ion density is still about one order of magnitude lower than the  $O^+$  ion density.

The average density of the  $O_3$  molecules is still a few orders of magnitude lower than for the other species, but it increases significantly upon  $O_2$  addition. It is expected that the  $O_3$  concentration becomes higher for higher gas pressures, because of the increasing importance of three-body collisions.

Finally, we have also added to Fig. 5 the calculated sputtered (Fe) atom density, again averaged over the axial direction. The Fe atom density is calculated in the same way as in our previous models (e.g., [77]), i.e., the sputter flux is calculated from the energy distributions of the species bombarding the cathode, multiplied with the sputter yield as a function of bombarding energy, as obtained from the empirical Matsunami formula [81]. The sputtered atoms arrive in the plasma with typical energies of a few eV, which they lose rapidly by collisions, until they are thermalized. This thermalization process is simulated with a Monte Carlo model [82]. The further transport of the sputtered atoms is diffusion-dominated. Furthermore, they can become excited and ionized. The behavior of these thermal sputtered atoms, the corresponding ions and excited atoms is described with a fluid model [77].

It is experimentally observed that  $O_2$  addition to an Ar GD results in a dramatic drop in the sputtering rates [5,7–10]. This effect can partly be explained by the fact that oxygen ions are less efficient in sample sputtering, because of their lower masses [5]. However, it can also be attributed to the formation of a thin oxide layer on the cathode surface, due to the bombardment of oxygen species [5]. This phenomenon is also well known in reactive magnetron sputtering, and is called “poisoning”. In [83] it was stated that the sputter yield of Ti from a poisoned ( $TiO_x$ ) target is almost 9 times lower than the

sputter yield of Ti on a pure metal target. Poisoning was assumed to be “complete” already at 2% O<sub>2</sub> addition [17]. Fischer et al. reported that the oxide layer was fully developed at an O<sub>2</sub> concentration of 1.5% [5]. On the other hand, previous experiments (e.g., [8]) seem to indicate that the sputtering rates for a metallic sample in an Ar/O<sub>2</sub> discharge are lower than for a glass sample (made of metallic oxides) in an Ar discharge. This suggests that the formation of the oxide layer is not the only reason for the drop in the sputtering rates upon O<sub>2</sub> addition, but also the less efficient sputtering due to bombarding oxygen ions, as mentioned above [5].

Mushtaq et al. have measured sputtering rates of Fe in an Ar/O<sub>2</sub> Grimm-type GD [10]. They observed a dramatic decrease in the sputtering rate upon O<sub>2</sub> addition. From these measurements, at 700 V and 10 mA, i.e., not too far from the conditions under study in our model, we can deduce that the sputtering rate has dropped already by a factor of 5 at 0.05% O<sub>2</sub> addition, and by a factor of 50 at 0.1% of O<sub>2</sub> addition. This suggests that the so-called “poisoning” already occurs at O<sub>2</sub> additions as low as 0.05%. We have deduced the decrease in Fe sputter yields upon O<sub>2</sub> addition based on these experimentally observed drops in the sputtering rates.

The calculated sputtered Fe atom densities, again taken at the cell axis and averaged over the axial direction, are plotted against O<sub>2</sub> concentration in Fig. 5 (gray line). The point at 0.01% O<sub>2</sub> is taken as the reference for a pure Ar discharge, hence assuming no poisoning yet at 0.01% O<sub>2</sub> addition. It is indeed clear that the sputtered atom density drops significantly, already at very small O<sub>2</sub> concentrations of 0.05 and 0.1%, after which it stays more or less constant, as we assume that the poisoning is complete [17,83].

By comparing the sputtered Fe atom densities with the densities of the other plasma species, we can conclude that the sputtered atom density is comparable to the O(<sup>3</sup>P) ground state density at the very low O<sub>2</sub> concentration of 0.05%, but it becomes significantly lower, and comparable to the electron and Ar<sup>+</sup> ion density, at higher O<sub>2</sub> concentrations. This might have important consequences for the optical emission intensities of the sputtered atoms, which will also drop upon O<sub>2</sub> addition. The latter is indeed confirmed by experiments (e.g., [5,7,8,10]), although the drop in emission intensities seems not so pronounced as the drop in sputtering rates. This suggests that the excitation efficiency of the sputtered atoms in the Ar/O<sub>2</sub> discharge is probably higher than in a pure Ar discharge, which might be attributed to either enhanced electron impact excitation, or (more probably) to enhanced excitation due to oxygen species (e.g., asymmetric charge transfer with O<sup>+</sup> or O<sub>2</sub><sup>+</sup> ions, or energy transfer from excited O levels into excited analyte levels). It would be interesting to investigate such mechanisms in the future, by looking in more detail at the analyte emission yields for a large number of lines.

#### 4. Conclusion

We have developed a hybrid model for an Ar/O<sub>2</sub> dc GD, consisting of a Monte Carlo model for the electrons and fluid models for the other plasma species. The following species are considered in the model: Ar atoms in the ground state and the metastable level, O<sub>2</sub> gas molecules in the ground state and two metastable levels, O atoms in the ground state and one metastable level, O<sub>3</sub> molecules, Ar<sup>+</sup>, O<sup>+</sup>, O<sub>2</sub><sup>+</sup> and O<sup>-</sup> ions, as well as the electrons. In total, 87 different reactions between the various plasma species are taken into account.

Besides the 2D density profiles of the various plasma species, information is also given on the relative importance of their production and loss processes, as well as on the dissociation degree of oxygen. It is found that the dissociation degree is in the order of a few %, for the conditions under investigation. The O<sub>2</sub> molecules in the metastable levels (O<sub>2</sub>(a) and O<sub>2</sub>(b)) as well as the O atoms in the metastable level (O(<sup>1</sup>D)) are of comparable magnitude, which is about 3–4 orders of magnitude lower than the densities of the O<sub>2</sub>(X) and O(<sup>3</sup>P) ground state species. The O<sub>3</sub> density is still more than 3

orders of magnitude lower. Concerning the ionic species, the Ar<sup>+</sup> ions are still dominant, which is logical as the O<sub>2</sub> gas is only present as a minor constituent. The O<sub>2</sub><sup>+</sup> ions are about twice as important as the O<sup>+</sup> ions. The O<sup>-</sup> ion density is about one order of magnitude lower than the O<sup>+</sup> ion density.

The effect of different O<sub>2</sub> additions, in the range between 0.05 and 5%, on the calculated species densities is investigated. All oxygen species increase nearly linearly upon O<sub>2</sub> addition. On the other hand, the Ar<sub>m</sub><sup>\*</sup> metastable density decreases clearly for higher O<sub>2</sub> concentrations, which is attributed to the growing importance of Ar<sub>m</sub><sup>\*</sup> quenching upon collision with O<sub>2</sub> molecules. The latter process is also found to be the main dissociation mechanism for the O<sub>2</sub> molecules. Hence, the drop in Ar<sub>m</sub><sup>\*</sup> density upon O<sub>2</sub> addition explains the decreasing trend in the dissociation degree with rising O<sub>2</sub> concentrations. Finally, the sputtered atom density drops also significantly upon O<sub>2</sub> addition, even at very low O<sub>2</sub> concentrations. This can be attributed to the formation of an oxide film on the cathode surface, resulting in a lower sputtering yield. This observation might have important consequences for GDs used in analytical spectrometry.

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