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Readers should be aware that only the Dutch version of these Regulations has legal force. This English translation is strictly for reference and cannot be invoked as a legal tool.	Readers should be aware that only the Dutch version of these Regulations has legal force. This English translation is strictly for reference and cannot be invoked as a legal tool.
GENERAL REGULATIONS ON OBTAINING THE ACADEMIC DEGREE OF DOCTOR AT THE UNIVERSITY OF ANTWERP	ADDITIONAL REGULATIONS ON OBTAINING THE ACADEMIC DEGREE OF DOCTOR IN THE FACULTY OF BUSINESS AND ECONOMICS (FBE)
0. <u>Definitions</u>	
 These regulations use the term 'faculty' to refer to the University of Antwerp's faculties, the Institute of Development Policy (IOB), the Antwerp Research Institute for the Arts (ARIA) and the associated Faculty of Nautical Sciences. All faculties may also delegate authority to subentities such as departmental boards. Qualification of a degree: suffix that refers to a study area (Higher Education Codex, Art. I.3, sub 39). The qualification therefore indicates the specific title of the academic degree of doctor being conferred¹. AUHA: Antwerp University Association (Dutch: Associatie Universiteit & Hogescholen Antwerpen) 	
1. Regulations	
1. General provisions	1. General provisions
1. These regulations determine the requirements and procedures for obtaining the academic degree of doctor at the University of Antwerp (UAntwerp). The charter for PhD researchers ² included in this document as Appendix 1 applies to all PhD researchers and their supervisors.	1. These regulations apply to PhD studies conducted in the Faculty of Business and Economics (FBE) at the University of Antwerp. PhD studies are understood to consist of the doctoral study programme and the PhD by thesis. These additional regulations are supplementary to the provisions specified in the Higher Education Codex of 11 October 2013, ratified by the Decree of 20 December 2013, and to

¹ In these regulations, the research discipline is equated to the diploma qualification. 2 For readability reasons, we consistently use the following terms: 'PhD researcher'/'PhD student', 'supervisor', 'chair', 'representative'. However, the text applies to all persons regardless of their gender identity (M/F/X).

 2. The study areas and qualifications in which the degree of doctor can be obtained at UAntwerp are shown in Appendix 2. The qualification determines which faculty is responsible for overseeing and awarding the PhD. In case of an interdisciplinary PhD, two qualifications on this list are combined to form a new degree of doctor. 3. The academic degree of "doctor" (doctor of philosophy, abbreviated to PhD or Dr) is obtained after the public defence of a doctoral thesis. This thesis serves to demonstrate the PhD researcher's ability to generate new scientific knowledge on the basis of independent, sound scientific research as described in the Higher Education Codex (Art. II.58, § 7). 4. Besides preparing a thesis, PhD researchers are also expected to meet the requirements of the doctoral study programme coordinated by the Antwerp Doctoral School. These requirements are described in the additional faculty PhD 	 the general regulations on obtaining the academic degree of doctor at the University of Antwerp (University of Antwerp PhD Regulations), approved by the University of Antwerp's Board of Governors on 30 January 2018. In the FBE, PhD studies fall within the remit of the Research Committee, which fulfils the role of the FBE's faculty doctoral committee (hereafter abbreviated to FDC-FBE). The FDC-FBE is chaired by the academic coordinator of the FBE doctoral study programme. The administrative coordinator of the FBE doctoral programme attends the meetings of the FDC-FBE as a secretary. With regard to PhD studies, the FDC-FBE has decision-making powers in the following areas: The organisation, quality assurance and supervision of the doctoral programme;
regulations, which may include the option of imposing a mandatory study programme on PhD researchers. 5. The additional faculty PhD regulations describe the modalities and procedures	 Admission to doctoral studies, based on an aptitude check for prospective PhD students and on the proposed research project (cf. Article 8 of the University of Antwerp's PhD regulations); The appointment of the supervisor(s) of the PhD thesis (cf. Articles 13 upto
to be used for progress reports, as well as the form and assessment of the thesis and the doctoral study programme. These additional regulations are approved by the faculty upon the recommendation of the Bureau of the Antwerp Doctoral School, which checks them against the general PhD regulations.	4 of the University of Antwerp's PhD regulations);Determining the content and follow-up of a preparatory programme, if
	 required (cf. Articles 10 and 11 of the University of Antwerp's PhD regulations); The activities performed in the context of this extra study programme are not honoured within the doctoral study programme. The approval of the individual PhD commissions' (IPCs) evaluation reports
	following the submission of progress reports on the doctoral research and doctoral study programme (cf. Articles 20 and 21 of the University of Antwerp's PhD regulations);
	 Granting permission to write the thesis in a language other than Dutch or English (cf. Article 23 of the University of Antwerp's PhD regulations); Verification of whether the PhD student has met the requirements of the doctoral study programme before submitting his/her PhD thesis (cf. Article 25
	 of the University of Antwerp's PhD regulations); The granting of exemptions from the University of Antwerp's doctoral study programme (cf. Article 12 of the University of Antwerp's PhD regulations).
	 4. With regard to PhD studies, the FDC-FBE has the authority to advise the FBE Faculty Board on: The composition of the IPC and appointment of the chair upon the prospective
	student's admission to doctoral studies (cf. Articles 13 upto 19c of the University of Antwerp's PhD regulations);

	The composition of the dectoral juny and the apprintment of a shall at the
	 The composition of the doctoral jury and the appointment of a chair at the start of the defence procedure (cf. Articles 25 to 29 of the University of Antwerp's PhD regulations). The FBE's additional PhD regulations.
2. Admission and enrolment	2. Admission requirements
6. PhD researchers wishing to obtain the academic degree of doctor must enrol as a PhD student every academic year during the enrolment period. For more information about the admission and enrolment procedures, please see Appendix 3 of these regulations.	
 7. Enrolment for the preparation of a doctoral thesis is open to holders of the degree of Master (or equivalent), provided Articles 9), 10) and 11) are taken into account. 8. In order to obtain permission to enrol for the preparation of a thesis, candidate PhD students must send a written application to the faculty via the Registrar's Office (Dutch: Centrale Onderwijsadministratie) containing information about themselves and the PhD project. The faculty will decide on the suitability of the candidate and the research topic within a reasonable period of time following receipt of the application (appendix 3). 9. The faculty may waive the admission requirements mentioned in Article 7 for candidates who are not in possession of a Master's degree or equivalent. This waiver depends on the results of an enquiry whose goal is to establish that the candidate has the research-related competences normally acquired during a Master's programme on the basis of the Master competences or the Dublin descriptors. The faculty informs both the candidate and the Registrar's Office of its decision. In the event of doubt, the application will be submitted to the Bureau of the Antwerp Doctoral School. 	 5. As an additional admission requirement, the FBE stipulates that the candidate must have achieved a classification of at least cum laude (or its equivalent) on his/her Master degree. The FDC-FBE decides on the equivalence of degree classifications. Based on the aptitude check the FDC-FBE can decide to admit a candidate with a lower degree classification, possibly conditional on the candidate's taking an extra study programme. With regard to the discipline of Doctor in Management, candidates must also have at least five years of relevant professional experience. 6. The application file for admission to doctoral studies in the FBE consists of (i) the application form, (ii) the curriculum vitae of the applicant, (iii) a copy of his/her Master diploma and supplement, (iv) the research proposal and (v) the name(s) of the proposed supervisor(s). The supervisor(s) must give their written consent for this. An assessment of language proficiency may form part of the aptitude check. 7. PhD studies in the FBE are carried out according to the following procedure: The PhD student submits an application for admission to FBE doctoral studies to the Registrar's Office using the required forms.
 10. For the categories of candidate PhD students listed below, it is possible for the faculty to grant admission conditional upon the successful finalisation of a preparatory programme: candidates wishing to obtain the degree of doctor in a different discipline from the one in which they obtained their Master's degree; candidates with a Master's degree from an institution outside of the Flemish Community; candidates who do not hold a Master's degree. 11. The faculty is responsible for the composition and follow-up of the preparatory programme. The candidate should enrol in the preparatory programme on the basis of a diploma contract. Before enrolling as a PhD student, the candidate must 	 Having received the application from the Registrar's Office, the FDC-FBE decides on the admission of the candidate. If the FDC-FBE requires the candidate to complete a preparatory programme or extra study programme (see Article 3, items 4 and 5, and Article 5), it informs the candidate of this within a reasonable time frame; The FDC-FBE also sets the period within which the preparatory or extra study programme must be completed. Following the approval of the application and on the FDC-FBE's advice, the FBE Faculty Board composes the IPC and appoints a chair. Every year the progress made in both the doctoral research and the doctoral study programme is described in a progress report written and submitted by the PhD student. The IPC evaluates the progress made. The IPC's evaluation reports are assessed by the FDC-FBE.

be able to demonstrate successful completion of all components of the preparatory programme. The faculty may allow candidates to complete their preparatory programmes during the first years of their PhDs. In that case, students must be able to demonstrate that they have passed the preparatory programme before being allowed to enrol in the second year. The preparatory programme is not eligible for recognition as part of the doctoral study programme.	thesis by the IPC, the FBE Faculty Board composes a doctoral jury on the advice of the FDC-FBE and appoints a chair.
12. UAntwerp PhD students are automatically enrolled in the doctoral study programme. The faculty may exempt PhD students from the doctoral study programme if it can be shown that they fulfil the requirements of the doctoral study programme – as outlined in the faculty PhD regulations – before embarking on their PhDs.	 8. During the FBE doctoral study programme, PhD students are expected to develop their research competences. Using the competence profile for PhD students at the University of Antwerp, and in consultation with their supervisors, PhD students determine the activities that they will undertake, keeping in mind the specifications and limitations listed in Articles 9 to 12 of these additional faculty regulations. The Antwerp Doctoral School's competence profile is composed of seven competence categories: A. Research skills and techniques B. Adaptation to the research environment C. Research management D. Personal efficiency E. Communication skills
	 F. Networking and teamwork G. Career management 9. In order to complete the doctoral study programme successfully, each PhD student must submit an activity file according to the following general rules: the activities undertaken must amount to a total of at least 30 credits. only discipline-specific activities listed in the activity table are eligible for recognition. no more than half of the total number of credits may be earned in a single competence category. credits must have been earned in at least four categories of the competence profile. at least 12 credits must have been earned by taking PhD courses in Competence Category A, 'Research skills and techniques'. at least two credits must have been earned in Competence Category F, 'Networking and teamwork'. 10. An overview of the activities accepted (activity table) and the credits allocated per activity is included in a separate guide. The most up-to-date version of this

	 guide is available on the website of the Antwerp Doctoral School and can be requested from the FBE administrative coordinator of the doctoral programme. 11. In exceptional circumstances, activities undertaken after the completion of the Master programme and before the candidate has been admitted to start a PhD may also be recognised in full or in part in the doctoral study programme. The FDC-FBE decides on this on the basis of a well-developed proposal accompanied by the relevant supporting documents. 12. In very exceptional circumstances, PhD candidates with particular qualifications can be exempted from the specifications and limitations listed under Articles 8 to 11 of these additional regulations. The FDC-FBE decides on this on the basis of a well-developed by the relevant supporting documents.
 3. Supervision - individual PhD commission (IPC) - faculty PhD commissions 13. The supervisor(s) is (are) responsible for the supervision of the doctoral work. If the nature of the research requires confidentiality, the supervisor(s), in consultation with the Valorisation Office and prior to the discussions by the IPC, contact the chair of the IPC to take the necessary measures to maintain confidentiality. The IPC is responsible for monitoring the progress of the PhD research and for mediation as necessary. The IPC may be convened at any time at the request of the PhD researcher or one of the IPC members. 	4. Supervision - supervisors - individual PhD commission (IPC)
14. Following approval of the candidate and the research topic, the faculty appoints the supervisor(s) and the chair and members of the IPC. The chair should be a member of the senior academic staff (Dutch: <i>zelfstandig academisch personeel</i> , ZAP) at UAntwerp or an emeritus with assignment (contract research staff (Dutch: <i>bijzonder academisch personeel</i> , BAP) statute in accordance with the retirement regulations of UAntwerp), but cannot be the PhD researcher's supervisor. The chair is not necessarily required to be a member of the IPC. If the nature of the research requires confidentiality (reported by the supervisor(s)), the chair will take the necessary measures to maintain confidentiality, prior to the discussions by the IPC.	 13. The FBE Faculty Board composes the IPC on the basis of a proposal from the supervisors and the advice of the FDC-FBE. The IPC should include all of the supervisors and two additional members: a chair and a member who does not belong to the senior academic staff (Dutch: <i>zelfstandig academisch personeel</i>, ZAP) at the University of Antwerp and whose primary appointment is external to AUHA and AMS. One of these additional members must not be directly involved in the research. 14. If three or four supervisors are to be appointed, a request should be submitted to the FDC-FBE explaining why the additional expertise is required.
15. The doctoral thesis is generally prepared under the guidance and supervision of one or two supervisors. If additional expertise is required to ensure the high-quality supervision of the PhD research, the faculty may appoint one or two additional supervisors – up to a maximum of four in total, at most three of whom may be affiliated with AUHA.	15. The IPCs of incoming joint or double PhD students may be composed differently in order to follow up on the PhD research at the University of Antwerp. If a similar doctoral committee exists at the main institution, a smaller IPC consisting of the supervisors and a chair can be appointed. If no similar doctoral committee has been appointed at the main institution, a full IPC should be appointed at the University of Antwerp. The FDC-FBE determines whether a full IPC or a smaller IPC is to be appointed.

16.a. All supervisors should be in possession of the academic degree of doctor or '*geaggregeerde voor het hoger onderwijs*' also taking into account the provisions of Article 19.

16.b. At least one of the supervisors should be a member of the UAntwerp senior academic staff (ZAP) with an appointment of at least 10% or an emeritus with assignment (contract research staff (Dutch: *bijzonder academisch personeel*, BAP) statute in accordance with the retirement regulations of UAntwerp).

17.a. The IPC is composed of all of the supervisors and supplemented by two additional members who have sufficient expertise and affinity with the research field to be able to assess the progress of the doctoral work.

17.b. All IPC members should be in possession of the academic degree of doctor or '*geaggregeerde voor het hoger onderwijs*' also taking the provisions of Article 19 into account.

17.c. At least two members of the IPC should be members of the UAntwerp senior academic staff (ZAP) or an emeritus with assignment (contract research staff (Dutch: bijzonder academisch personeel, BAP) statute in accordance with the retirement regulations of UAntwerp). If the PhD is being undertaken in the study area "Nautical Sciences", one of these two ZAP members may be replaced by a member of the teaching staff appointed in Group 3 (OP3) at an AUHA university college.

18.a. In order to guarantee the referee function of the IPC, at least one of the commission members must not be directly involved in the PhD project. The faculty assesses the IPC members' neutrality.

18.b. The following persons can never be appointed as members of the IPC: the PhD researcher's husband, wife or legally cohabiting partner, partner or blood or other relatives up to and including the fourth degree.

18.c. The chair of the IPC may invite additional experts to advise during IPC meetings if it is believed that this would benefit the supervision of the PhD.

19.a. For PhDs in the study areas "Architecture", "Movement and Rehabilitation Sciences", "Conservation and Restoration", "Applied Engineering and Technology", "Product Development", "Applied Language Studies" and "Nautical Sciences", a deviation from the PhD requirement mentioned in Articles 16a and 17b may be possible for teaching staff appointed in Group 3 (OP3).

19.b. For PhDs in the study areas "Audiovisual and Visual Arts" and "Music and Performing Arts", a deviation from the PhD requirement mentioned in Articles 16a

members.from sup26.b. All supervisors are members of the doctoral jury.a m26.c. No more than half of the doctoral jury may be made up of the PhD researcher's supervisors.23. par	 The FBE Faculty Board composes the doctoral jury on the basis of a proposal om the IPC and the advice of the FDC-FBE. The doctoral jury should include the upervisors and at least four other jury members. The doctoral jury can consist of maximum of eight members. For joint or double PhDs different or additional rules may be included in the artnership agreement for the composition of a doctoral jury (cf. Article 43 of the Iniversity of Antwerp's PhD regulations).
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at one of AUHA's university colleges. In the event of deviation from sub e, at least two other members must belong to the UAntwerp senior academic staff (ZAP).	
27.a. The members of the doctoral jury must hold PhD degrees or a degree of 'geaggregeerde voor het hoger onderwijs', also taking into account the stipulations of sub b, c and d.	
27.b. Supervisors and other members of the IPC who do not hold PhDs but have been appointed according to Article 19 can also be designated as members of the doctoral jury.	
27.c. For PhDs in the study areas "Architecture", "Movement and Rehabilitation Sciences", "Conservation and Restoration", "Applied Engineering and Technology", "Product Development", "Applied Language Studies", "Audiovisual and Visual Arts", "Music and Performing Arts" and "Nautical Sciences", a deviation from the PhD requirement mentioned in sub a may be possible for persons external to the University of Antwerp if this is important for the evaluation of the PhD.	
27.d. The deviation from the PhD requirement as described in sub b and c can be granted to a maximum of three members of the doctoral jury, but never to more than half of the jury members.	
28. The faculty appoints a chair from amongst the members of the doctoral jury. The chair of the doctoral jury should be a member of the senior academic staff (ZAP) at UAntwerp, but not one of the PhD researcher's supervisors.	
29. With regard to study areas or parts of study areas in which UAntwerp provides only Bachelor-level courses, the doctoral jury must include at least one member from a university that is able to offer Master's degrees within the study area concerned (Higher Education Codex, Art. II.73 §3).	
30. The procedure for the public defence of the thesis begins when the PhD researcher sends the appropriate number of copies of the draft thesis to the chair of the IPC. The chair is responsible for distributing the draft thesis among the other members of the IPC. Article 6 applies if the procedure for the defence of the thesis extends into the next academic year.	24. The PhD student starts the PhD defence procedure in consultation with his/her supervisor(s).
31. The IPC has a maximum of four weeks to issue a written recommendation to the PhD researcher with respect to the draft thesis. If the individual PhD commission's response is negative, it will inform the PhD researcher of its objections and remarks. If their recommendation is positive, the IPC may also formulate a limited number of suggestions for improving the draft thesis. When the IPC consents to the submission of the thesis, the PhD researcher sends	

25. The preliminary defence of the thesis is obligatory and takes place within six weeks after the submission of the draft thesis to the chair of the doctoral jury, who ensures that the draft is sent to the other members of the jury in good time. A written report must be drawn up for the preliminary defence. A standard form is available for this purpose.26. At least two-thirds of the members of the doctoral jury must attend the
preliminary defence, via teleconferencing if necessary. Jury members who are unable to attend must submit a written report to the chair. The doctoral jury decides, by majority vote, whether the PhD student may proceed to the public defence of his/her thesis. The supervisors together have one vote. If the supervisors cannot reach a consensus, they too must reach a decision by a simple majority vote. In the event of a tie, the chair has the casting vote.
27. If, after the preliminary defence, the doctoral jury agrees to the public defence of the thesis, the chair of the doctoral jury informs the FBE doctoral administration and the chair of the FDC-FBE. As soon as the PhD student informs the Registrar's Office about the doctoral jury's decision, the time frames described in Article 34 of the University of Antwerp's PhD regulations begin.
28. After the preliminary defence, the doctoral jury may decide to admit the thesis to the public defence on the condition that a number of major mandatory changes are made. The report on the preliminary defence specifies which changes are to be made and the deadline by which the PhD student must submit the revised doctoral thesis. The time allowed for these major mandatory changes may not exceed three months. A new preliminary defence must be held if the required changes take more than three months, as specified in Article 29. The PhD student submits the revised thesis to the chair of the doctoral jury within the required time frame, who ensures that the draft is sent to the other members of the jury in good time. The chair of the doctoral jury informs the PhD student, the FBE's doctoral administration and the chair of the FDC-FBE of the jury's decision in writing within a four-week period. Once the doctoral jury has agreed to the public defence of the thesis, the time frames described in Article 34 of the University of Antwerp's PhD regulations begin. If the doctoral jury is not satisfied with the revisions, or if the PhD student has not completed the revisions within the prescribed period, the procedure for completing the thesis is suspended (see Article 29).
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	29. In the event of a negative evaluation of the preliminary defence, or if the requested revisions are not made within the prescribed period, the procedure for completing the thesis is suspended. The doctoral jury informs the PhD student in writing of the steps necessary to restart the procedure. In both cases, a new preliminary defence must be held. In total, a maximum of two preliminary defences can be organised.
 36. The defence of the thesis is public. 37. The public defence of the thesis must not take longer than two hours, including examination by the doctoral jury. The defence cannot take place if fewer than two thirds of the doctoral jury members are in attendance, if necessary via teleconferencing; at least two of those in attendance must not be involved in the doctoral thesis. If the chair is unable to attend the defence, the faculty appoints a replacement chair from the UAntwerp senior academic staff (ZAP) who is not one of the PhD researcher's supervisors. 38. The doctoral jury meets immediately after the defence and decides whether the PhD researcher has been successful or not before officially announcing the results. Grades are not awarded. 39. The decisions of the IPC and doctoral jury should ideally be made by consensus. If no consensus can be reached, a positive decision can only be issued as a result of a simple majority vote in which the supervisors together have one vote. If the supervisors cannot reach a consensus, they too must reach a decision by a simple majority vote. 40. The successfully defended thesis should be submitted to the UAntwerp Library Office for safekeeping according to the procedure set out for that purpose. As part of this procedure, the PhD researcher should submit at least a digital version of the thesis prior to the defence. 	30. If circumstances require, part of the public defence of the doctoral thesis may be conducted in a language other than Dutch or English.
5. Joint, double and multiple PhDs 41. UAntwerp can award a joint, double or multiple PhD with another Belgian or international university provided that the PhD researchers have done at least six months of research (not necessarily consecutively) at each partner university involved as part of their thesis. Unless otherwise stipulated in the articles below or in the partnership agreement (Art. 43), these general PhD regulations also apply to all joint, double and multiple PhDs. For incoming joint, double and multiple PhDs, a limited IPC is sufficient, consisting of the UAntwerp supervisor(s) and the chair, to monitor the progress of the doctoral research in accordance with articles 13-14 of these regulations.	

42. PhD researchers wishing to obtain a joint, double or multiple PhD degree must submit applications to all of the universities in question at least one year before the submission of the draft thesis. At UAntwerp, a procedure for this has been established by the Antwerp Doctoral School. If the application is approved by the faculty, the PhD researcher has to comply with the administration requirements of both universities regarding enrolment as a PhD student.	
43. For every joint, double or multiple PhD, a partnership agreement is drawn up between the PhD researcher and the two or three universities involved, in which exceptions to the standard procedures or additional rules can be established. The regulations of the main institution (see Article 44) take priority, unless otherwise stipulated in the partnership agreement. The defence can take place no earlier than six weeks after the signing of the agreement by all relevant legal entities. In this context, the faculty can exempt PhD researchers whose home institution is not UAntwerp from the UAntwerp doctoral study programme.	
44. The designation of the main institution can be determined based on one or more of the following elements: a) Funding: the institution that funds (most of) the doctoral research or the institution to which the supervisor belongs under whose authority the application of the external funding occurred; b) Presence: the institution where most of the doctoral research takes place, where the PhD researchers will spend most of their working hours; c) Start: the institution where the doctoral research takes place, where the PhD researchers are not sufficient to distinguish between the two institutions, the main institution will be designated by mutual agreement.	
45. The thesis has to be defended publicly before a doctoral jury containing at least one member of the senior academic staff (ZAP, or corresponding category in the partner institution) from each institution involved.	
46. Only one public defence can take place, the date of which is to be included on the diploma or diploma supplement or – if applicable – on all diplomas or diploma supplements.	
47. The diploma supplement(s) for the joint, double or multiple PhD must clearly indicate that the research was carried out at all universities involved.	
6. Interdisciplinary PhD	
48. UAntwerp can award an interdisciplinary PhD if the PhD researcher has conducted research for which the expertise, knowledge and research methods from two (or more) study areas were substantial and essential for the research proposal to be carried out successfully. An interdisciplinary PhD is a combination	

of two existing PhD degree titles at UAntwerp, and cannot be incorporated in a joint, double or multiple PhD.	
49. PhD researchers wishing to obtain an interdisciplinary PhD must submit their motivated application to the qualified entity within the faculty. The application must be supported by at least one supervisor from each study area. In case of an interdisciplinary PhD between two faculties, the application must be submitted to both faculties and one of the faculties will be designated as the managing faculty. More information regarding the enrolment and admission procedure can be found in appendix 3.	
The interdisciplinary nature of the doctorate will be evaluated at the time of the initial application as well as during, and at the end of, the PhD trajectory based on the following three criteria:	
 The study areas and the expertise that each faculty brings to the PhD research, are far enough apart; The input of expertise, knowledge and research methods from each faculty is substantial and strictly necessary for carrying out the research proposal correctly. The research is not a combination of monodisciplinary lines of research carried out under the supervision of different researchers. Not one of the study areas is an 'additional/incidental' study area. The insights gathered from carrying out the research proposal, result in new scientific insights in both study areas or extends the knowledge in a new (emerging) study area. 	
Applicants for an interdisciplinary PhD must clearly motivate these criteria. The motivation should be understandable enough to non-specialists without overgeneralising the application.	
In the application phase, the initial application for an interdisciplinary PhD will be assessed by the Registrar's Office.	
50. Each faculty involved in the interdisciplinary PhD must grant approval for the enrolment in the interdisciplinary degree. The same applies to ongoing PhDs that need to be converted. The application for the interdisciplinary PhD as well as the enrolment in the interdisciplinary programme must be finalised at least one year before the defence.	
51. The faculty managing the PhD is responsible for informing the other faculty (faculties) about changes in the enrolment and modalities of the PhD in question. Generally speaking the PhD regulations of the managing faculty take priority.	

52. For existing combinations of study areas, the managing faculty is already	
designated, which can be consulted in the student administration system SisA. In case of a new combination, the designation of the managing faculty can be	
determined based on one or more of the following elements: a) Funding: the	
faculty that funds (most of) the doctoral research or the faculty to which the supervisor belongs under whose authority the application of the external funding	
occurred; b) Presence: the faculty where most of the doctoral research takes	
place, where the PhD researchers will spend most of their working hours; c) Start: the faculty where the doctoral research was initiated, where the PhD researcher	
first registered as a PhD student. If these criteria are not sufficient to distinguish	
between the two faculties, the managing faculty will be designated by mutual agreement.	
53. Regarding the composition of the interdisciplinary IPC and the doctoral jury, an equal representation from both study areas will be strived for. When the	
interdisciplinary IPC evaluates the progress of the PhD research (preferably each	
year, otherwise every 2 years), it will verify the interdisciplinary nature of the doctorate, taking into account the criteria described in article 49.	
54. During the evaluation of the PhD research and considering the defence, the doctoral jury will additionally evaluate the interdisciplinary nature of the doctorate	
based on the three criteria stated in article 49. In case the criteria cannot be met,	
the possibility for the interdisciplinary PhD expires and the defence cannot take place. The doctoral jury can in that case propose a fitting (non-interdisciplinary)	
PhD degree title for which a new application must be submitted to the Registrar's	
Office. For the application, the respective procedure of the PhD regulations applies.	
55. The thesis has to be defended publicly before a doctoral jury containing at least one member of the senior academic staff (ZAP) from each faculty involved.	
56. Only one public defence can take place.	
7. Mediation and appeal procedure	
57. PhD researchers who do not comply with these regulations may find that the	
deadlines which the faculty must otherwise comply with may be extended.	
58. PhD researchers who believe there to be negligence in the application of these	
regulations are requested to notify the dean of their faculty. The dean will decide on the consequences of the complaint.	
59. If the relationship between the PhD researcher and the supervisor(s) breaks down, either party may notify the chair of the IPC and ask that the IPC be	
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convened. The IPC will assist in rectifying any misunderstandings, mediate between the parties involved and help establish a solution that is acceptable to all parties.

60. To ensure a high-quality mediation procedure for disputes involving PhD researchers, each faculty and the IOB appoints at least one ombudsperson for PhD researchers. The ombudspersons for PhD researchers are appointed for a period of three years, which is renewable after a positive evaluation by a council or commission in which PhD researchers are also represented. The names of the appointed ombudspersons for PhD researchers are communicated to the Council of the Antwerp Doctoral School annually, before the start of the academic year. The ombudspersons for PhD researchers follow a training programme before taking up their assignment. They comply with the regulations regarding well-being at work and participate annually in the intervision moments organised for them.

61. Candidate ombudspersons belong to the AP, OP or ATP, provided that their appointment (min. 80%) does not end or decrease during their mandate as ombudsperson. To avoid conflicts of interest, it is recommended that the policy advisor research, members of the doctoral administration or the faculty director are not eligible for this. The mandate of ombudsperson for PhD researchers is not compatible with the position of (vice) rector, (vice) dean or (vice) chairman of a (faculty) council or committee. The faculties and IOB can impose additional conditions to the fulfilment of the mandate of ombuds for PhD researchers.

62.a. Notwithstanding §d of this article, the ombudspersons for PhD researchers are a first point of contact for all PhD researchers who during the course of their PhD experience problems in relation to the PhD procedure or in case of interpersonal conflicts which can impede the smooth progress of a PhD, such as disputes with their supervisor and/or PhD committee, or problems for which they cannot turn to their supervisor or individual PhD commission (IPC).

62.b. The ombudspersons for PhD researchers are not authorised for content related problems with regard to the PhD trajectory. For these, PhD researchers turn in the first place to their supervisors and the individual PhD commission, who can take on a mediating role in this. In case of administrative problems, PhD researchers turn to the doctoral administration of their faculty or institute.

62.c. The ombudspersons for PhD researchers act as an impartial third party who listen, give advice, and only at the request of and in consultation with the PhD researchers, mediate between the parties involved and help to seek a solution that is acceptable to all concerned. If the problems identified are of an interpersonal nature, the ombudspersons refer to the network of confidential counsellors, in which the choice of a particular confidential counsellor lies with the PhD researchers.

62.d. For cases of transgressive behaviour at work and for interpersonal problems, whether or not related to the doctorate, PhD researchers can also turn directly to the confidential counsellors of UAntwerp or to Mensura's occupational psychologists. In case of sexually transgressive behaviour, referrals will always be made to Mensura's occupational psychologists, with the ombudspersons retaining their mediating role.

63. The PhD researcher preferably contacts the ombudsperson for PhD researchers of their own faculty or institute; if desired, the PhD researcher can also turn to an ombudsperson for PhD researchers of another faculty or institute. If necessary or if the ombudsperson for PhD researchers and the PhD researcher belong to the same research group, the ombudspersons for PhD researchers can assist each other or in consultation with the questioner take over files from each other.

64. The ombudspersons for PhD researchers determine in consultation with the PhD researchers the way in which the PhD researchers' question is followed up. The timing of feedback to the supervisor is also agreed upon with the PhD researchers. At the request of the PhD researchers, the ombudsperson for PhD researchers can also attend, as an observer, the meetings of the individual PhD commission.

65. The ombudspersons for PhD researchers and all persons involved in the mediation procedure are bound to discretion, and agree in advance with the PhD researchers what can be shared and with whom.

66. Annually, the ombudspersons for PhD researchers report anonymously to the Central Ombudspersons, the Faculty (or Institute) Council, the Council of the Antwerp Doctoral School and the Committee for Prevention and Protection at Work on their activities over the past year.

67. If the mediation measures described in Article 59 are insufficient to solve a conflict, the central ombudsperson shall prepare a report which is then submitted to a mediation committee composed of the relevant dean (chair), the faculty or departmental academic PhD coordinator, the Antwerp Doctoral School ZAP coordinator for the relevant scientific field and the chair of the IPC, who will hear the testimony of the parties involved and then make a binding decision. No further internal appeals can be lodged against this decision.

68. A PhD researcher who believes that a decision made by the IPC or doctoral jury represents a violation of their rights, can – with assistance of an ombudsperson if required – submit an appeal, addressed to the dean in the form of a written request for reconsideration of the original decision. The request must

be submitted within a period of seven calendar days following the communication of the original decision to the PhD researcher. The request shall contain a factual description of and justification for the objections raised.

69. The dean decides whether the appeal is admissible. Appeals which are declared admissible are then addressed by the body which made the original decision. This body offers the PhD researcher an oral explanation of their decision if this was requested in the written appeal.

70. All admissible appeals give rise either to a confirmation of the original decision or to a revision of that decision, accompanied by a justification.

71. The decision described in Article 70 is to be communicated to the PhD researcher within a period of twenty calendar days, which begins the day after the submission of the appeal. The PhD researcher will also be informed about who can be contacted for more information about the decision.

After the internal appeal, the PhD researcher can lodge an appeal against a study progress decision with the Council for Disputes about Decisions on Study Progress (<u>https://onderwijs.vlaanderen.be/nl/raad</u>). The PhD researcher must submit the appeal within a period of seven calendar days, starting on the day after the decision of the internal appeal procedure was communicated. The PhD researcher will at the same time send a copy of the appeal petition to the Rector by registered letter (postal address: Rector of the University of Antwerp, Middelheimlaan 1, 2020 Antwerp). In case the Council for Disputes about Decisions on Study Progress nullifies an unlawfully taken decision, and if the PhD researcher decides to challenge a new unfavourable decision that was taken following the verdict of the Council, the obligation to use the internal appeal procedure before lodging an appeal with the Council no longer applies.

8. Final provisions	7. Exceptional provisions
 72. The PhD researcher strives to comply with the stipulations of the Code of Ethics for scientific research in Belgium, as endorsed by UAntwerp. The Code of Ethics for scientific research in Belgium aims to ensure that high-quality research is carried out and that publications are truthful. Researchers are required to describe their research methods and results in such a way that the research can be replicated by other researchers. The information included in publications must be verifiable. This means that, at a minimum, the results of the literature review, the hypotheses, experimental set-up, research and analysis methods and sources must be correctly reported in a field log, lab notebook or progress report. If the object of the observations is destroyed (e.g. in the case of excavations), the observations must be registered as accurately as possible. All decisions, arrangements and agreements must be recorded and saved. The primary data and the protocols of the study should be retained and remain accessible for at least five years. If publications – especially reviews and syntheses – do not include all of the details necessary for verification, these must nevertheless remain available. 73. In all phases of the research, the PhD researcher demonstrates compliance with ethical recommendations such as those published by or available from the Committee for Medical Ethics UZA-UAntwerp, the Ethics Committee for Animal Testing, the Ethics Committee for the Social Sciences and Humanities and/or the Ethics Committee for Science and Technology (Dual Use), where applicable and according to the relevant laws and regulations. 74. Any publication which proceeds from research conducted by a PhD researcher as part of a PhD being undertaken at UAntwerp must include an explicit reference to the University of Antwerp and, under the author's contact details, an official University of Antwerp and, under the author's contact details, an official University of Antwerp and, under the author's contact det	

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which came into being through the PhD researcher's participation in a research project in which use was made of knowledge, resources and/or equipment belonging to the University of Antwerp. If the PhD researchers referred to in this article have made no use of University of Antwerp knowledge, resources and/or equipment, the results will accrue to these researchers. If necessary, the rights can then still be transferred by means of a written agreement.	
75.c. It is the responsibility of supervisors to make their PhD researchers aware of the provisions of Articles 75a and 75b at the beginning of each PhD research project and to report any findings to the Valorisation Office immediately, including reference to the potential involvement of the PhD researcher.	
76. In the event that a PhD is terminated ahead of time, the PhD researcher must cancel the enrolment in line with the enrolment procedure. The PhD researcher should inform the supervisor(s), faculty administration and Antwerp Doctoral School of the termination as soon as possible.	

FACULTY OF BUSINESS AND ECONOMICS (FBE)

DOCTORAL STUDY PROGRAMME:

STRUCTURE AND CONTENT

(approved by the FDC on 12 March 2018 – adjustments made by the FDC on 25 June 2020, 23 February 2021, 24 June 2021, 15 September 2023 & 13 September 2024)

1. General provisions

During the doctoral study programme, PhD students are expected to develop their research competences. Using the competence profile for PhD students at the University of Antwerp, and in consultation with their supervisors, PhD students determine the activities that they will undertake.

The Antwerp Doctoral School's competence profile is composed of seven competence categories:

- A. Research skills and techniques
- B. Adaptation to the research environment
- C. Research management
- D. Personal efficiency
- E. Communication skills
- F. Networking and teamwork
- G. Career management

More information about the University of Antwerp's competence profile is available on the <u>Antwerp Doctoral</u> <u>School's website</u>.

2. Structure of the Faculty of Business and Economics (FBE) doctoral study programme

FBE PhD students are required to submit the progress report on their doctoral study programme through SisA every year (before 1 May). In this report, they should list the activities undertaken as part of the doctoral study programme over the last year, include the required supporting documents, complete all mandatory fields per category and allocate credits according to the activity table (see point 3). The following general rules apply:

- the activities undertaken must amount to a total of at least 30 credits;
- only discipline-specific activities¹ such as those listed in the activity table are eligible for recognition;
- no more than half of the total number of credits may be earned in a single competence category.
- credits must have been earned in at least four categories of the competence profile;
- at least 12 credits must have been earned by taking PhD courses in Competence Category A 'Research skills and techniques';
- at least two credits must have been earned in Competence Category F 'Networking and teamwork'.
- PhD students enrolled academic year 2022-2023 and onwards must follow the online course 'Mind the GAP', offered by Antwerp Doctoral School. This course is not eligible for credits in the FBE doctoral study programme.

¹Non-discipline-specific courses taken by PhD students through the Antwerp Doctoral School are included in the doctoral study programme overview in SisA with the reference NVT (*niet van toepassing*, meaning 'not applicable'). These non-discipline-specific courses do not count for credits in the FBE doctoral study programme.

3. Recognised activities per competence category

The following table applies when allocating credits to each activity, bearing in mind the specifications and limitations listed under point 4.

Code	Competences	Maximum credits	Activities	Credits
A	Research skills and techniques	15	Mandatory courses: 12 credits Minimum 6 credits advanced Minimum 6 credits methodological 	credits of the course (unless otherwise specified by FDC)
			Review of WoS manuscript	1 (max. 3)
В.	Adaptation to the research environment	6	Additional PhD courses: max. 6 credits	credits of the course (unless otherwise specified by FDC)
С	Research management	4	Supervisor or co-supervisor of a research-related Master dissertation	1
D	Personal efficiency	15	 Peer-reviewed publications: Articles in a WoS journal Articles in a VABB journal Other academic publications: Book Book chapter Article not in VABB or WoS, or not peer-reviewed 	6 3 2 2 2 2
			 Full paper in proceedings (not an extended abstract) Working paper Scientific report No credits for: Abstracts or extended abstracts in proceedings Popularising article Book review Articles in journals from publishers MDPI, FRONTIERS en HINDAWI (published after 1/1/2025) 	2 2 2 2 0 0 0
E	Communication skills	8	Presentations: • with peer review • no peer review • poster • invited speaker	2 2 2 2
F	Networking and teamwork	4	Doctoral Day – minimum 2 credits: ○ speaker (presentation) ○ discussant ⇒ number of participations as speaker ≥ number of participations as discussant	1 1
G	Career management	0	no activities in the FBE doctoral study programme	

4. Specifications and limitations per activity

The following specifications apply to the activities in each of the competence categories:

4.1 Competence Category A. Research skills and techniques

• Mandatory courses:

PhD students are required to take 12 credits' worth of PhD-level courses with the following specifications:

- One or more <u>advanced courses</u>, including assessment (which the PhD student must pass), worth a total of at least six credits;²
- One or more <u>methodological courses</u>, including assessment (which the PhD student must pass), worth a total of at least six credits;
- Mandatory PhD courses may include both those organised by UAntwerp's FBE as PhD courses offered by other educational institutions and organisations;
- The FBE Faculty Doctoral Committee (FDC) determines the list of internal PhD courses. The FDC-FBE may add additional courses to this list at the request of a particular department;
- PhD students may also choose to take external PhD courses in consultation with their supervisors and following approval from the FDC-FBE. External PhD-level courses are to be presented to the FDC-FBE for approval by the PhD student prior to the start of the course along with the following information:
 - o the course is part of a doctoral study programme or aimed at PhD students
 - description of the course
 - number of credits that the course is worth (if unavailable, the student workload in hours, which the FDC-FBE will then use to allocate credits)
 - o form of assessment; courses that do not include an assessment are not accepted;
- The FDC-FBE may decide to alter the number of credits assigned to external courses according to the University of Antwerp regulations on student workload;
- Examinations for advanced courses and methodological courses (min. six credits each) must be passed within a period of two academic years after admission to the FBE PhD programme;³
- A maximum of 12 credits can be allocated to courses in Competence Category A. It is possible to earn extra credits for courses under Competence Category B (see below).

Please include: course title, lecturer, university/institute and results achieved.

Supporting documents: The PhD student should attach a certificate or email confirmation of results for all external courses.

• Reviewer of WoS manuscript

PhD students can earn a maximum of three credits for reviewing manuscripts submitted to Web of Science journals.

• Each manuscript review is worth one credit, regardless of how many versions of the manuscript the PhD student reviewed.

Please include: the title of the manuscript and the name of the journal.

Supporting documents: The PhD student should attach a document proving that the manuscript review took place and showing the name of the journal. The PhD student must receive a personal invitation for the review.

²While a 'pass' is sufficient, lecturers are asked to give scores out of 20. This information enables the PhD commission to make a more accurate assessment of the PhD student's progress.

³If a PhD student is required to take extra courses, the period of two academic years begins only after these extra courses and/or examinations have been completed. The extra courses do not count for credits in the FBE doctoral study programme.

4.2 Competence Category B. Adaptation to the research environment

• Additional courses:

Besides the mandatory PhD courses from Competence Category A, PhD students can earn a maximum of six additional credits for taking discipline-specific courses (methodological and/or advanced courses).

- These courses must meet the same conditions specified for the courses in Competence Category A;
- For all PhD courses not organised as part of the FBE doctoral study programme (see above), prior approval of the FDC-FBE must be requested;
- Exceptionally courses from Advanced Master programmes that include an assessment (which the PhD student must pass) may be accepted as additional courses;
- Approved PhD-level courses count for the full number of credits assigned to each course (unless otherwise specified by the FDC-FBE). Approved courses from Advanced Master programmes count for half of the credits assigned to the course. No credits are allocated to courses from initial Master programmes;
- PhD students must pass all additional courses before they submit their theses.

Please include: course title, lecturer, university/institute and results achieved.

Supporting documents: The PhD student should attach a certificate or email confirmation of results for all external courses.

4.3 Competence Category C. Research management

• Supervisor or co-supervisor of a research-related Master dissertation:

PhD students can earn a maximum of four credits as supervisors or co-supervisors of research-related Master dissertations obtained at the University of Antwerp or Antwerp Management School.

- The supervision (as the main or co-supervisor) of a Master dissertation is worth one credit;
- It is the task of the individual PhD commission (IPC) to confirm whether the Master dissertation is research-related.

Please include: the title of the dissertation, the name of the Master student and the names of all supervisors.

Supporting documents: The PhD student should attach a copy of the list of supervised Master dissertations which shows the names of all supervisors, as well as a confirmation from the supervisor or the chair of the IPC that the dissertation was research-related.

4.4 Competence Category D. Personal efficiency

• **Publications:**

PhD students can earn a maximum of 15 credits for academic publications;

- Only publications included in the University of Antwerp's academic bibliography will be recognised;
- Articles published after 01/01/2025 in journals of the publishers listed below will never be honoured in the doctoral study programme: MDPI, FRONTIERS and HINDAWI;
- Articles in Web of Science journals are honoured with 6 points per publication, excluding publications in journals published by MDPI, FRONTIERS and HINDAWI;
- Articles published in peer-reviewed journals included in the *Vlaams Academisch Bibliografisch Bestand voor de Sociale en Humane Wetenschappen* (VABB-SHW) will be allocated three credits each, excluding publications in journals published by MDPI, FRONTIERS and HINDAWI;
- Other academic publications (books, book chapters, non-WoS/VABB articles, non-peer-reviewed articles, full papers in proceedings, working papers, reports) will be allocated two credits each, excluding publications in journals published by MDPI, FRONTIERS and HINDAWI; A <u>maximum of six credits</u> can be earned for these publications;
- Proceedings with abstracts and extended abstracts, popularising articles and book reviews are not accepted, even when included in the academic bibliography;

Please include: the full reference and type of publication.

Supporting documents: The PhD student should submit the publication to the University of Antwerp Library for <u>inclusion in the academic bibliography</u> and provides the url as proof.

4.5 Competence Category E. Communication skills

• **Presentations at academic conferences:**

PhD students can earn a maximum of eight credits for giving presentations at academic conferences.

- Presentations at academic conferences (including poster presentations) are worth two credits;
- By academic conference, we mean a meeting of researchers from various educational and/or research institutes both in Belgium and abroad;

Please include: the title of the presentation, the name of the conference, location and date;

Supporting documents: The PhD student should attach a certificate of attendance showing the name of the speaker(s) and the title of the presentation, or provide a link to or copy of the conference programme showing the name of the speaker(s) and the title of the presentation.

4.6 Competence Category F. Networking and teamwork

• Active participation in the annual Doctoral Day:

PhD students can earn a maximum of four credits for demonstrating active participation in the FBE's annual Doctoral Day. All PhD students are required to demonstrate active participation worth at least two credits.

- All PhD students must attend the Doctoral Day unless they are abroad or otherwise prevented from attending due to research-related obligations;
- Contributing to the Doctoral Day as a speaker or discussant is worth one credit;
- PhD students may give presentations and serve as discussants on more than one Doctoral Day. Upon completion of the doctoral study programme, a PhD student's number of participations as a speaker must be equal to or higher than the number of his/her participations as a discussant;
- For PhD students who are unable to attend the Doctoral Day due to a limited residency in Belgium, the supervisor can ask the permission of the FDC-FBE to substitute the Doctoral Day participation with a doctoral seminar. Only presentations given during research seminars at academic educational or research institutions are eligible for this substitution. The PhD student should invite his/her IPC to the doctoral seminar. A doctoral seminar which has been approved by the FDC-FBE is worth two credits;
- Other seminars will not be recognised.

Please include: participation as a speaker or a discussant; if speaker: the title of your presentation. For substitute seminars: the title of the presentation, the name of the seminar, location and date.

Supporting documents: No supporting documents are required for active participation in the Doctoral Day. The faculty's PhD administration will check participation.

For doctoral seminars: The PhD student should submit proof of the FDC-FBE's approval and the doctoral seminar programme showing the speaker and the title of the presentation.

4.7 Competence Category G. Career management

No activities from Competence Category G are recognised in the FBE doctoral study programme.

5. Transitional measures

After the 2017 round of progress reports, subtotals of the credits earned according to the old model of the doctoral study programme were drawn up for all PhD students. Credits earned under the old model will remain valid, even if the new maximums are exceeded. All PhD students are expected to use the new model with its credit allocation per competence category from the 2018 round of progress reports onwards.