

RECIST 1.1

Why, What, How ?

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RECIST

Response
Evaluation
Criteria
In
Solid
Tumors

I. Why RECIST criteria ?

Background

Background

- Cancer will become the most common cause of death worldwide
- The ultimate goal of new cancer therapies is cure. A goal that has rarely been achieved in disseminated solid cancers.
- For many common cancers, treatment of disseminated disease is often noncurative, toxic and costly.
- There is great interest in surrogate metrics for survival after investigational cancer treatments, such as response rate, time to tumor progression or progression-free survival.

Background

- Assessment of the change in tumor burden
 - = important feature of the clinical evaluation of cancer therapeutics
- Important endpoints in clinical trials
 - Tumor shrinkage (objective response)
 - Time to development of disease progression or progression-free survival
 - → only useful if based on widely accepted and readily applied standard criteria based on anatomical tumor burden


Background

- World Health Organisation (WHO)
 - 1981: publication of tumor response criteria
 - Use in trials where tumor response was the primary endpoint
 - Introduced the concept of overall assessment of tumor burden by summing the products of bidimensional lesion measurements
 - Determined response to therapy by evaluation of change from baseline
 - Pharmaceutical companies 'modified' these criteria, which led to confusion in interpretation of trial results → leading to very different conclusion

2. WHAT are the RECIST criteria ?

Past – Present – Future

RECIST: the PAST

- Mid 1990's: International working party was formed, including
 - European Organization for Research and Treatment of Cancer (EORTC)
 - National Cancer Institute (NCI) of the United States
 - National Cancer Institute of Canada Clinical Trials Group
- Goals: 
 - Standardise response criteria
 - Simplify response criteria
- 2000: RECIST criteria 1.0

RECIST 1.0

- Key features
 - Minimum size of target lesions \geq 10 mm CT/MRI
 - Number of measurable lesions : up tot 10 – maximum five per organ
 - Measurement: UNI-dimensional
 - No lymph node measurements

RECIST 1.0

- Questions and issues
 - Fewer than 10 lesions can be assessed without affecting the overall assigned response
 - How to apply RECIST in randomised phase III trials where progression, not response, is the primary endpoint
 - Whether or how to utilize newer imaging technologies, such as FDG-PET and MRI
 - How to handle assessment of lymph nodes
 - Applicability of RECIST in trials of targeted non-cytotoxic drugs
 - ...

RECIST: the PRESENT

- RECIST 1.1. development : RECIST working group
 - Clinicians with expertise in early drug development from academic research organisations – government – industry
 - Imaging specialists
 - Statisticians
- EORTC database > 6500 patients > 18.000 target lesions, was utilized to investigate the impact of a variety of questions on response and progression-free survival outcome

RECIST 1.1

- Published in January 2009
- Used in the majority of clinical trials evaluating cancer treatments
- RECIST: **SOLID** tumors
- New version: RECIST 1.1 – Why not RECIST 2.0 ?

The fundamental approach to assessment remains grounded in the **anatomical**, rather than functional assessment of disease

Methods of measurement

- Baseline evaluations should be performed as close as possible to the treatment start
- Never more than 4 weeks before the beginning of the treatment
- Method of assessment
 - General rule: **imaging** assessment is preferred
 - Chest X-ray: lesion size > 20 mm
 - **CT** : best currently available and reproducible method – CT slice thickness of 5 mm or less
 - MRI: acceptable in certain situations
 - Ultrasound: not useful

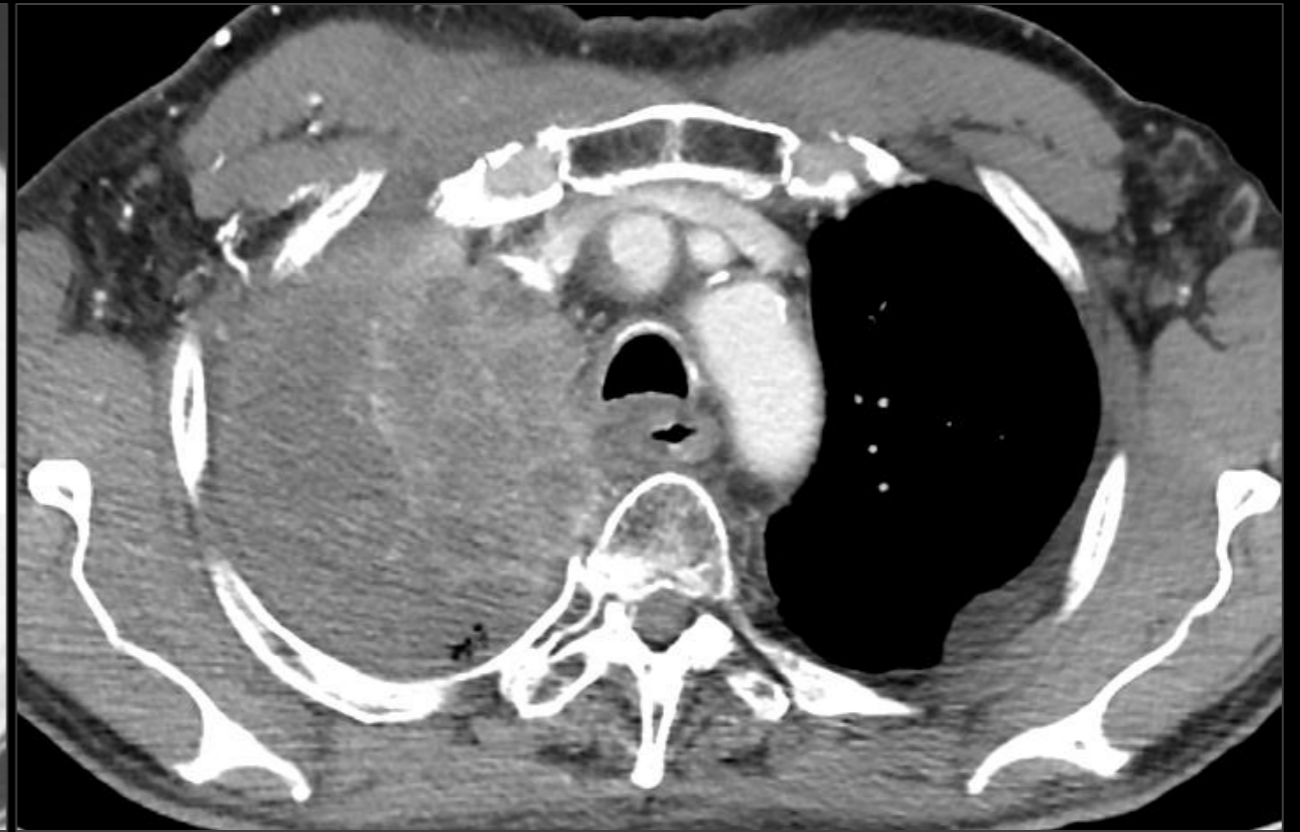
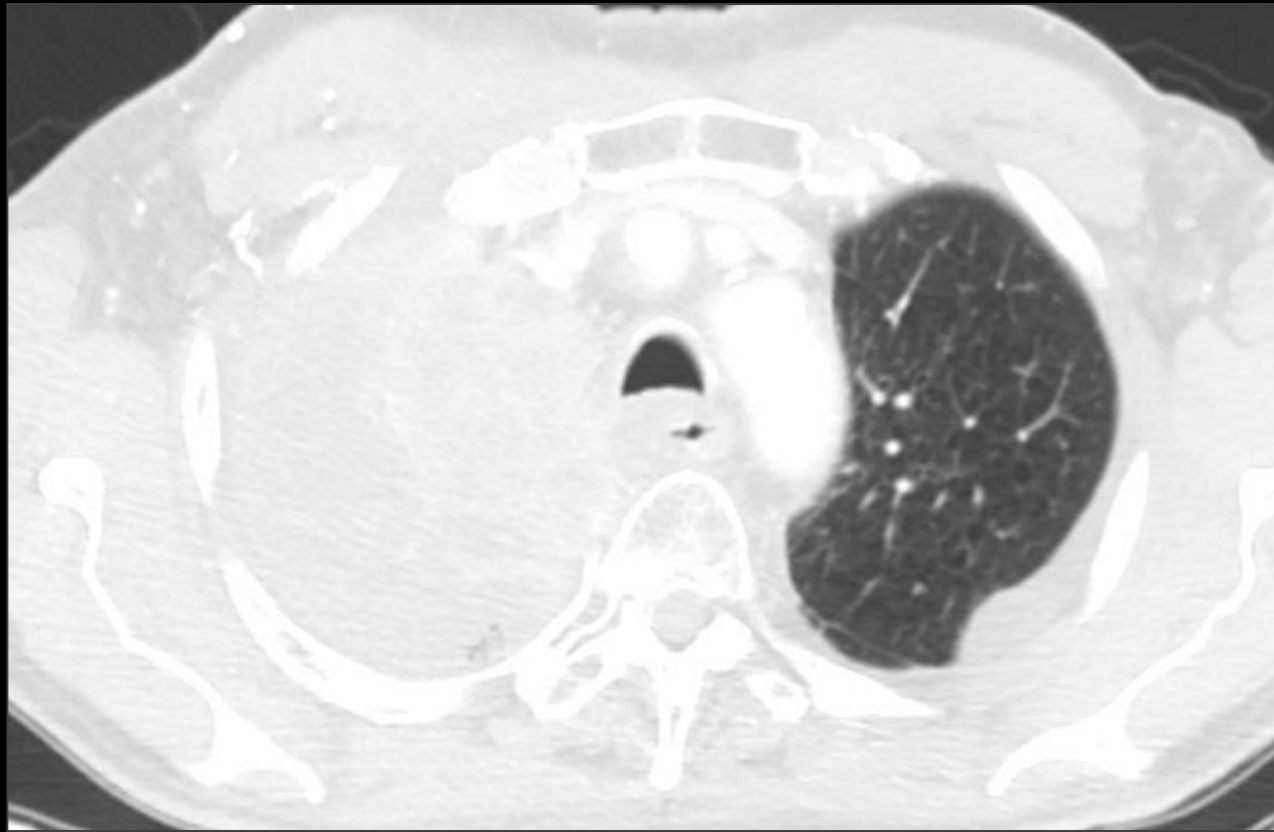
Measurability of tumor at baseline

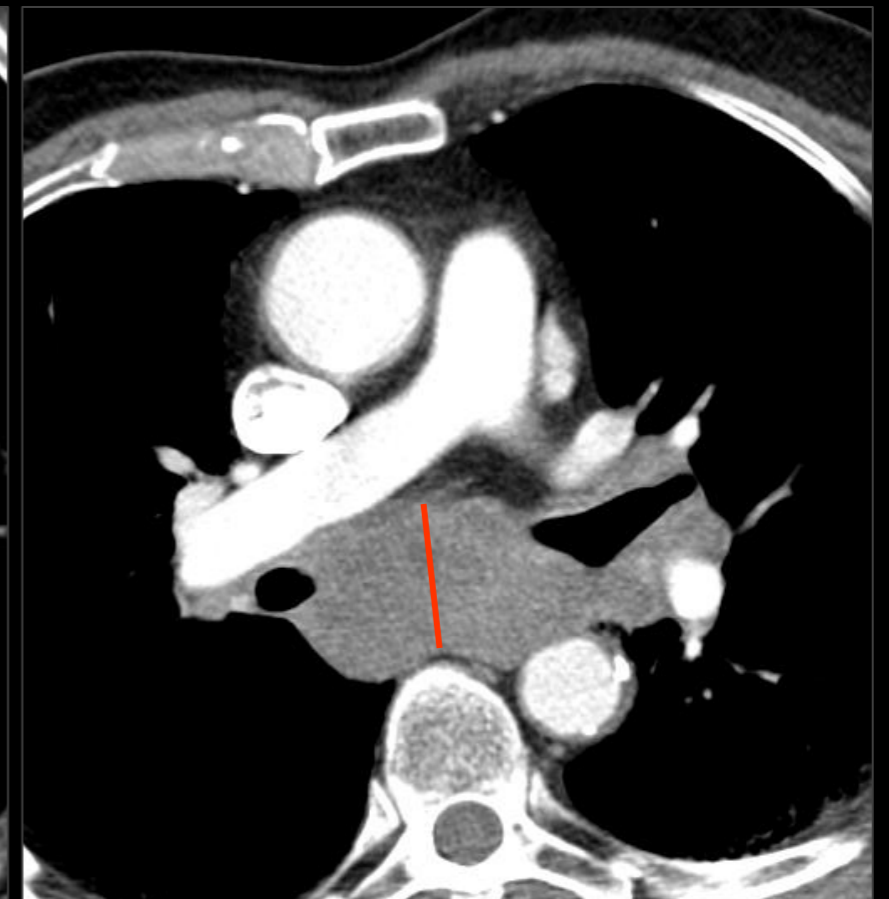
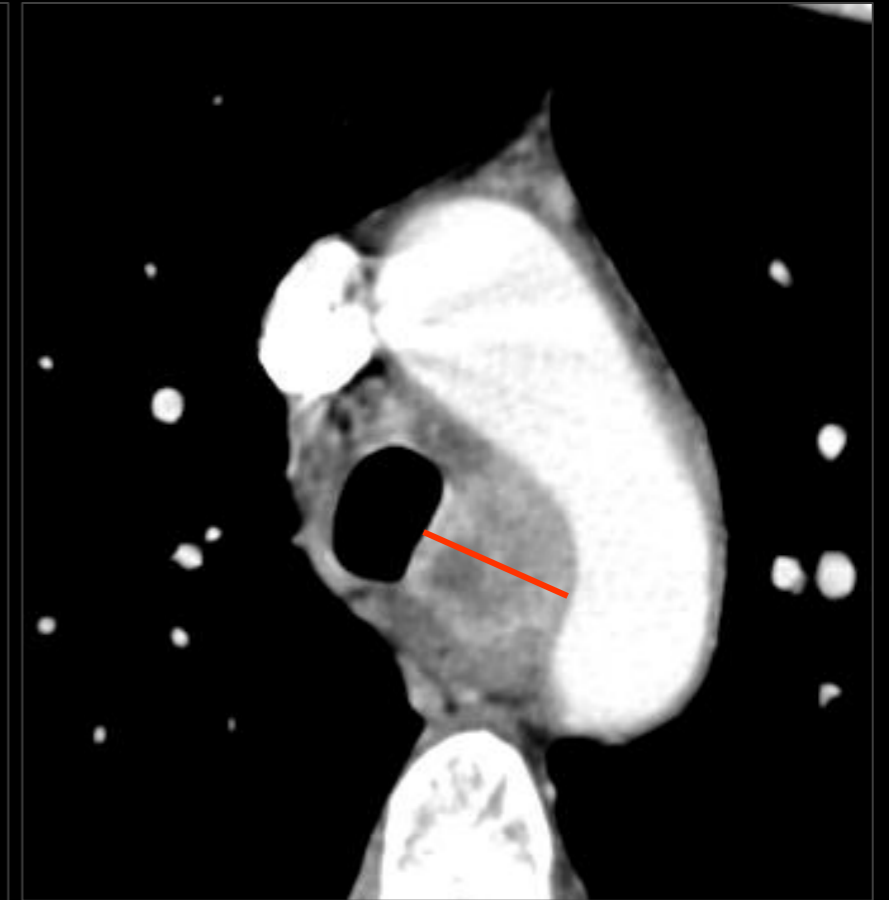
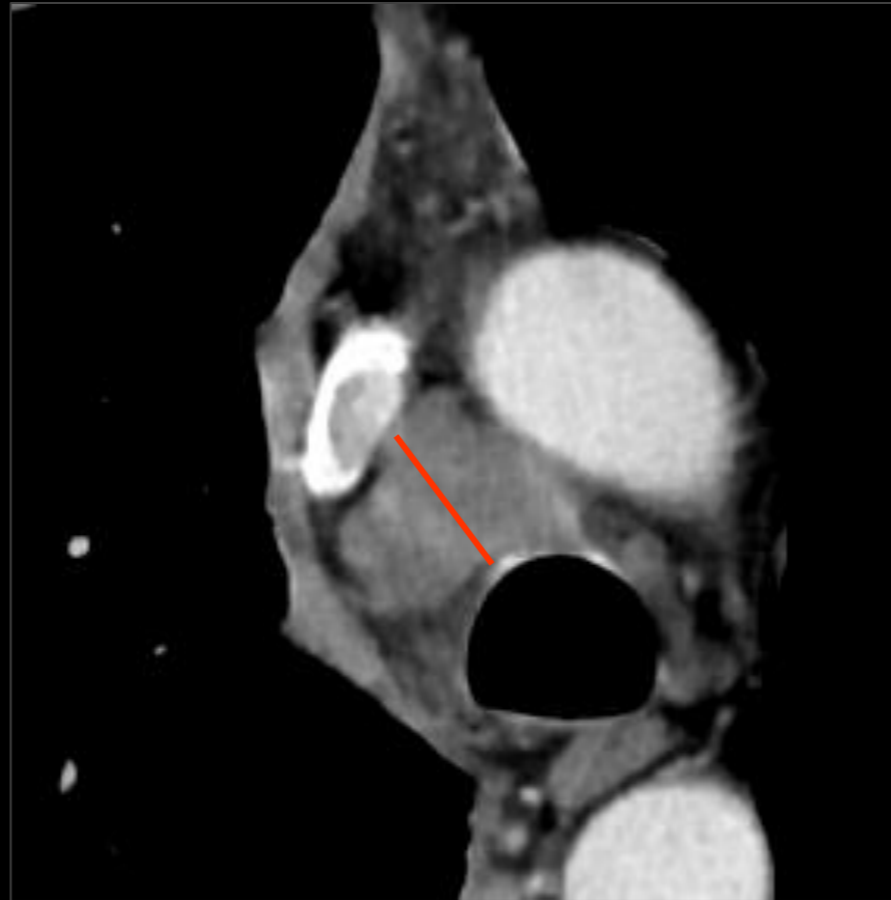
measurable or non-measurable

- MEASURABLE
 - Tumor lesions
 - Accurately measured in at least one dimension
 - LONGEST diameter – axial plane
 - Minimum size of 10 mm by CT scan – slice thickness no greater than 5 mm
 - Malignant lymph nodes
 - Pathologically enlarged and measurable → lymph node must be ≥ 15 mm in SHORT AXIS, assessed on CT-scan.

In practice: chest CT

Window setting: lung parenchyma or mediastinal window ?





Lymph nodes: measure
SHORT AXIS!

≥ 15 mm = target lesion

≥ 10 mm and < 15 mm = non-target
lesion

< 10 mm = "normal"

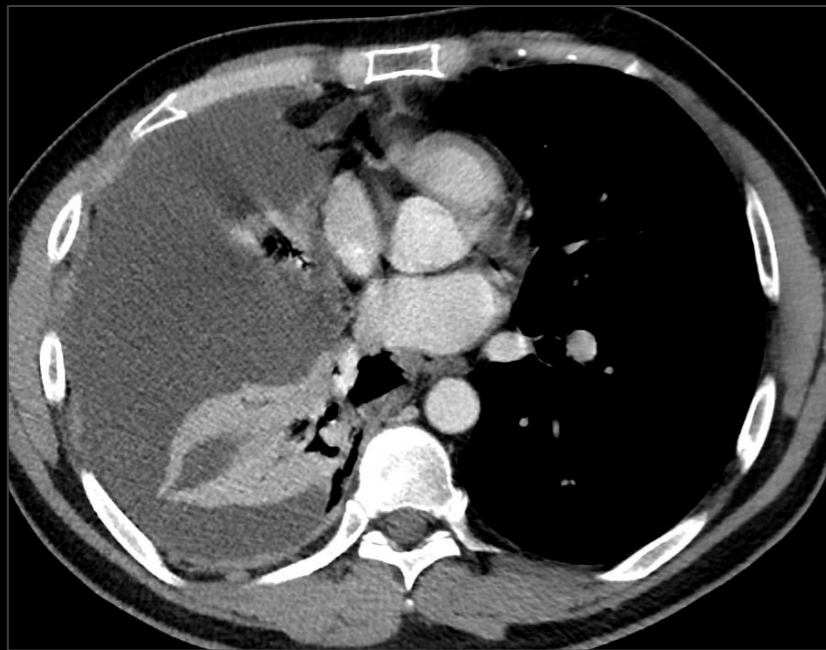
Measurability of tumor at baseline

measurable or non-measurable

- NON-MEASURABLE

- All other lesions (< 10 mm longest diameter – $10 \text{ mm} \leq \text{LN} < 15$ mm short axis)
- Truly non-measurable lesions
 - Pleural or pericardial effusion
 - Ascites
 - Leptomeningeal disease
 - Inflammatory breast disease
 - Lymphangitic involvement of skin or lung
 - Abdominal organomegaly
 - ...

Non-measurable lesions



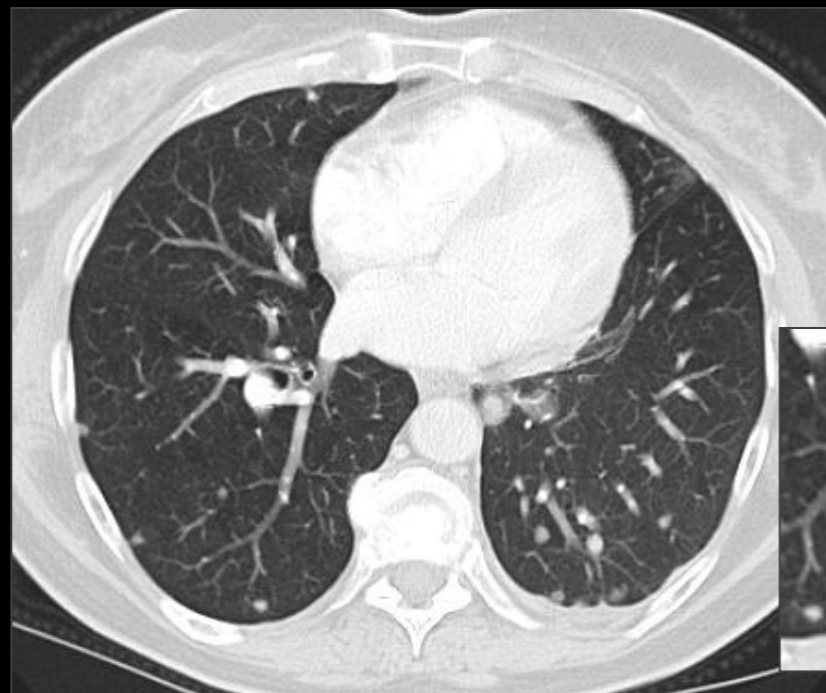
Pleural fluid



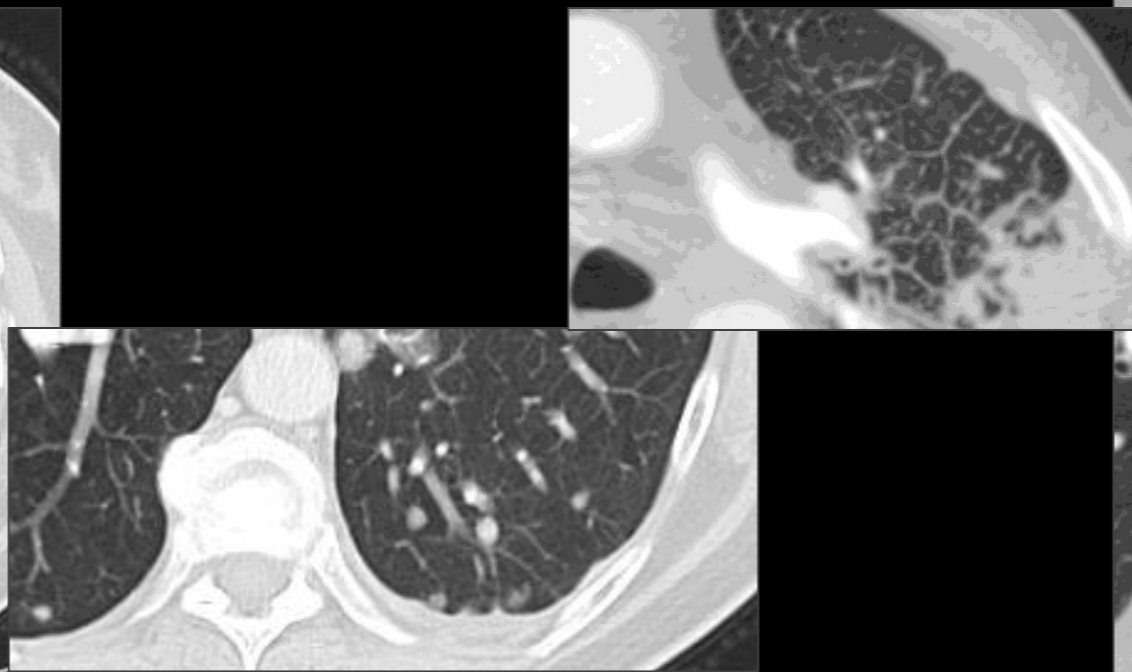
Pericardial fluid



Ascites – peritoneal carcinomatosis



Numerous pulmonary metastases



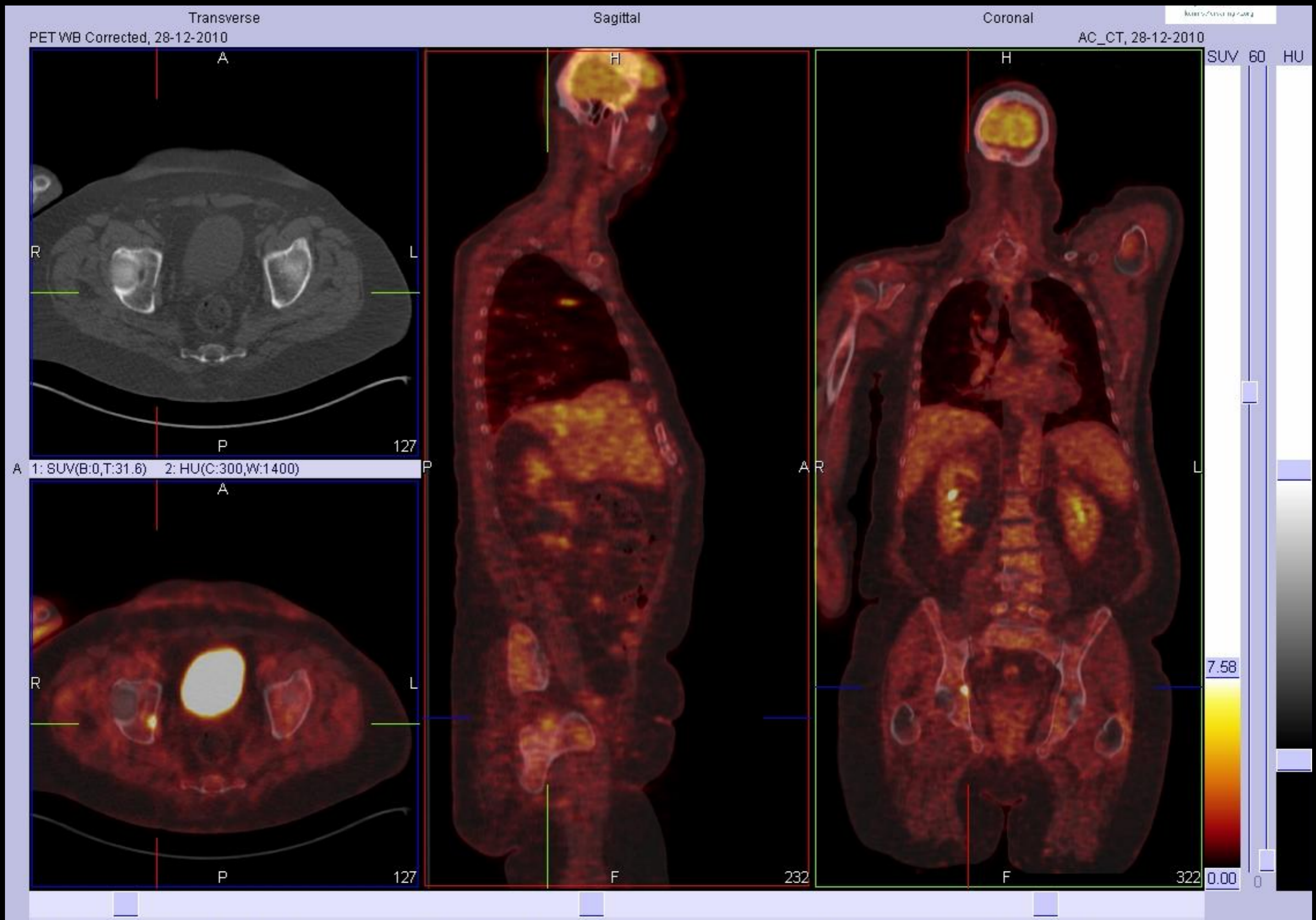
Lymphangitic carcinomatosis

Special considerations

Lesion measurability

- BONE LESIONS
 - Bone scan – PET scan – plain films:
 - not considered adequate imaging techniques to measure bone lesions
 - can be used to confirm the presence or disappearance of bone lesions

PET: lesion detection and staging



Special considerations

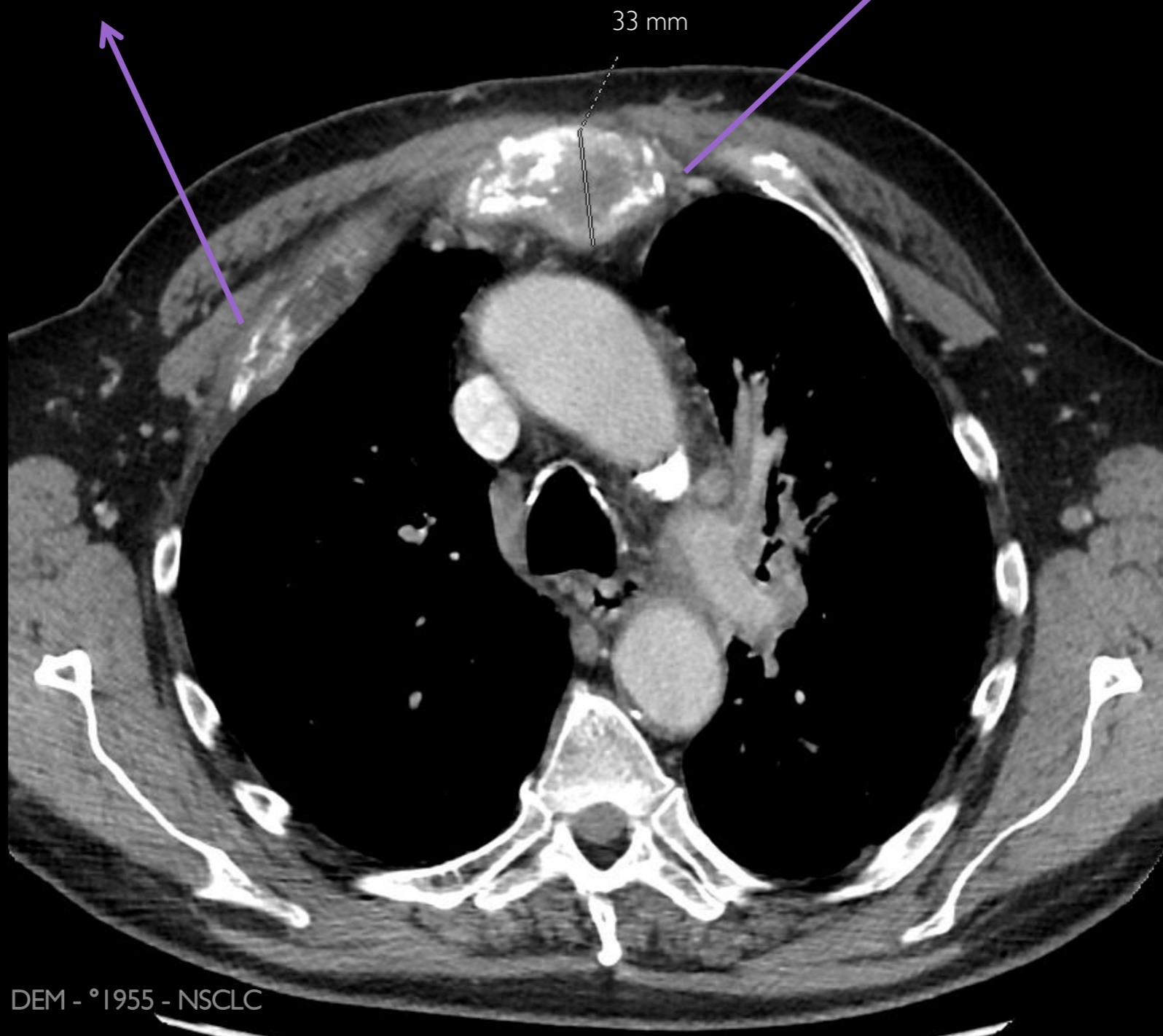
Lesion measurability

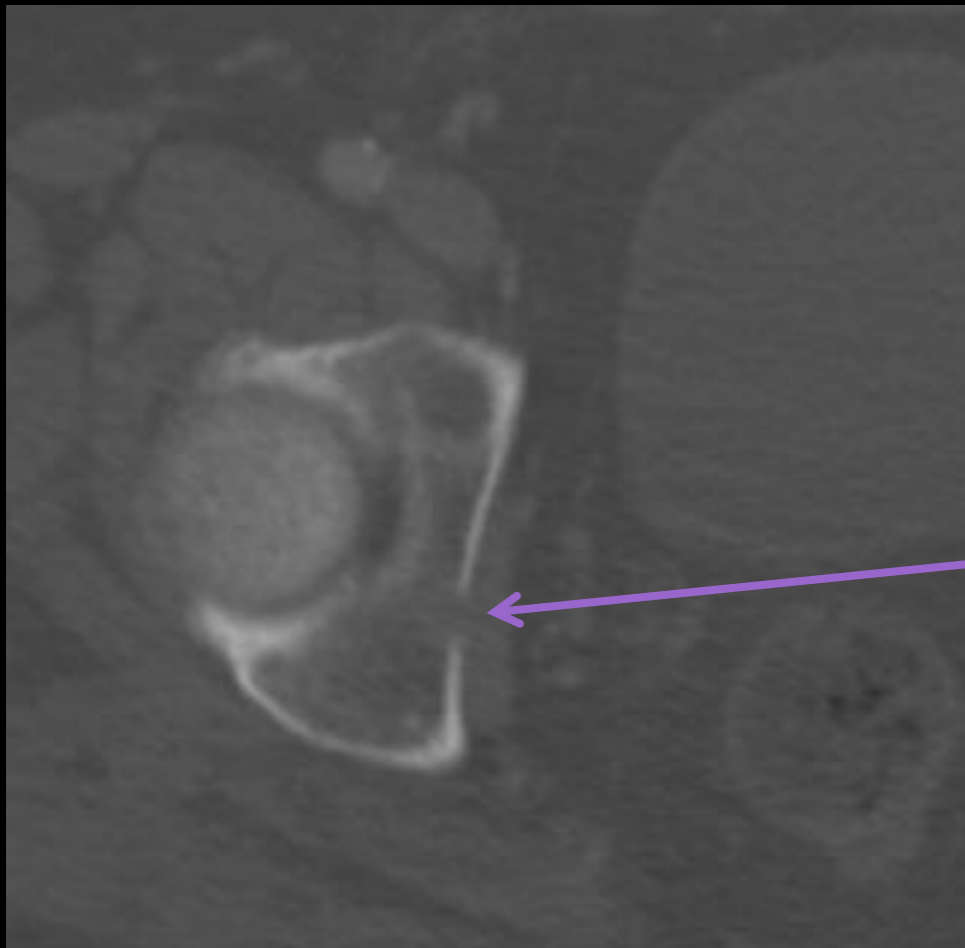
- BONE LESIONS

- Bone scan – PET scan – plain films:
 - not considered adequate imaging techniques to measure bone lesions
 - can be used to confirm the presence or disappearance of bone lesions
- Lytic bone lesions or mixed lytic-blastic lesions
 - with identifiable soft tissue components
 - evaluated by CT or MRI
 - considered as measurable lesions if the soft tissue component is larger than 10 mm
- Blastic bone lesions: non-measurable

Osteolytic rib destruction
Non-measurable disease → Non-target lesion

Lytic lesion with soft tissue component
Measurable disease → Target lesion





AW - °1942 - NSCLC

Only cortical osteolysis, even if larger →
Non-measurable disease → Non-target lesion

Lytic bone lesion with no soft tissue
component →
Non-measurable disease → Non-target lesion



CJ - °1945 - NSCLC

Special considerations

Lesion measurability

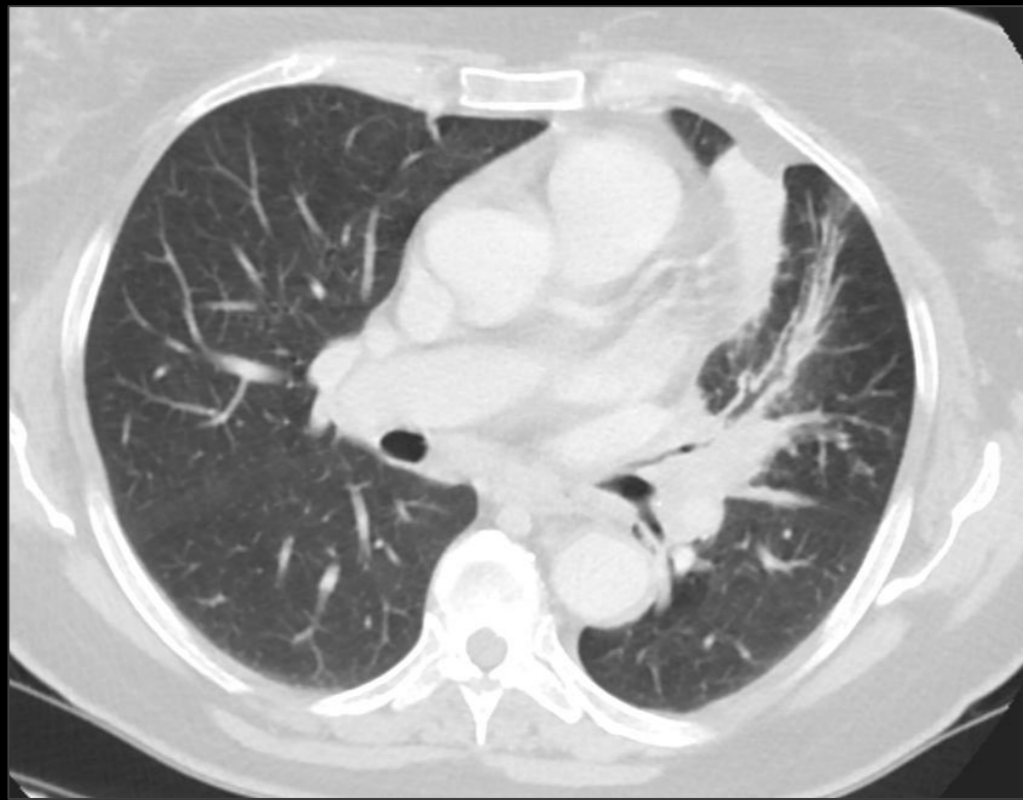
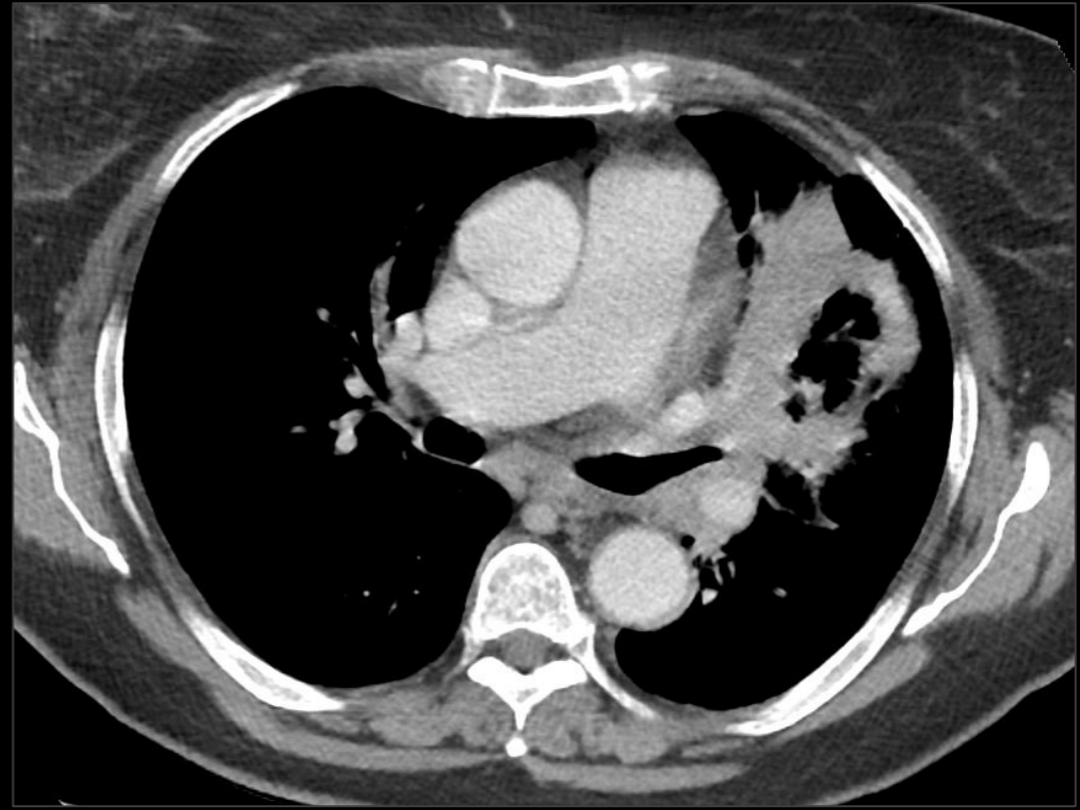
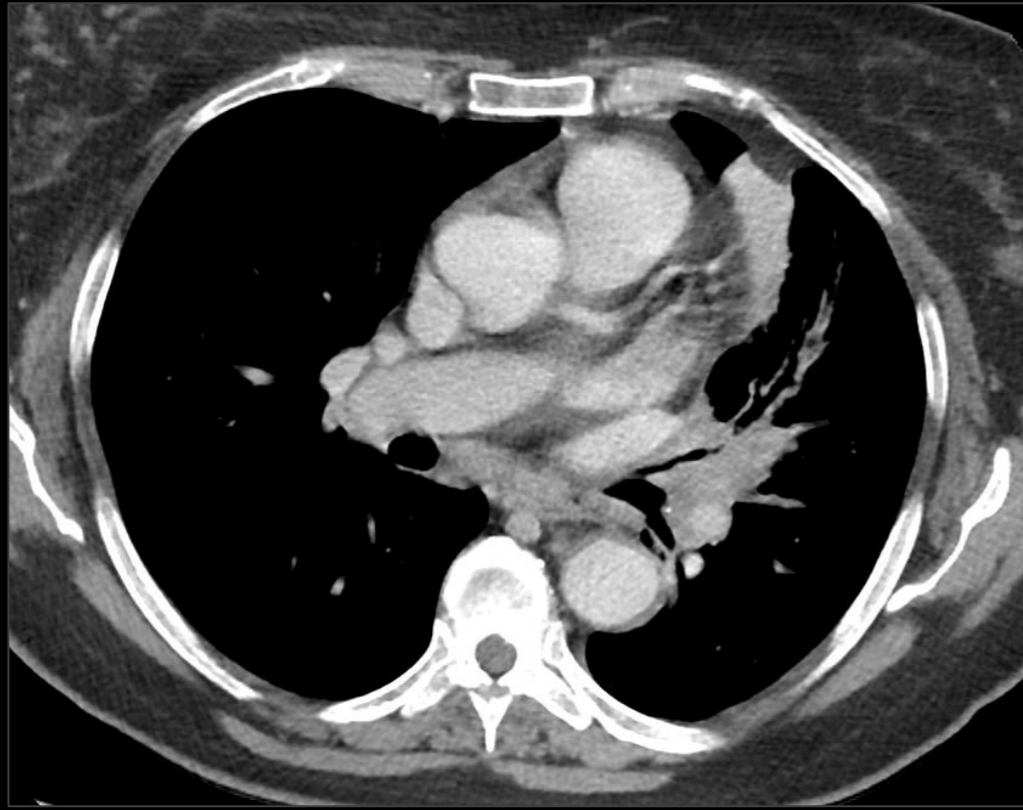
- CYSTIC LESIONS
 - Truly cystic lesions can not be considered malignant
 - Cystic “metastatic” lesions: measurable lesions if > 10 mm
 - Non-cystic lesions present in the same patient: preferred for selection as target lesions
- LESIONS WITH PRIOR LOCAL TREATMENT
 - Tumor lesions in a previously irradiated area or after locoregional therapy, are usually not considered measurable, unless there has been demonstrated progression in the lesion

Tumor response evaluation

- ! Estimation of overall tumor burden at baseline
- Only patients with measurable disease
- At least one measurable lesion
- Patients only having non-measurable disease: specific protocols

“Target” lesions

- One measurable lesion: one target lesion
- More than one measurable lesion:
 - Maximum of five lesions total
 - Maximum of two lesions per organ
- Selection of target lesions:
 - On the basis of their size (lesions with longest diameter)
 - Representative of all involved organs
 - Lesions that lend themselves to reproducible repeated measurements



Central tumor with retro-obstructive atelectasis
Target: Lesions that lend themselves to reproducible repeated measurements ?

“Target” and “non-target” lesions: LYMPH NODES

- Pathologic nodes: measurable and target lesions
 - short axis of ≥ 15 mm by CT scan
- Nodes with short axis ≥ 10 mm and < 15 mm : should be considered as non-target lesions
- Nodes with short axis < 10 mm: should not be recorded or followed

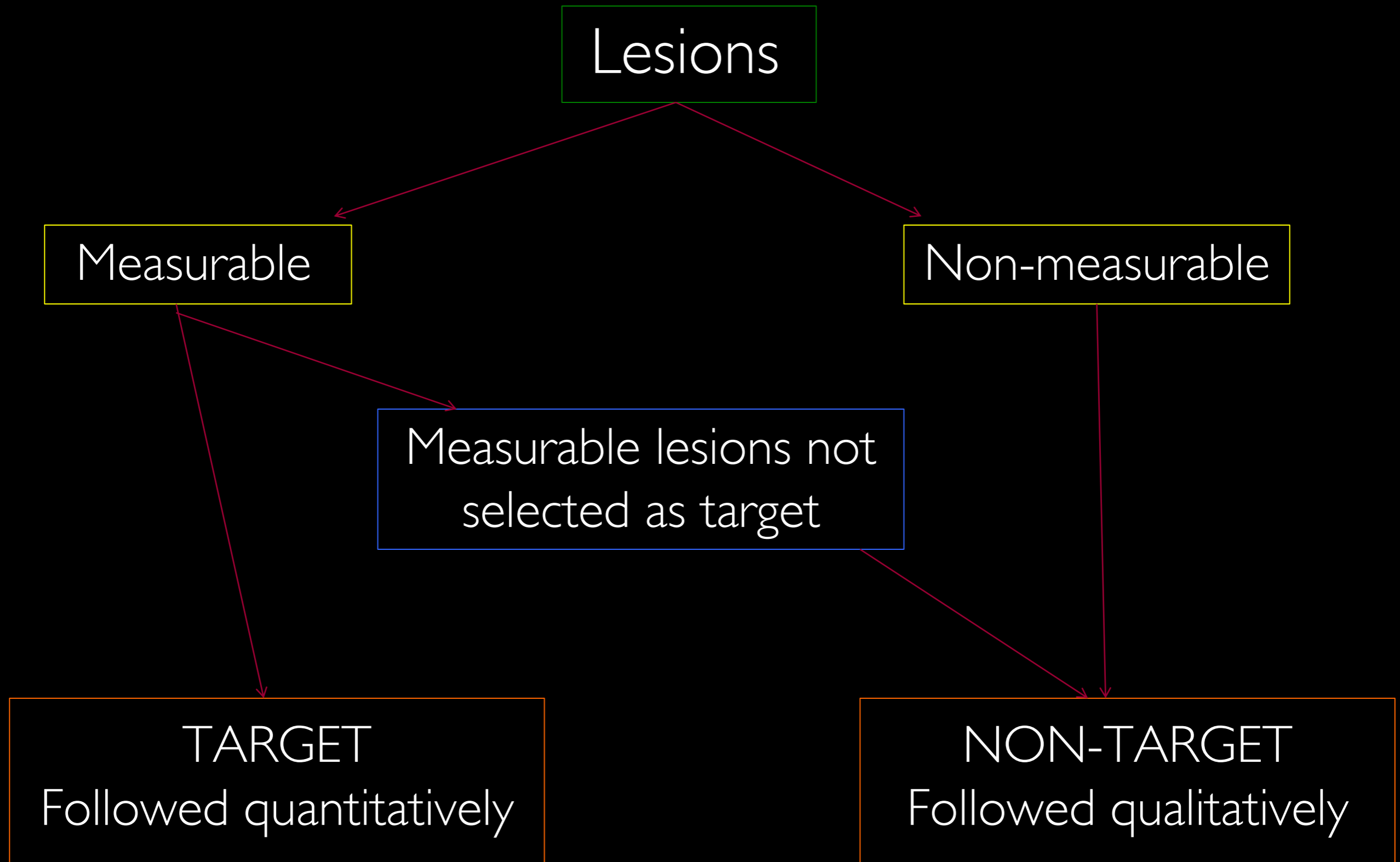
“Target” lesions

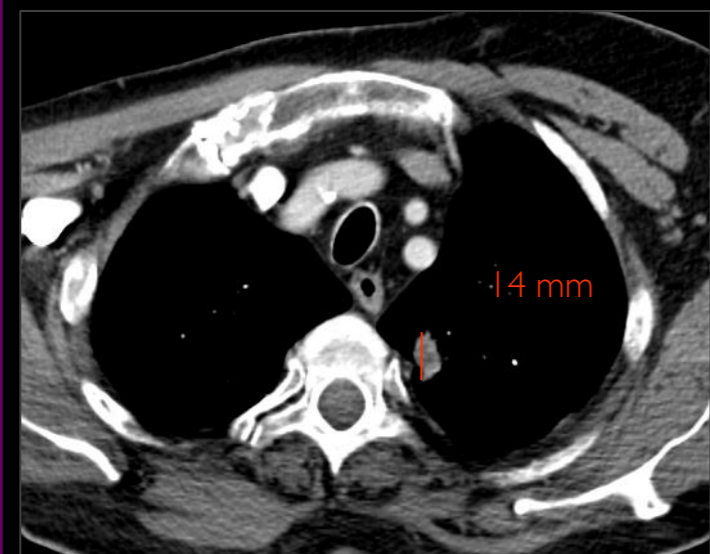
Sum of the diameters for all target lesions
will be calculated and reported as the
BASELINE SUM DIAMETERS

“Non-target” lesions

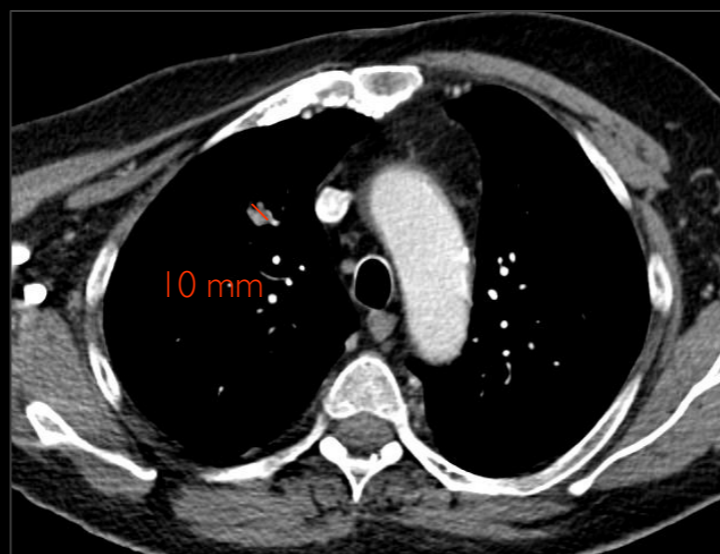
- All other lesions or sites of disease should be identified as non-target lesions
- Should also be recorded at baseline !
- Not measured
- Should be followed:
 - Present
 - Absent
 - Rare cases: unequivocal progression
 - Possible to record multiple non-target lesions involving the same organ as a single item: e.g. “multiple liver metastases”

Baseline lesion burden

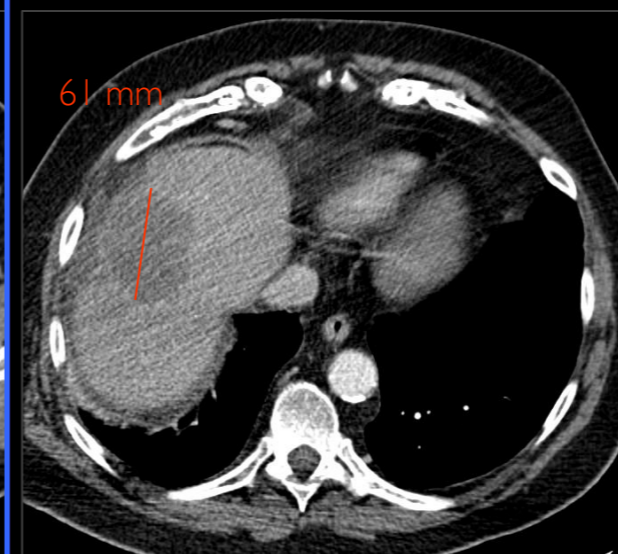




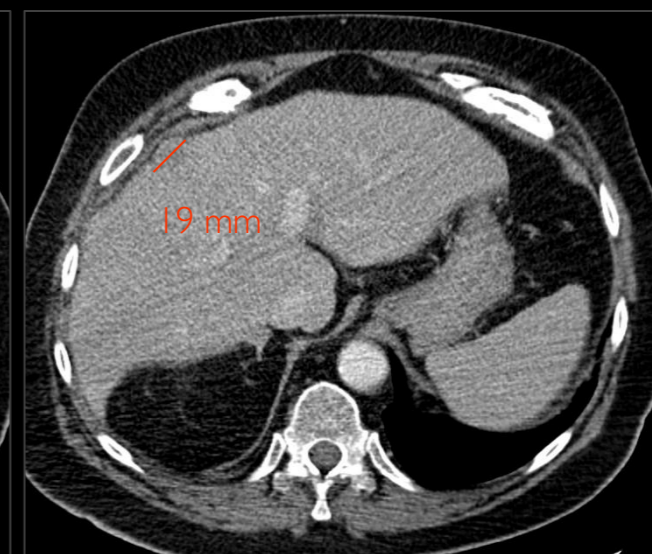
Target lesion 1
Primary tumor



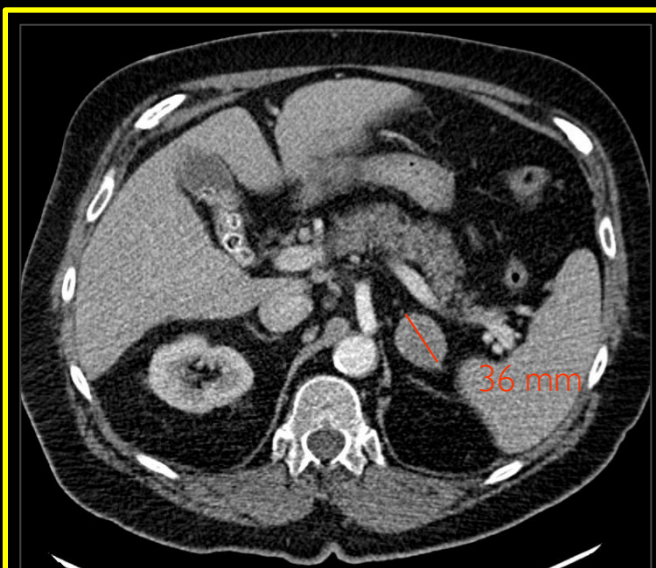
Target lesion 2
Pulmonary mass
≥ 10 mm



Target lesion 3
Liver metastasis



Target lesion 4
Liver metastasis

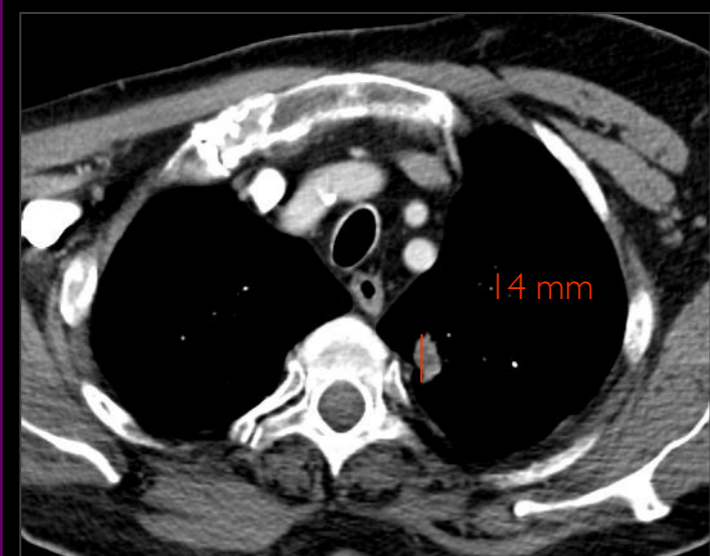


Target lesion 5
Adrenal gland metastasis

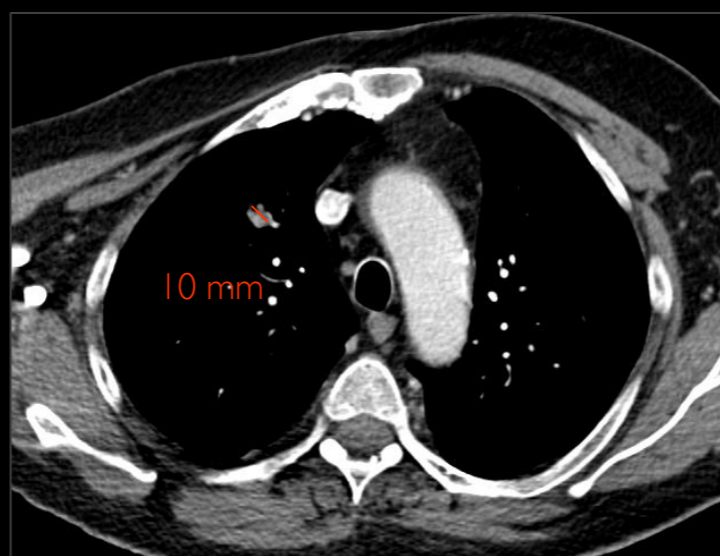


Non-target lesion (+)
Lytic bone metastasis

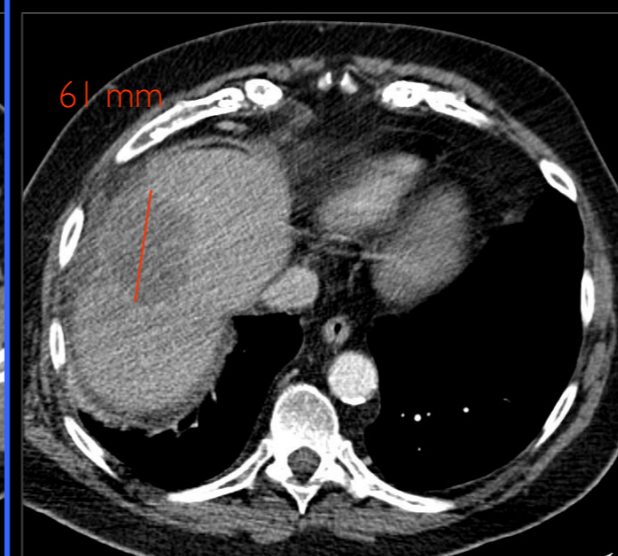
Lesions ≥ 10 mm
Up to 5 lesions in total
Maximum of 2 lesions per organ



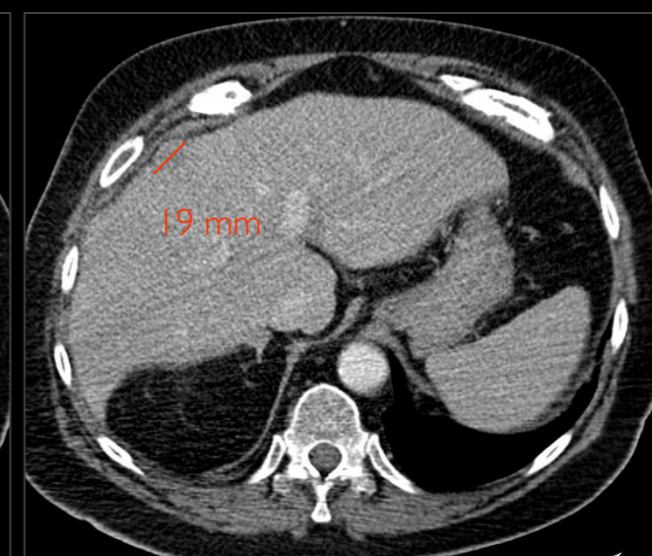
Target lesion 1
Primary tumor



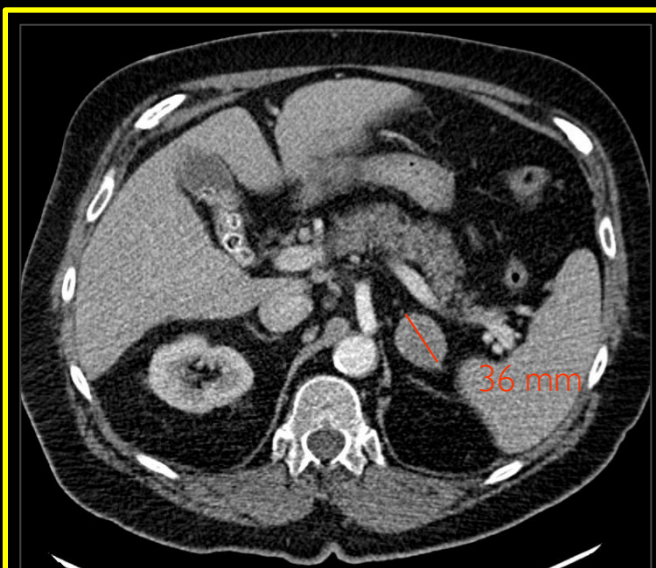
Target lesion 2
Pulmonary mass
≥ 10 mm



Target lesion 3
Liver metastasis



Target lesion 4
Liver metastasis



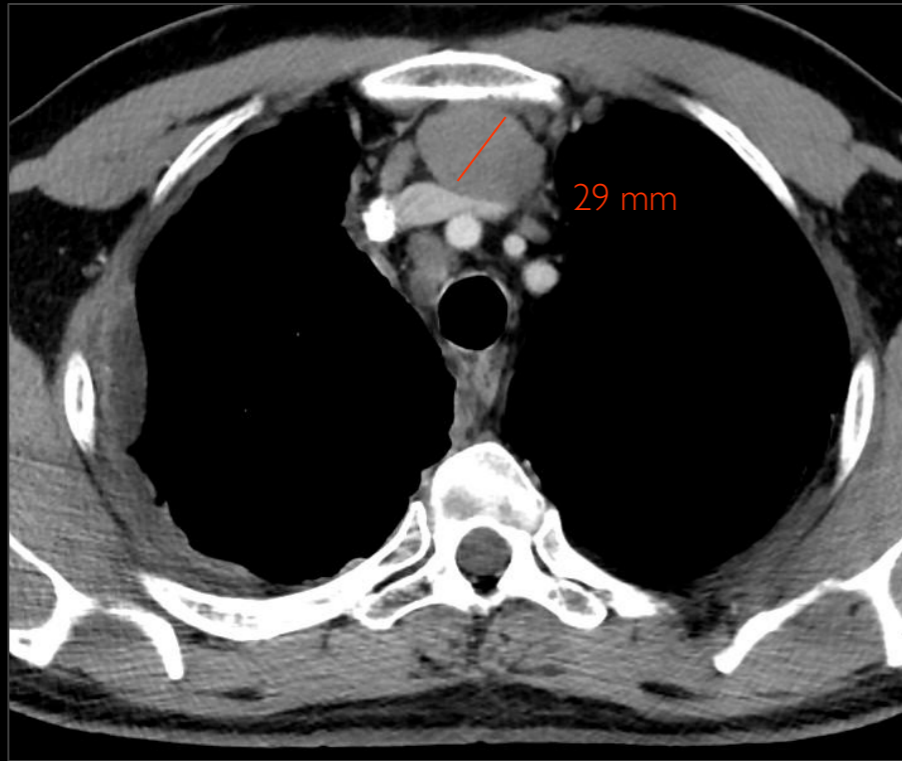
Target lesion 5
Adrenal gland metastasis



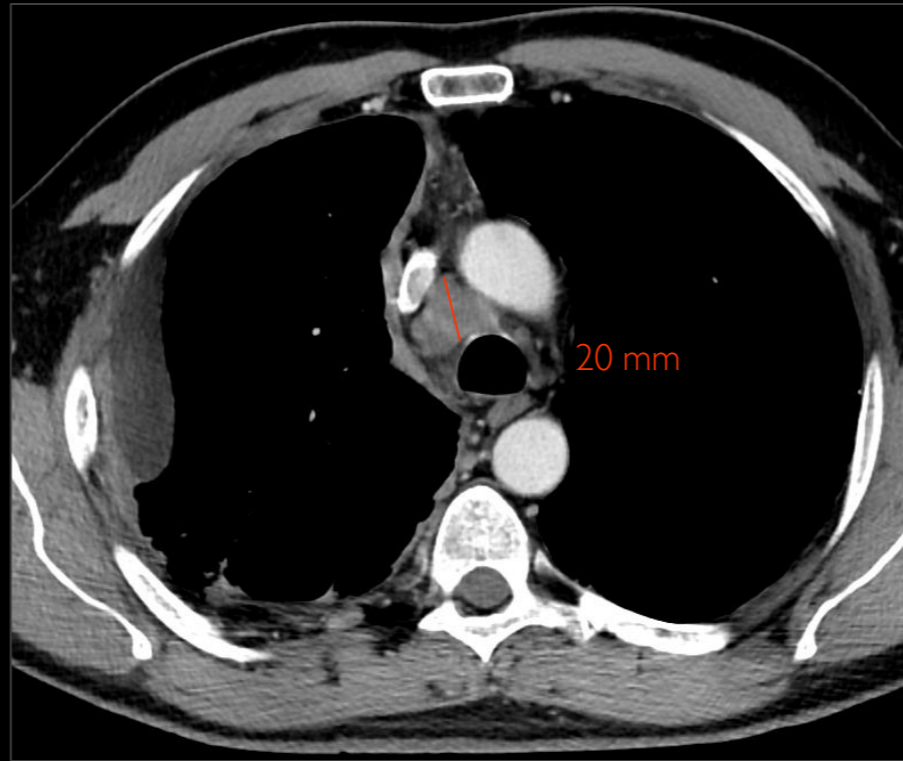
Non-target lesion (+)
Lytic bone metastasis

Target lesion	Description	Size
Target lesion 1	Primary tumor	14 mm
Target lesion 2	Pulmonary mass	10 mm
Target lesion 3	Liver metastasis	61 mm
Target lesion 4	Liver metastasis	19 mm
Target lesion 5	Adrenal gland met.	36 mm
Non-target lesion	Lytic bone met.	+
Baseline sum diameters		140 mm

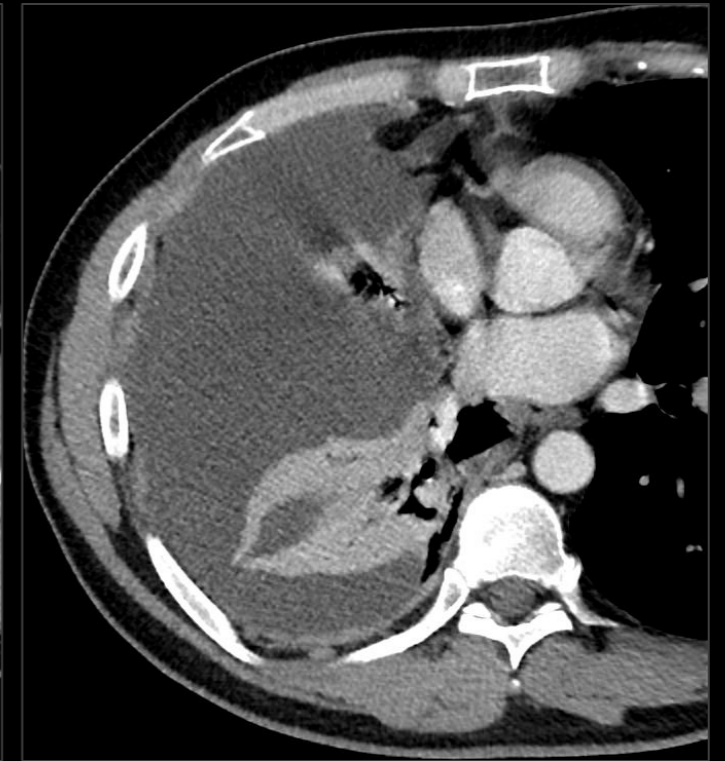
BASELINE EXAMINATION



Target lesion 1
Metastatic LN



Target lesion 2
Metastatic LN



Non-target lesion
Metastatic pleural fluid

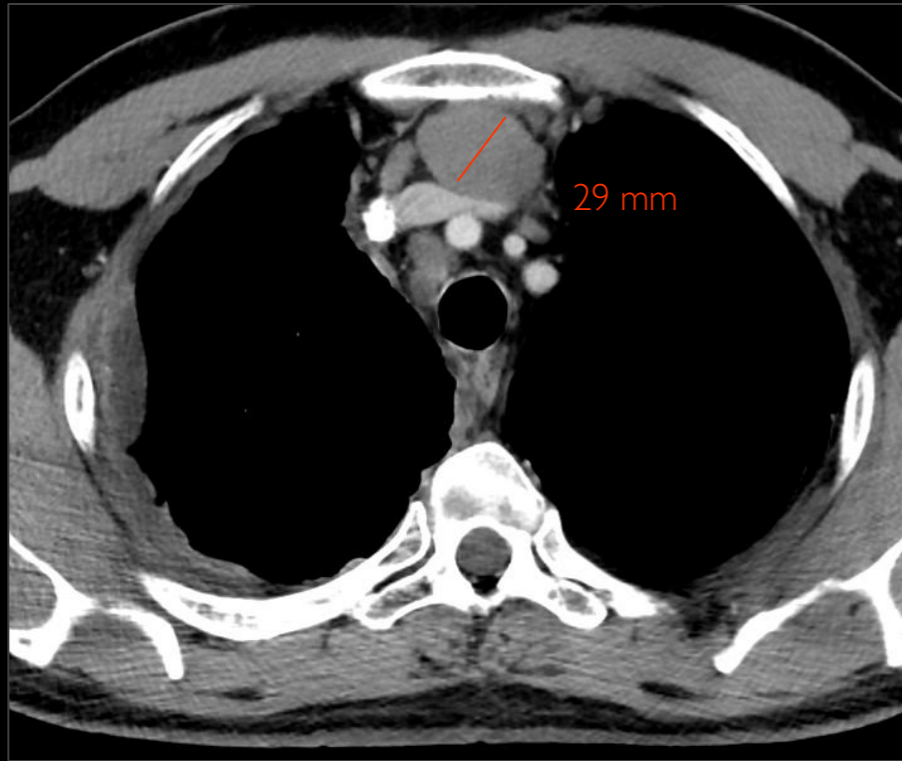
Lymph nodes: measure **SHORT AXIS** !

≥ 15 mm = target lesion

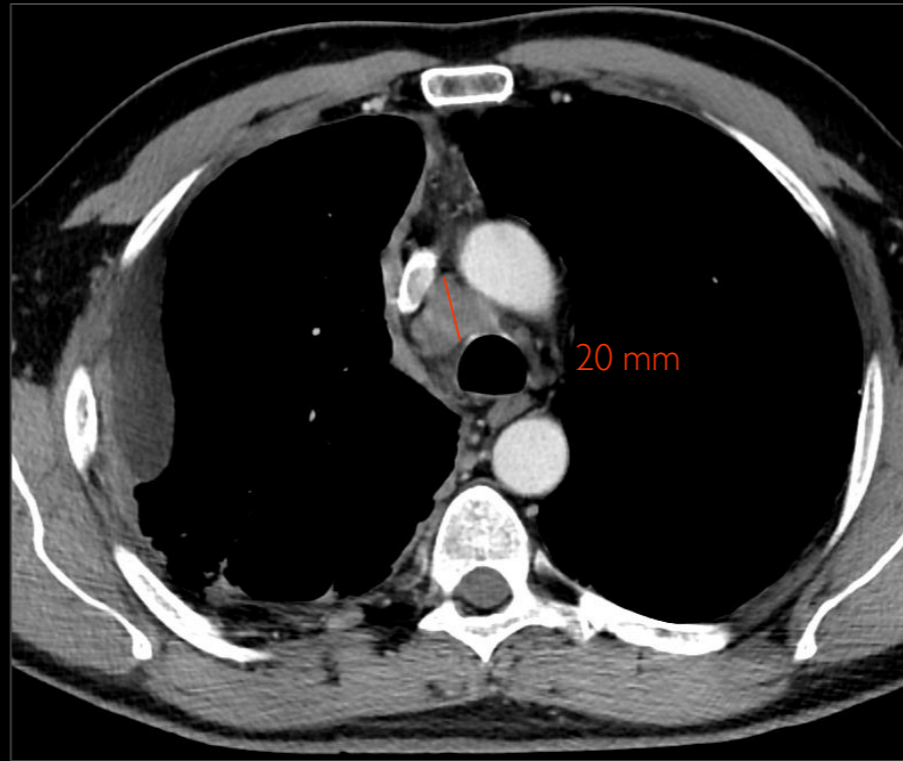
≥ 10 mm and < 15 mm = non-target lesion → report as + / -

< 10 mm = "normal" → do not record – do not follow

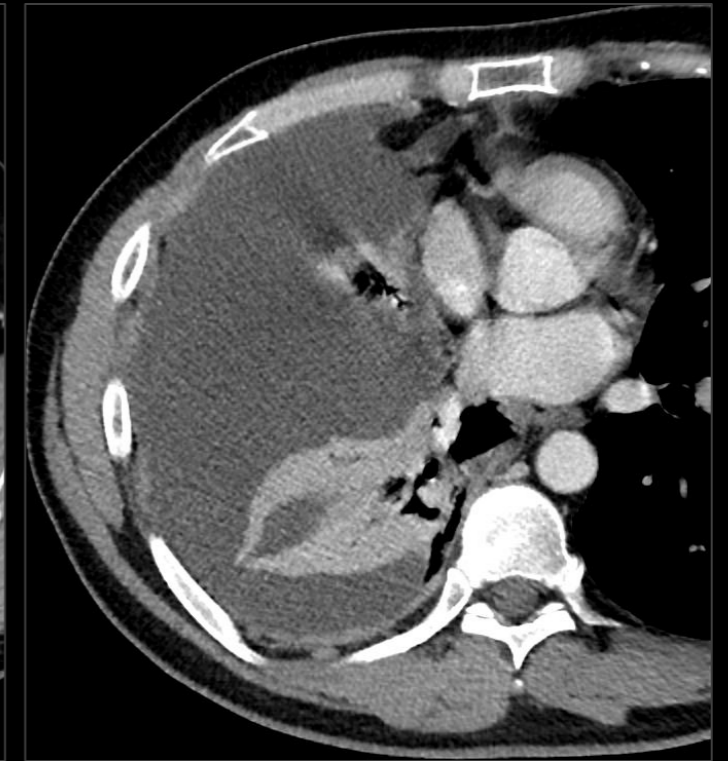
BASELINE EXAMINATION



Target lesion 1
Metastatic LN



Target lesion 2
Metastatic LN



Non-target lesion
Metastatic pleural fluid

Target lesion 1	Lymph node	29 mm
Target lesion 2	Lymph node	20 mm
Non-target lesion	Pleural fluid	+
Baseline sum diameters		49 mm

Follow-up: measurement of TARGET lesions

- Lesion measurability is defined at baseline
- Thereafter, actual measurements – even if < 10 mm – should be recorded
- Lesions that become very small: “too small to measure” → lesion that is still present = 5 mm
- If the radiologist believes the lesion has gone: default measurement of 0 mm

Response criteria: TARGET lesions

- COMPLETE RESPONSE
 - Disappearance of all target lesions
 - Pathologic lymph nodes must have reduction in short axis to < 10 mm
- PARTIAL RESPONSE
 - At least 30% decrease in the sum of diameters of target lesions
 - Reference: baseline sum diameters

Response criteria: TARGET lesions

- PROGRESSIVE DISEASE

- At least a 20% increase in the sum of diameters of target lesions
- Reference: the smallest sum on study (baseline or nadir)
- Nadir: the smallest sum recorded since the treatment started
- Absolute increase of 5 mm
- Appearance of new lesions = always progressive disease !

- STABLE DISEASE

- No progressive disease or partial response

Response criteria: NON-TARGET lesions

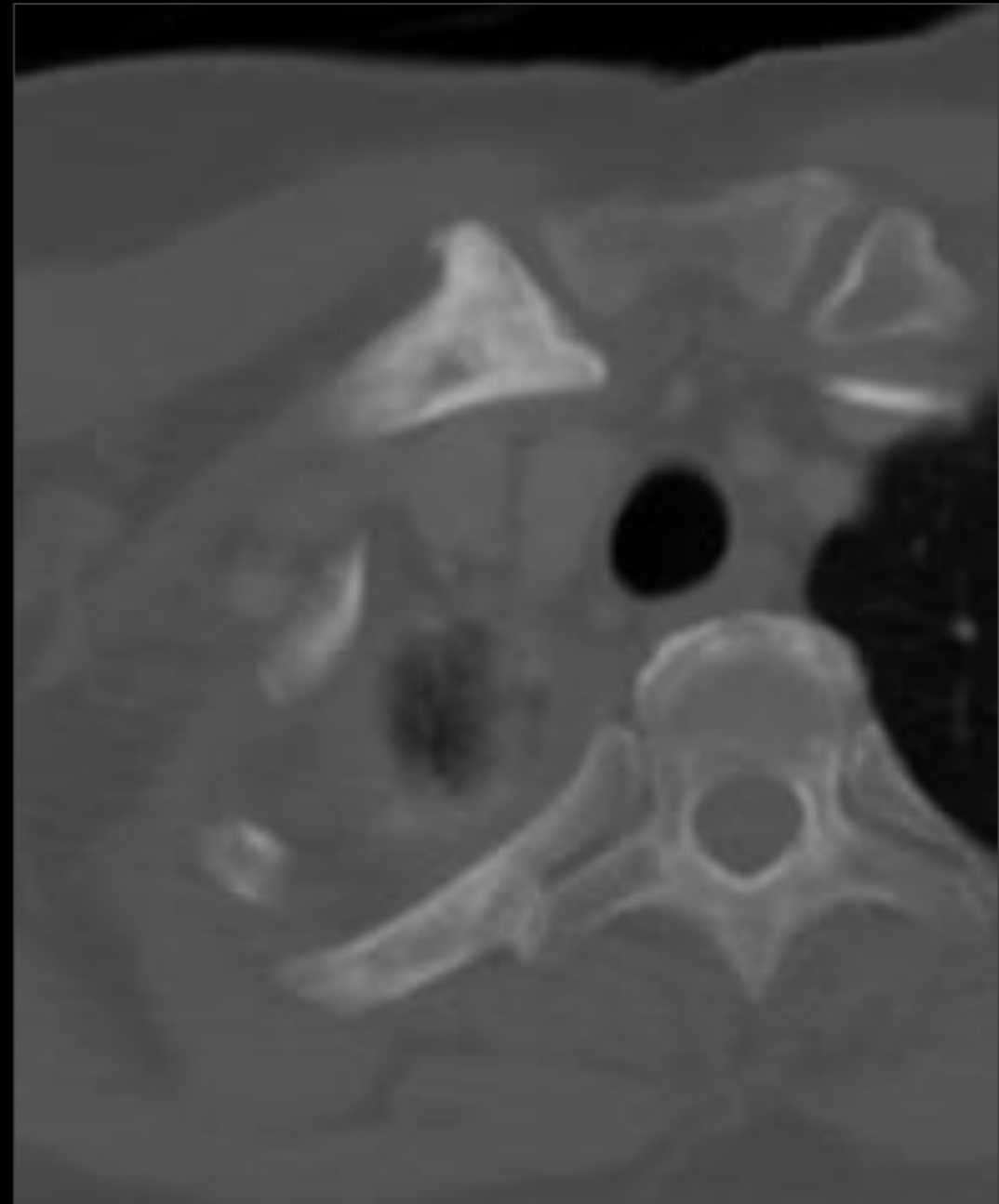
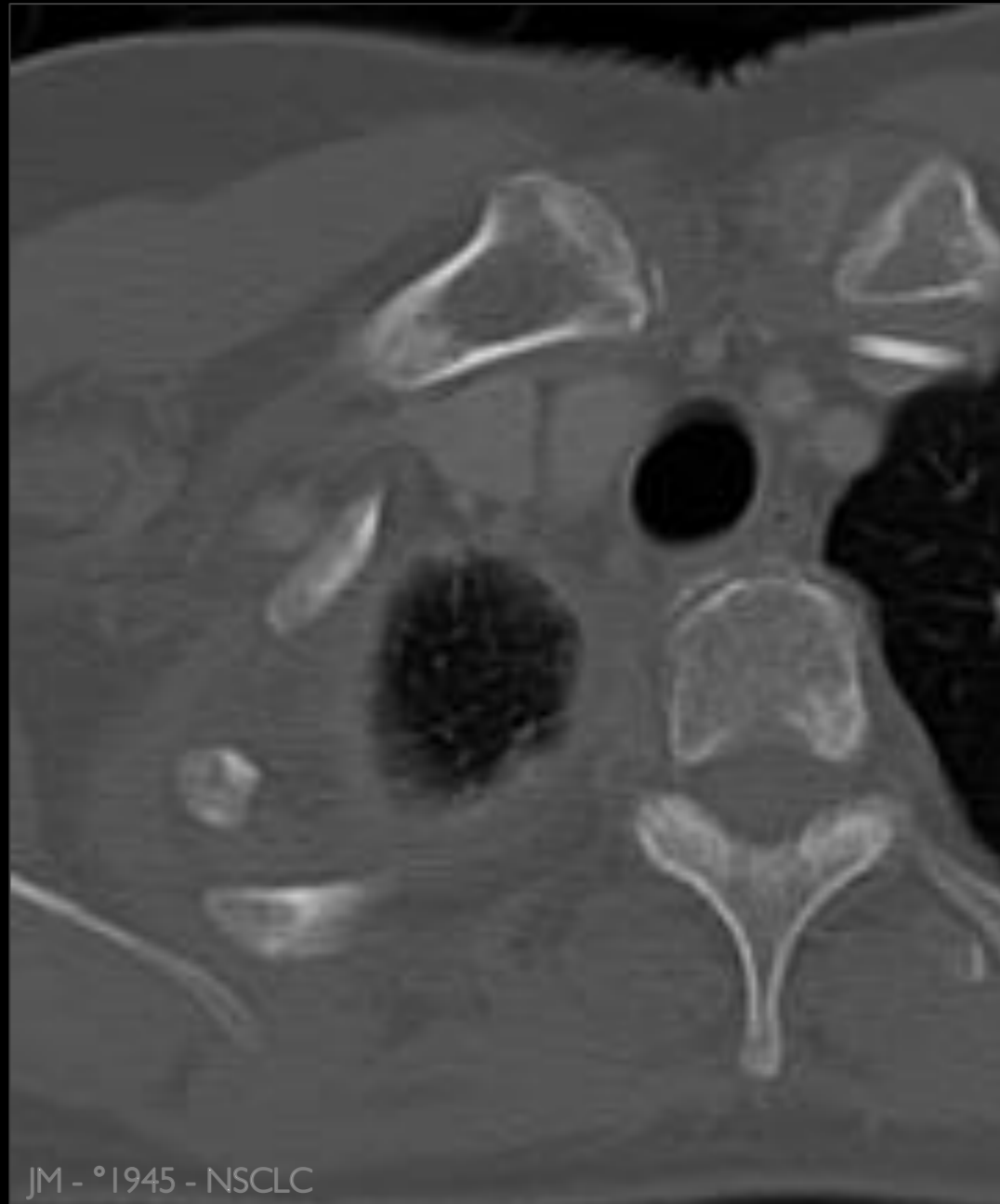
- COMPLETE RESPONSE
 - Disappearance of all non-target lesions and normalization of tumor marker level
 - Lymph nodes must be non-pathological in size
- PROGRESSIVE DISEASE
 - Unequivocal progression of existing non-target lesions
- NON-CR / NON-PD
 - Persistence of one or more non-target lesions and / or maintenance of tumor marker level above the normal limits

Unequivocal progression of non-target lesions

- Patient also has measurable disease
 - Unequivocal progression on the basis of non-target disease
 - → there must be an overall level of substance worsening in non-target disease such that, even in presence of SD or PR in target disease, the overall tumour burden has increased sufficiently to merit discontinuation of therapy
 - “modest increase” is usually not sufficient
- Patient has only non-measurable disease
 - Worsening in non-target disease cannot be easily quantified

Response criteria: NEW LESIONS

- Does not have to meet the criteria to be “measurable”
- Finding of a new lesion should be unequivocal
 - Not attributable to differences in scanning technique
 - Findings thought to represent something other than tumor
→ flare of pre-existing lesions

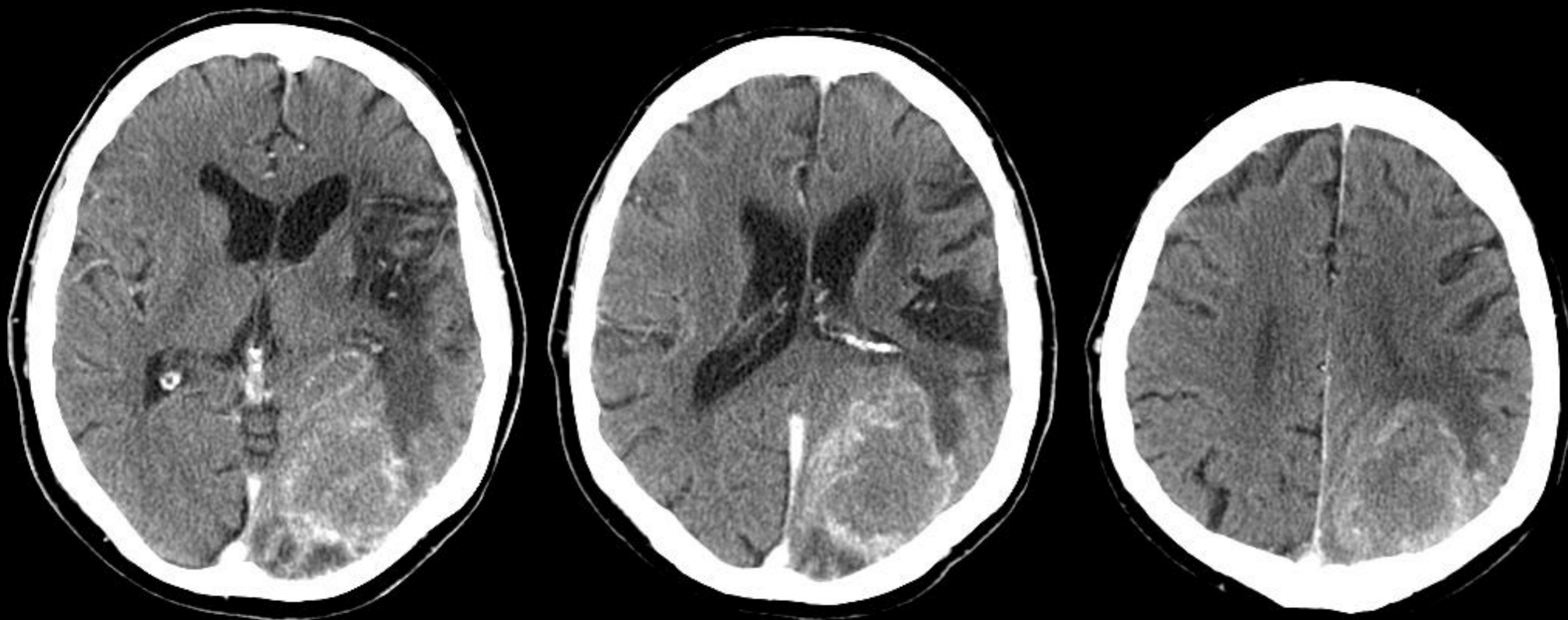


“Flare phenomenon”

Nuclear medicine → Bone scan flare phenomenon in non-small-cell lung cancer
= increase in the number or intensity of bone lesions with subsequent improvement while the patient is receiving chemotherapy

Response criteria: NEW LESIONS

- A lesion identified on a follow-up study in an anatomical location that was not scanned at baseline = considered a new lesion (e.g. brain metastases)
- FDG-PET imaging can be used to complement CT scanning in assessment of progression, particularly possible “new disease”

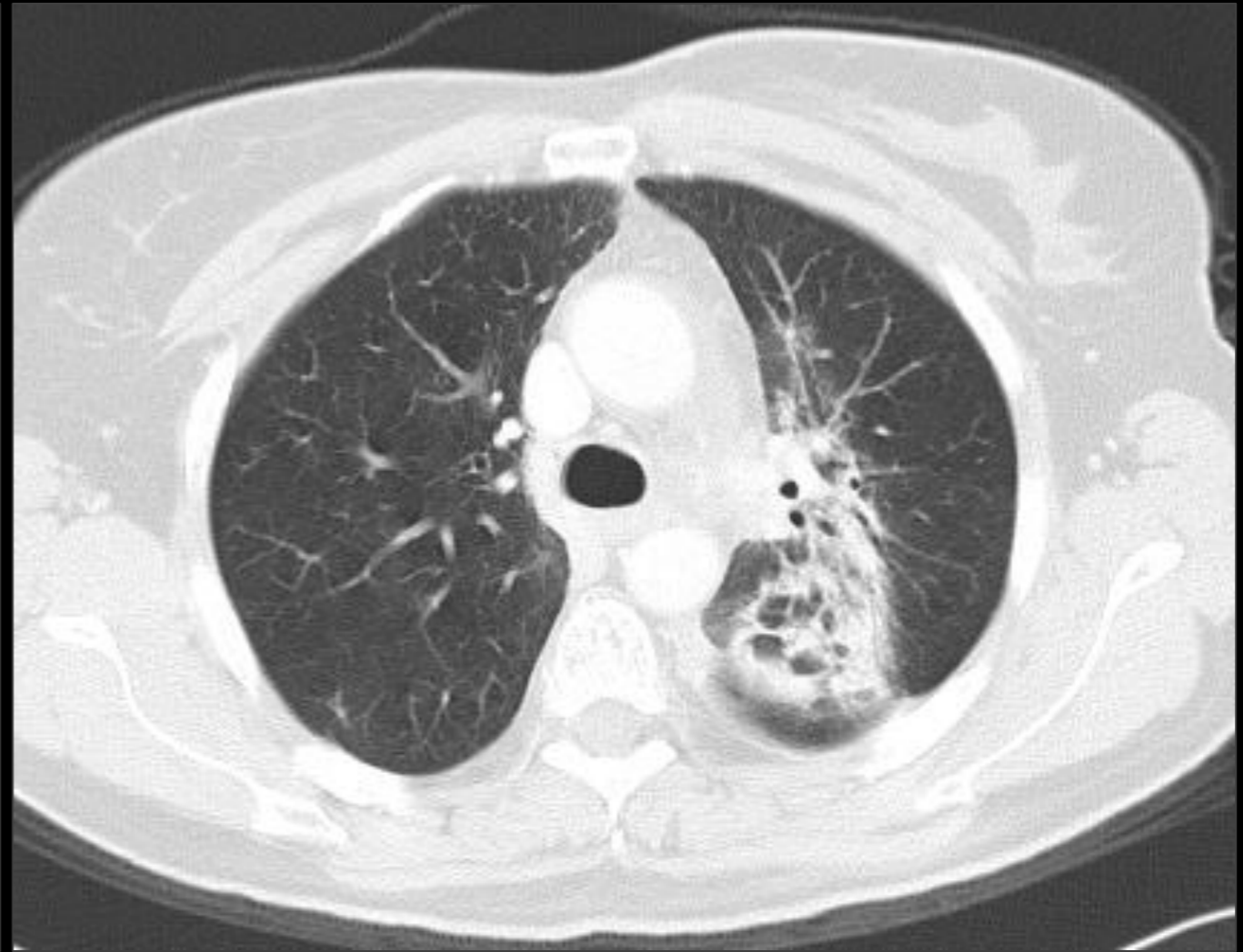
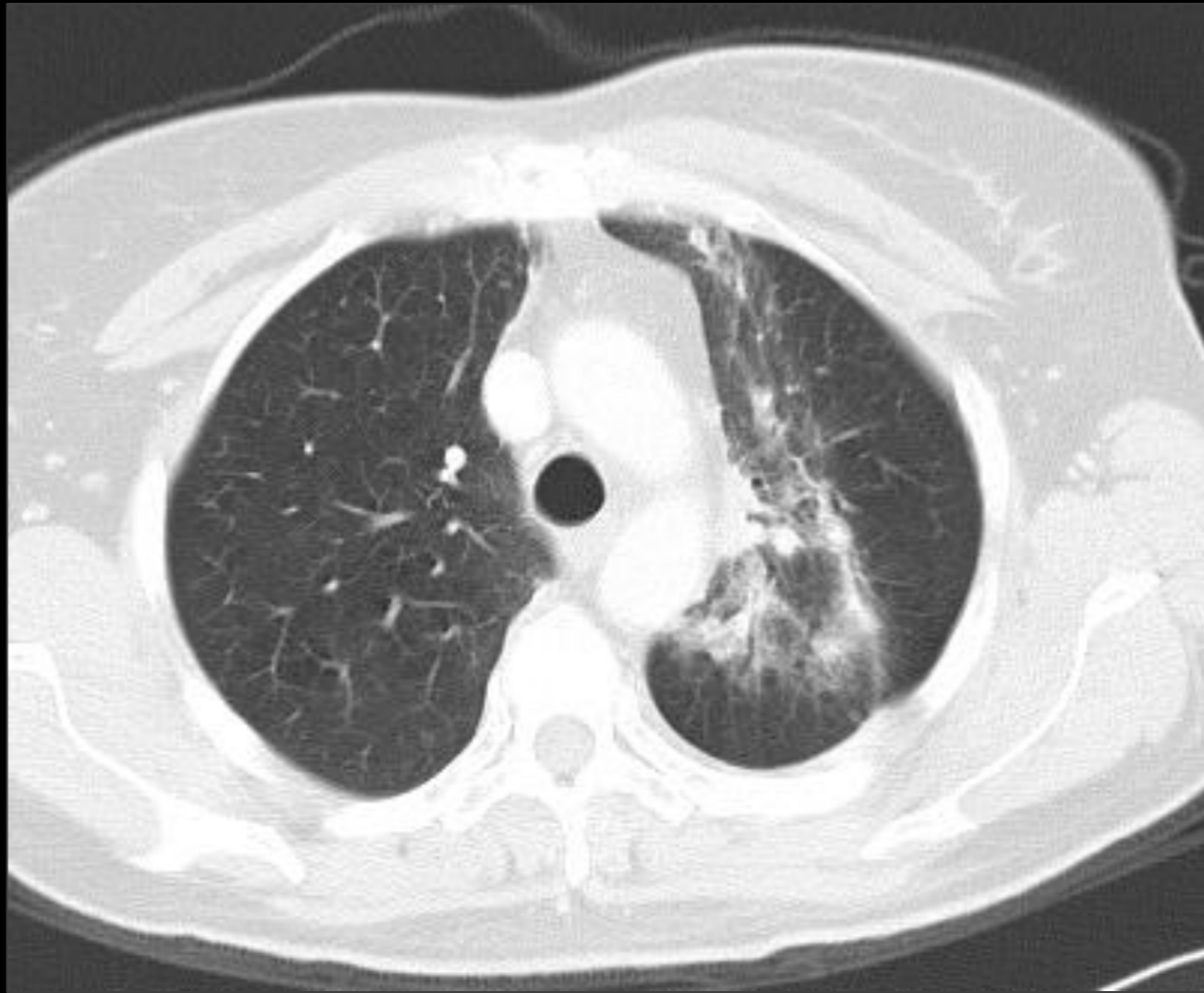


DLJ - °1945 - NSCLC

Follow-up examination
Chest CT: stable disease
CT brain: large brain metastasis with peritumoral edema

A lesion identified on a follow-up study in an anatomical location that was not scanned at baseline = considered a new lesion (e.g. brain metastases)

Appearance of a new malignant lesion
=
disease progression



DSM - °1945 - NSCLC

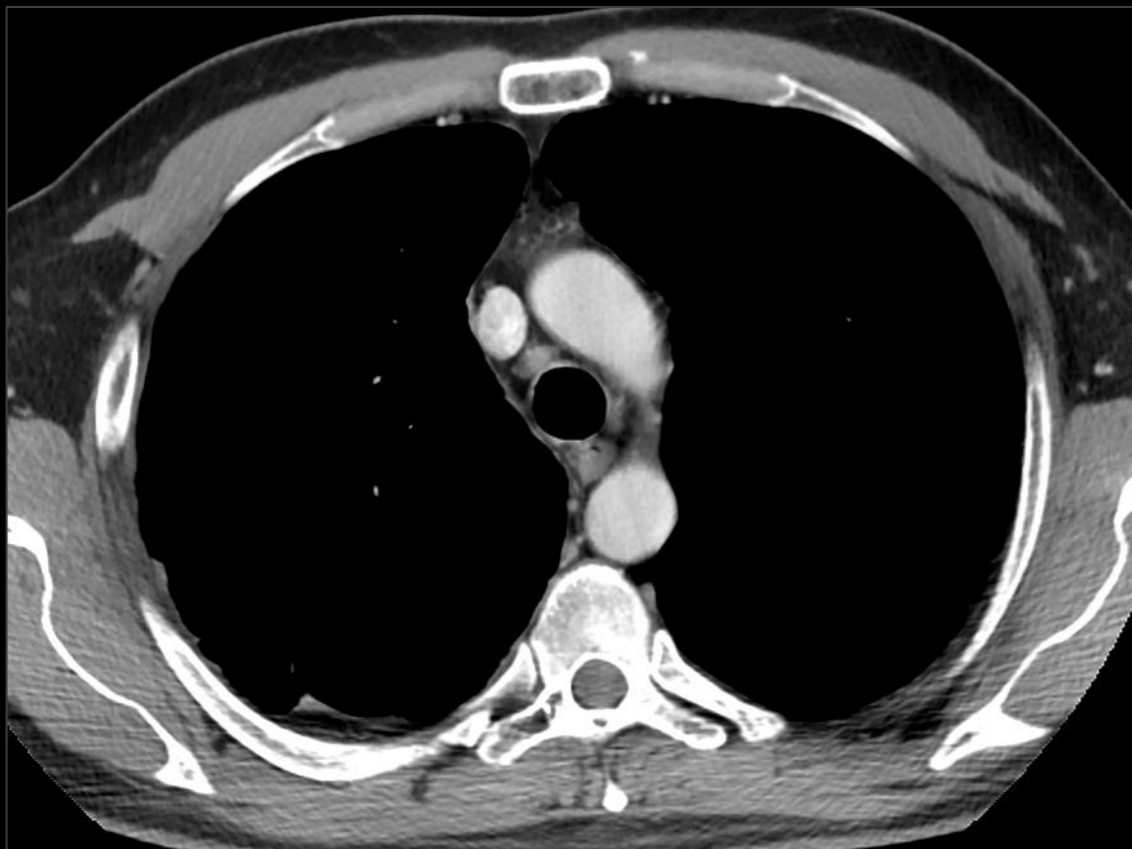
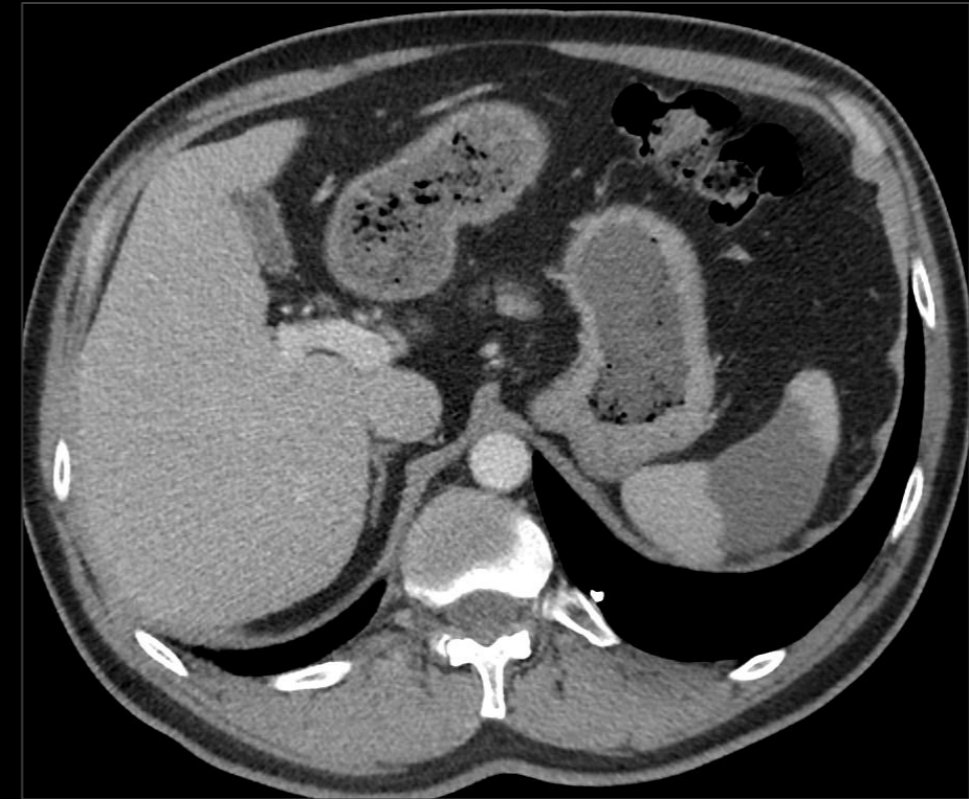
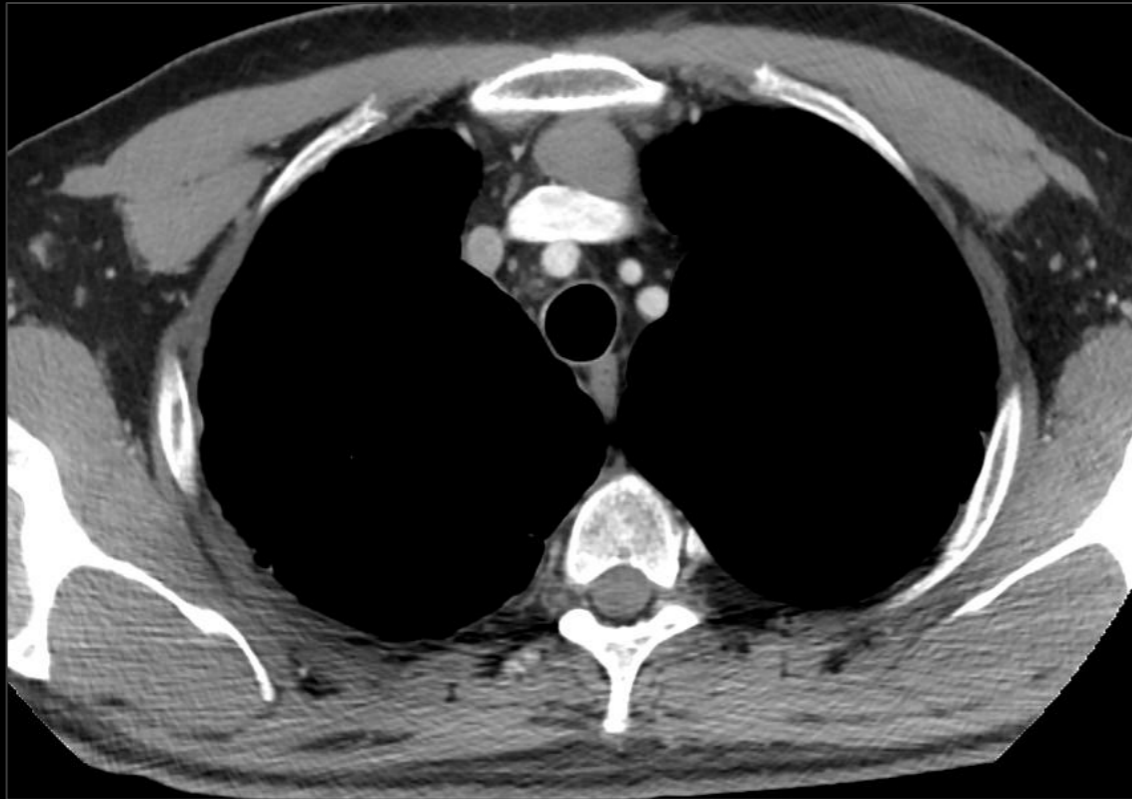
Follow-up examination

Tumor shrinkage

Large new peritumoral consolidation

Paramediastinal anteroposterior orientation

→ Previous history → Radiotherapy !



Follow up examination

Short axis lymph nodes →
Splenic mass = new finding

If new lesion = progressive disease

But !

New lesion needs to be a malignant lesion !

This patient: splenic infarct !!!
→ No disease progression

Not every new lesion is a malignant lesion
If doubt: use other imaging techniques such as
PET and MRI

Response criteria: Recurrence of lesions

- Patient with stable disease or partial response:
 - A lesions that disappears and then reappears will continue to be measured and added to the sum
- For a patient with complete response:
 - Reappearance of a lesion would be considered progressive disease

Evaluation of best overall response

- “Time point response”
 - At each protocol specified time point, a response assessment occurs
- “Best overall response”
 - = the best response recorded from the start of the study treatment until the end of treatment
 - On occasion, may not be documented until after the end of therapy
 - Will depend on the findings of both target and non-target disease

Evaluation of time point response

RECIST 1.1. Overall Response Tables

Target Lesion	Nontarget Lesion	New Lesion	Overall Response
CR	CR	No	CR
CR	Non-CR/non-PD	No	PR
CR	NE	No	PR
PR	Non-PD or NE	No	PR
SD	Non-PD or NE	No	SD
Not all evaluated	Non-PD	No	NE
PD	Any	Yes or No	PD
Any	PD	Yes or No	PD
Any	Any	Yes	PD

CR – complete response

PR – partial response

SD – stable disease

PD – progressive disease

NE – non-evaluable

Source: Perceptive Informatics, www.recist.com.

Evaluation of best overall response

- “Best overall response: all time points”
 - = determined once all the data for the patient is known
 - Differs from trials where confirmation of complete or partial response is not required and trials where confirmation of complete or partial response is required.

RECIST: the FUTURE

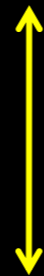
from RECIST to PERCIST ?

From RECIST to PERCIST: Evolving Considerations for PET Response Criteria in Solid Tumors
Richard L. Wahl, Heather Jacene, Yvette Kasamon, Martin A. Lodge
The Journal of Nuclear Medicine, Vol 50, No. 5 (Suppl), May 2009

the FUTURE: PET ?



- Anatomic imaging alone has limitations, particularly in assessing the activity of newer cancer therapies that stabilize disease
- It is clear that the biologic signal from ^{18}F -FDG is important and often more predictive of histologic and survival outcomes than is anatomic imaging

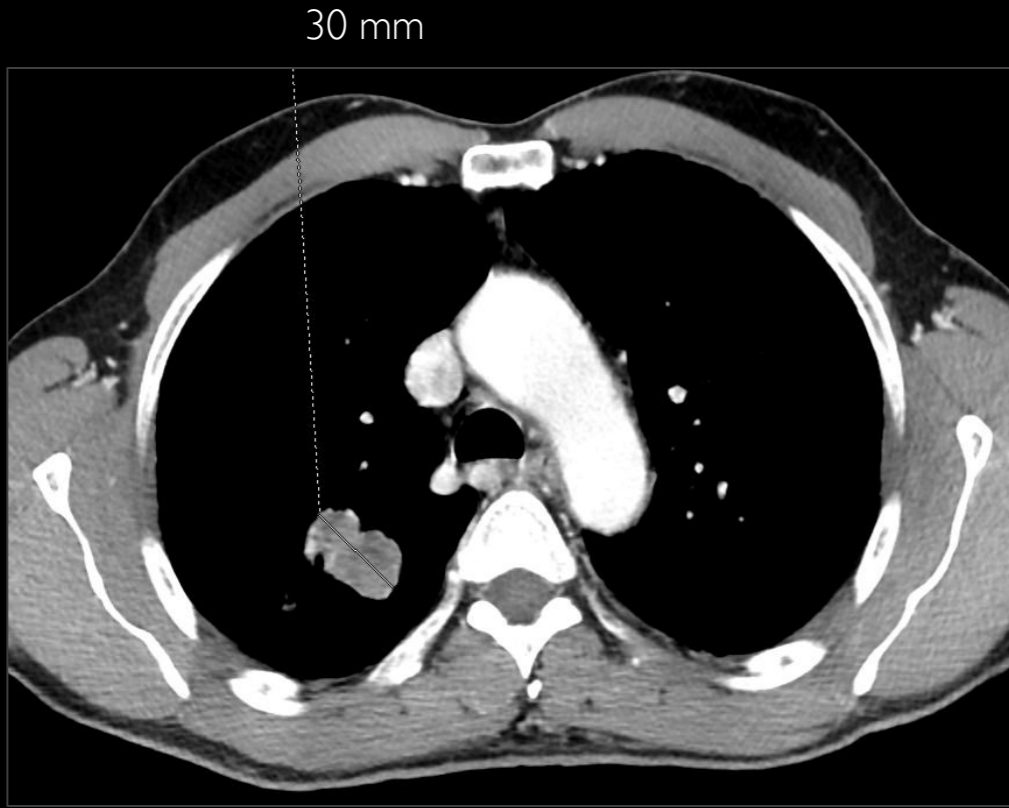


- Some tumors do not have high uptake of may be too small to be reliably quantified
- Standardizing response assessment for PET in treatment monitoring is difficult but crucial to move the field forward and to allow comparisons from study to study

Issues remaining to be solved

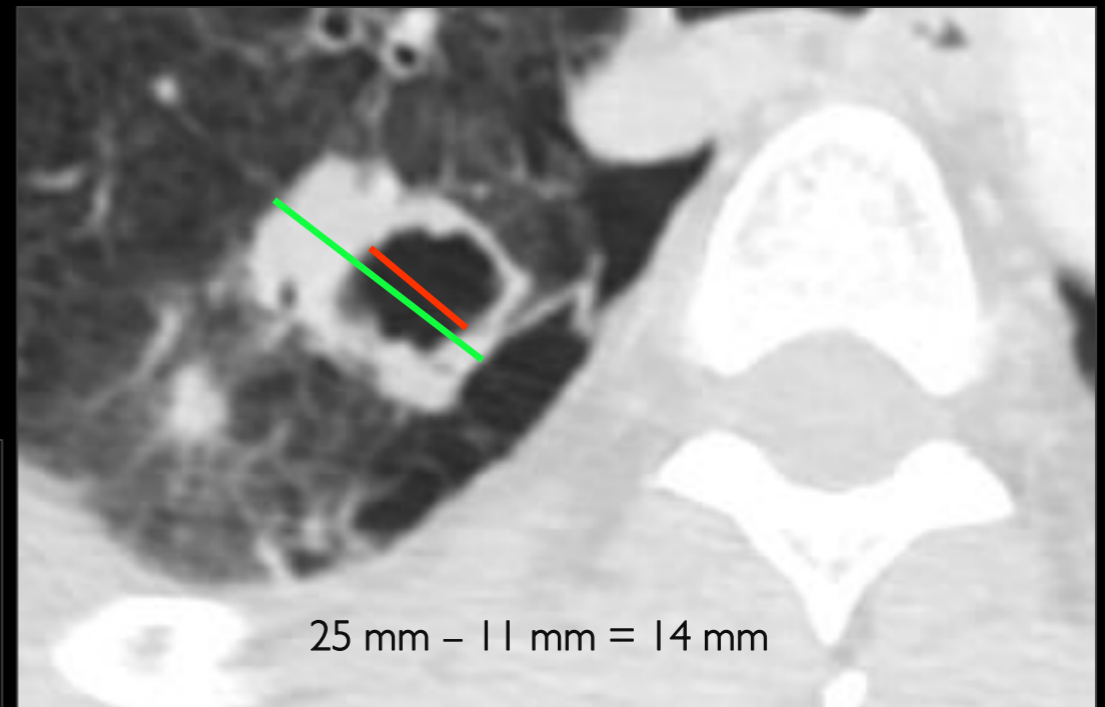
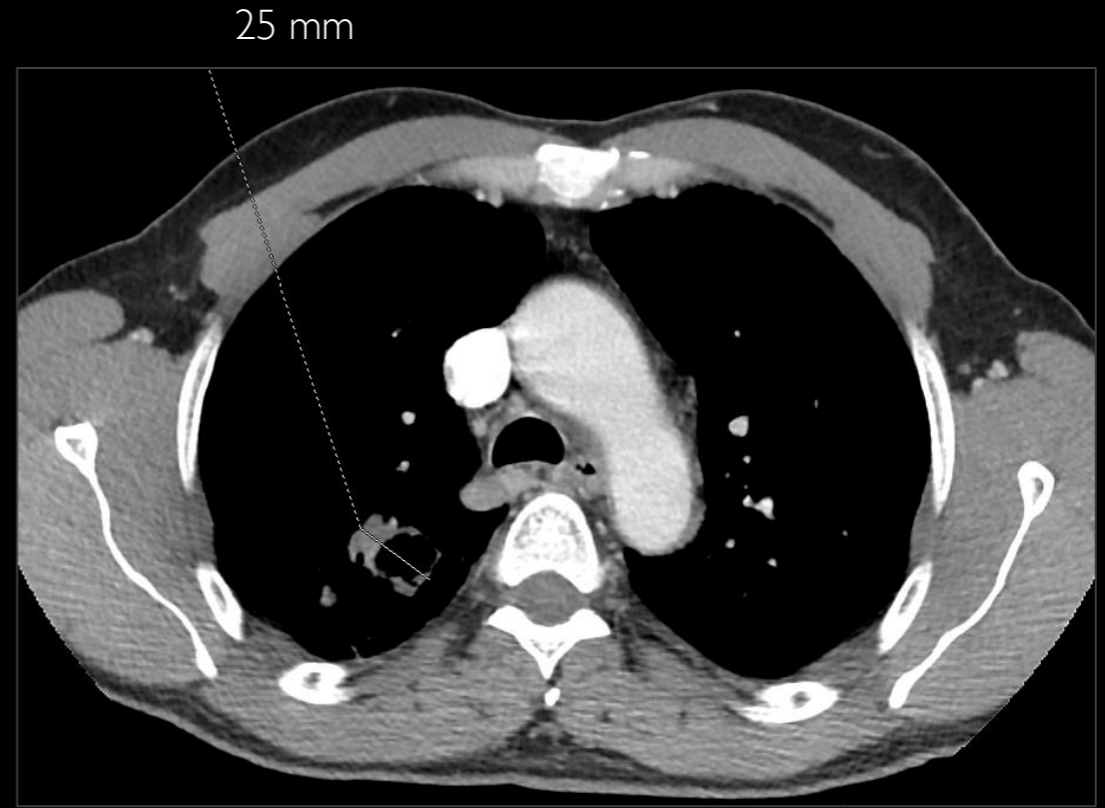
- CAVITATION OF LUNG LESIONS
 - Commonly observed, especially in non-small lung cancer treated with antiangiogenic agents
 - Challenge to the radiologists who try to obtain the appropriate measurement that best represents tumor burden
 - Alternative measurement that excludes the area of cavitation
 - Needs to be further validated

Baseline / 08-07-2010



30 mm → 25 mm =
-17%
Stable disease 😞

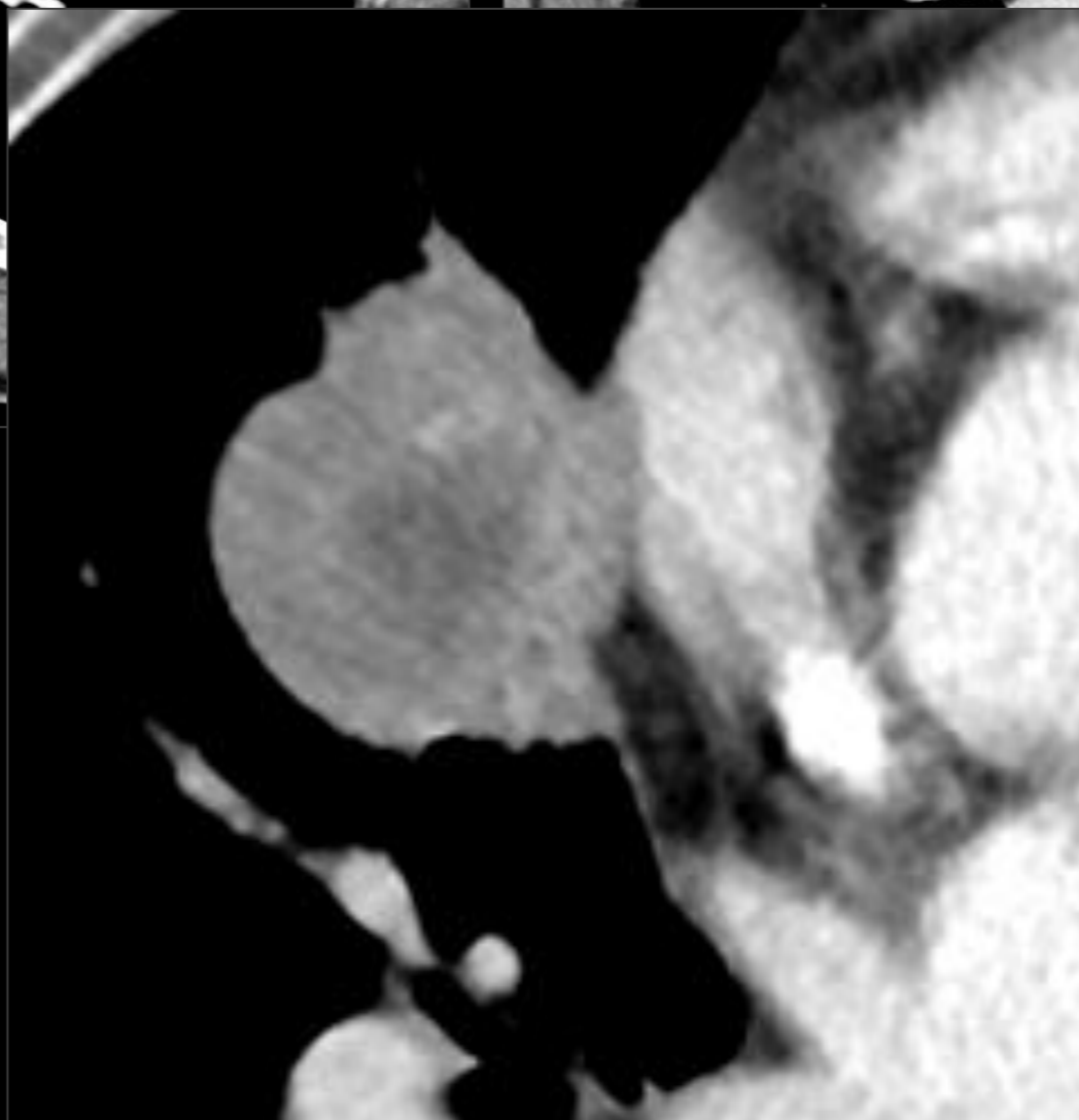
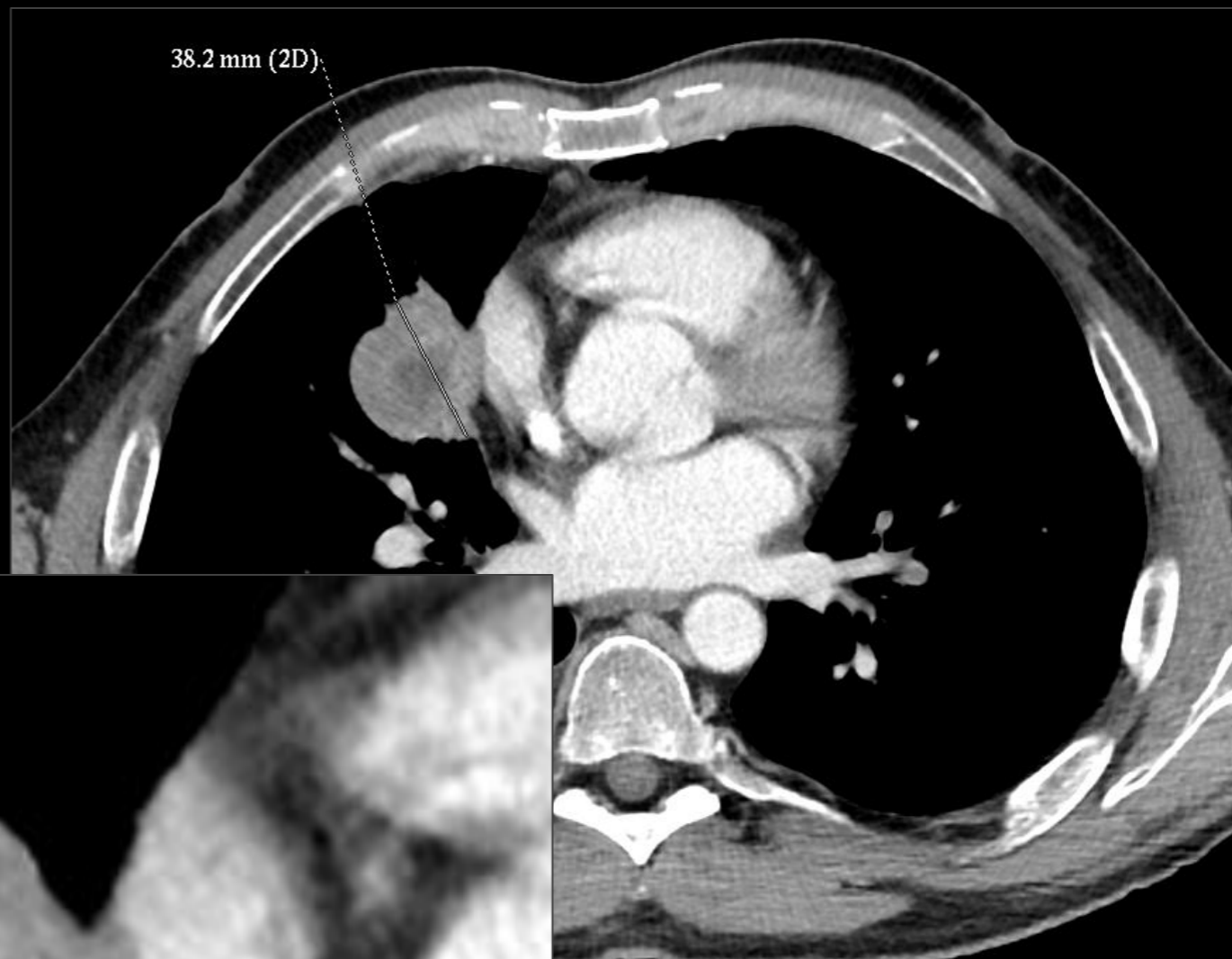
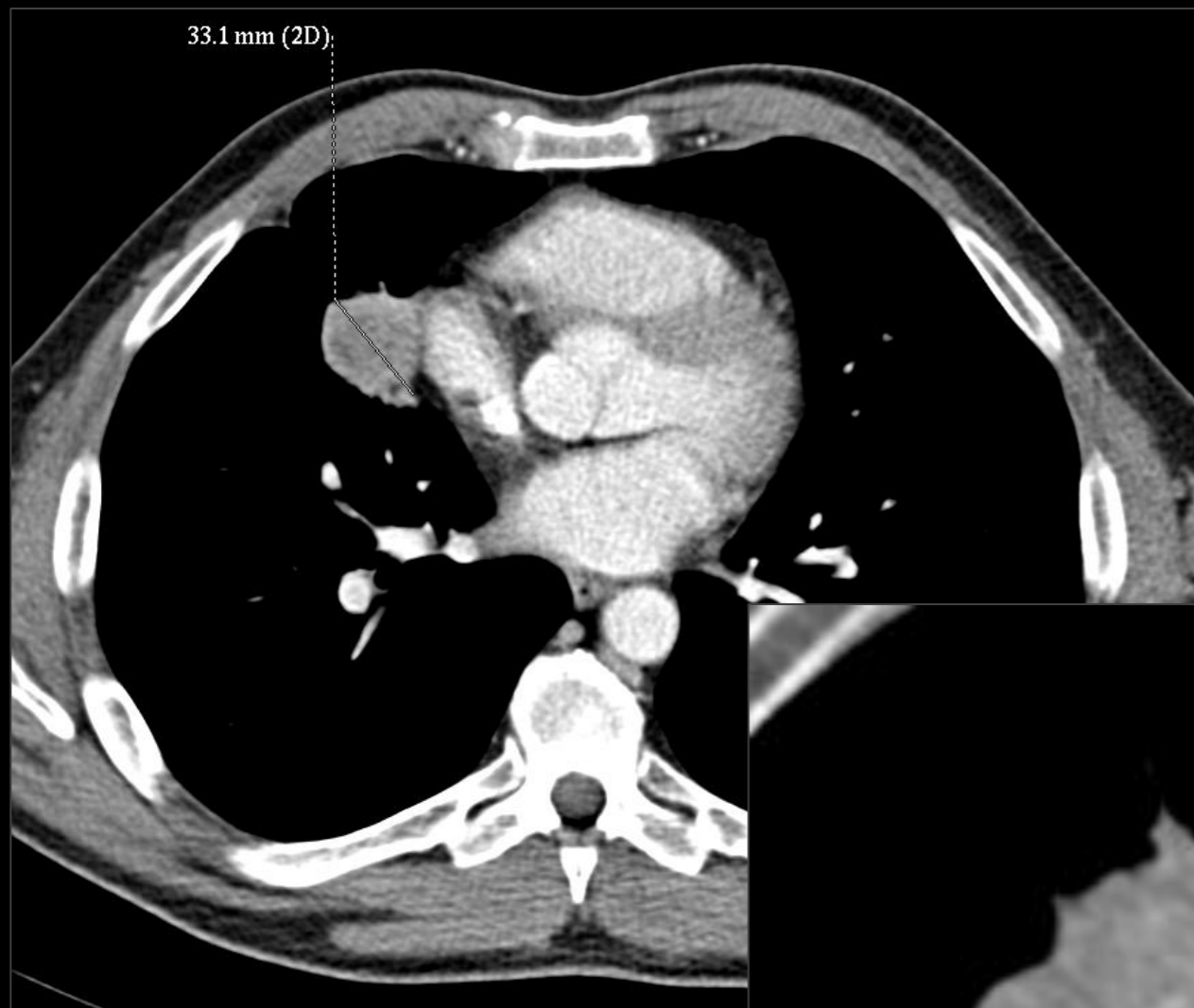
Follow-up 1 / 13-09-2010



30 mm → 14 mm =
-53%
Partial response 😊

Issues remaining to be solved

- PARADOXICAL INCREASE OF TUMOR SIZE
 - Targeted anticancer therapy using antiangiogenesis agents or tyrosine kinase inhibitors
 - → may cause a paradoxical increase of tumor size despite response
 - ~ hemorrhage and necrosis
 - Should not be mistaken for PD → MRI or FDG PET



Take home messages

- Familiarity with the revised RECIST is essential in day-to-day cancer imaging
- Target lesions: longest diameter – 5 lesions – 2 per organ
- Lymph nodes: short axis \geq 15 mm
- Non-target lesions: absent – present – progression
- New malignant lesion = progressive disease
- Future: RECIST 2.0 ? PERCIST ? → functional imaging will play a more important role

Thank you!

New response evaluation criteria in solid tumours: Revised RECIST guideline (version 1.1.)
E.A. Eisenhauer, P. Therasse, J. Bogaerts, L.H. Schwartz, D. Sargent, R. Ford, et al.
European Journal of Cancer 45 (2009) 228 - 247